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Xing, Xuejiao; Li, Heng; Li, Jue; Zhong, Botao; Luo, Hanbin; Skitmore, Martin

Published in:
Automation in Construction

DOI:
[10.1016/j.autcon.2019.102836](https://doi.org/10.1016/j.autcon.2019.102836)

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Recommended citation(APA):
Xing, X., Li, H., Li, J., Zhong, B., Luo, H., & Skitmore, M. (2019). A multicomponent and neurophysiological intervention for the emotional and mental states of high-altitude construction workers. *Automation in Construction*, 105, Article 102836. <https://doi.org/10.1016/j.autcon.2019.102836>

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A multicomponent and neurophysiological intervention for the emotional and mental states of high-altitude construction workers

Abstract

The emotional and mental states of high-altitude construction workers (e.g., emotions and mental fatigue) are one of the critical factors affecting work performance (e.g., safety, health, construction quality, and productivity). To prevent undesired results from adverse emotional and mental states, active interventions for workers are important. Taking scaffolders on site as specific objects, a multicomponent (with two intervention sessions) and neurophysiological intervention during working intervals is proposed in this research. A sample of 10 participants is randomly assigned to either an intervention group or control group. Emotional and mental inducement is conducted in advance to simulate the states of scaffolders in normal working conditions. Then a simple, rapid, and active intervention, consisting of a progressive muscle relaxation session and a trigeminal nerve stimulation session, is applied to the experimental group in a lounge environment for 13 min. For the control group, a normal resting mode (i.e. sitting still) is adopted instead. During the experiment, a wearable electroencephalogram sensor is used to collect electrical signals from related brain regions of a subject. Based on corresponding indices, the emotional and mental states of subjects are indicated by electroencephalogram signals. The combined effects of the progressive muscle relaxation and trigeminal nerve stimulation sessions in adjusting adverse emotional and mental states of high-altitude construction workers are determined by statistical analysis.

Keywords:

High-altitude construction workers
Emotional and mental state
Multicomponent and neurophysiological intervention
Electroencephalogram

1. Introduction

High-altitude operation (i.e. working at heights more than 2-meters above the ground) is one of the most common construction activities in construction industry, which is prone to such safety risks as falling from height and object strikes. According to statistics from the Ministry of Housing and Urban-Rural Development of China, 331 fall-from-height accidents occurred in 2017 (accounting for 47.83% of the total number of accidents), which has been identified as the accident type with the highest number of casualties. 82 object-strike accidents occurred, accounting for 11.85%. Considering the specific characteristics of highaltitude construction operations, several initiatives focusing on workers have been proposed to improve the safety of construction work on site and reduce involved risks. For example, based on regulations for the management of special operations personnel in construction, a highaltitude operation worker should receive formal

36 occupational training and pass an examination to obtain the compliance certificate needed. Before starting
37 work, a physical examination should be conducted to detect any disease or physical impediment. Moreover,
38 other regulatory requirements also apply (e.g., wearing a safety belt and antiskid shoes, and learning from
39 accident reports) [1].

40 In addition to above requirements proposed to high-altitude construction workers, emotional and mental
41 states of workers are one of the critical factors in safety management without comprehensive and clear
42 management regulations. During the construction activities, emotional and mental state of a worker can
43 closely affect his cognitive process and decision-making ability [2,3]. Further, the poor awareness, attitude,
44 and risk perception may lead to unsafe behaviors (e.g., adopting improper working equipment or being
45 reluctant to wear personal protective equipment (PPE)), which consequently has an important impact on
46 worker's safety and work performance on site [4–6]. This is a particular problem for high-altitude operations,
47 where workers on site tend to experience such adverse emotional and mental states as fear, anxiety, stress,
48 and mental fatigue, which can ultimately lead an increased risk of occupational accidents [7]. To some extent,
49 workers can deal with such adverse states flexibly through work breaks. However, an accumulation of
50 inappropriate adjustments can result in chronic mental and physical health issues [8,9]. Many high-altitude
51 construction workers cannot obtain effective remission by self-regulation during working intervals.

52 As such, to prevent the undesired results from adverse emotional and mental states, active interventions
53 are particularly important. However, existing supervision and corresponding interventions are weak and
54 untargeted. This research aims to present a simple, rapid, and active intervention method to high-altitude
55 workers during working intervals. In order to ensure its pertinence and effectiveness, the timelimited
56 intervention method must have a specific and well-defined focus [10]. Scaffolders, as typical high-altitude
57 workers on site, are taken as the specific focus of attention in this paper.

58 Based on the research background illustrated above, a multicomponent and neurophysiological intervention
59 method for the scaffolders on site, consisting of a progressive muscle relaxation (PMR) session and a
60 trigeminal nerve stimulation (TNS) session, is proposed. For examining the effectiveness of the intervention
61 sessions, a sample of ten volunteers were tested. First, the ten participants were randomly divided into either
62 an intervention group with seven subjects or a control group with three subjects. An emotional and mental
63 inducement was conducted on ten subjects to simulate the scaffolders into an adverse emotional and mental
64 fatigue state in a normal working situation. Then, the active intervention lasting for 13 min was applied to the
65 experimental group in a lounge environment, while the control group subjects were required to sit still without
66 any other intervention. During the entire experimental process, electrical signals from relevant brain areas of
67 each subject were collected by a wearable electroencephalogram (EEG) sensor. Through the statistical

68 analysis of EEG indices, combined effects and availability of PMR and TNS sessions in adjusting adverse
69 emotional and mental statuses of high-altitude workers are proved.

70 **2. Background**

71 *2.1. Emotion-regulation strategy*

72 People not only experience their emotions (positive and negative) passively, but can also modify them
73 actively [11]. The effects of emotion regulation are closely related to mental and physical health issues,
74 relationship satisfaction, and work performance [8]. A suitable emotion regulation can help people cope with
75 their emotions, and react flexibly to their surrounding environment with appropriate behaviors [12]. Chronic
76 deficits in emotion regulation (i.e. the accumulation of inappropriate emotion adjustments) can contribute to
77 psychological malfunctioning and other forms of psychopathological illnesses [13]. As one of the key topics
78 of contemporary psychology, emotion regulation has spanned over multiple discipline fields (e.g.,
79 developmental psychology, cognitive psychology, social psychology, personality psychology, clinical
80 psychology, cognitive neurosciences, affective neurosciences, and psychophysiology) [8].

81 Nowadays, there has been a growing amount of research focusing on emotion regulation, with different
82 emotion-regulation strategies and research individuals. Based on the classification dimensions of targets (i.e.
83 attention, knowledge, and body) and functions (i.e. need-oriented, goal-oriented, and person-oriented),
84 emotion-regulation strategies can be divided into nine categories [8]. By analyzing the emotion-regulation
85 strategies of these nine categories, they can be divided into two major types: internally spontaneous regulation
86 (e.g., attentional avoidance [14], cognitive reappraisal [15], and mindfulness training [16]) and externally
87 guided regulation (venting [17], controlled breathing [18], and progressive muscle relaxation [19]). That is,
88 emotion regulation can be guided by inside regulatory goals which are implicit, or outside interventions which
89 are explicit and accessible to awareness [20].

90 Sometimes, the inside emotion regulation process can initiate strategically, beyond control without a
91 person's knowledge or intention [21]. However, there are individual differences in the ability to regulate
92 emotion automatically [22]. For example, individual responses to stressful situations vary with such different
93 factors as the demand, personal characteristics, coping resources, personal or environmental restrictions, and
94 outside support [23]. Moreover, the cognitive biases of an individual make it difficult to appraise the situation
95 consciously, which then leads to inappropriate emotion regulation [24]. In particular, employing strategies
96 that do not fit the situation can lead to individual differences in emotion regulation [25].

97 This paper focuses on the emotion regulation of scaffolders during working intervals. Considering the
98 group characteristics of scaffolders and the operational features of scaffolding on site, the selected emotion
99 regulation strategy needs to be simple, rapid, and effective. Judging from the above illustrations about

emotion regulation strategy categories and individual differences in emotion regulation, a regulation strategy of outside intervention, which is more controlled, is required.

Progressive muscle relaxation is a kind of non-pharmacological intervention, which can achieve emotion regulation through a guided deep muscle relaxation process. That is, both physical and emotional conditions can be improved after the outside intervention of PMR. Moreover, as a kind of person-oriented emotion regulation strategy, PMR can maintain the integrity of the overall personality system and access to long-term benefits of attention, knowledge, or body [8]. Thus, PMR is adopted here as an intervention session to regulate workers' adverse emotional states.

2.2. Mental fatigue intervention

Mental fatigue is a universal phenomenon experienced by people who have been involved in a prolonged period of demanding mental effort (e.g., cognitive activity), which can be subjectively described as feeling tired or inactive [26]. In particular, mental fatigue has been identified as a key factor various working groups, leading to low work efficiency and even life threatening issues [27]. According to the 2008 annual summary of the National Sleep Foundation (NSF), around 20% aircraft pilots, 18% train drivers, and 14% truck drivers nearly made operational mistakes under the impact of mental fatigue [28].

Some current studies concentrated on reducing mental fatigue using different intervention methods. Dababneh et al. [29], for example, studied the effect of rest breaks in meat-processing works, and suggest having hourly breaks of 9-min to reduce work fatigue [29]; Smith and Hale [30] summarized the effectiveness of different non-pharmacological interventions (e.g., (1) exercise intervention, such as aerobic exercise, resistance exercise, and energy conservation; and (2) complementary and alternative medicine (CAM) intervention, such as acupuncture, Yoga, and Tai Chi) in reducing fatigue in different chronic illness conditions [30]; Fillion et al. [31] proposed a fatigue-relieving intervention involving stress management and physical activity, which tends to reduce the fatigue of breast cancer survivors [31]; Mizuno et al. [32] studied the effect of mild-stream bathing on alleviating mental fatigue [32]; Lerman et al. [33] summarized fatigue risk factors and made suggestions for the fatigue risk management of working groups, including addressing staffing issues, shift and duty scheduling, employee training and education, sleep disorder management, work environment design, and individual risk assessment and mitigation [33]; Tummers et al. [34] implemented a method of cognitive behavior therapy (CBT) for chronic fatigue syndrome (CFS), as a minimal intervention guided self-instruction without trained therapists [34]; Merat and Jamson [35] proposed three road-based measures as engineering treatments to alleviate the fatigue symptoms of drivers [35]; Montgomery et al. [36] illustrated the effectiveness of cognitivebehavioral therapy combined hypnosis in controlling fatigue in breast

131 cancer patients [36]; and Duc [28] explored the possibility and feasibility of trigeminal nerve stimulation in
132 suppressing the fatigue effects from unintentional sleep [28].

133 The literature review indicates that existing research into mental fatigue intervention mainly focuses on
134 chronically ill working and patient groups. Little research has focused on construction worker groups or
135 construction sites. According to research [37], an ideal mental health intervention needs to satisfy nine
136 fundamental features: (1) the operation process is well defined, and is conducted based on (2) client goals and
137 (3) societal goals; the intervention method is (4) effective and (5) easy to implement, with (6) minimum side
138 effects; (7) positive longterm outcomes and (8) reasonable costs; (9) the intervention is universally applicable
139 to various communities. Considering the research objects (scaffolders on site) and the specific implementing
140 circumstances (during working intervals), a brief, time-limited, and practical mental fatigue intervention is
141 required, complying with above fundamental features.

142 Trigeminal nerve stimulation (TNS) is a direct and rapid stimulation of trigeminal nerve branches, through
143 sending adaptable electrical signals to provoke relevant brain activities. Currently, the TNS has been studied
144 in some research of mental health, such as major depressive disorder [38], epilepsy [39], and mental fatigue
145 [28]. Based on the research objective of this research, TNS is adopted as a non-invasive intervention session
146 to reduce the mental fatigue of the scaffolder subjects. Specifically, trigeminal nerve branches go through the
147 thalamus and anterior cingulate cortex, which are functional areas related to emotion, attention, and other
148 cognitive activities [40]. Thus, along with PMR, the combined effects of TNS on emotional state and mental
149 fatigue are studied in this research.

150 ***2.3. EEG applied in emotional and mental state detection***

151 Recent research examined the neural mechanisms of the brain in terms of emotional and mental states. For
152 example, Ochsner et al. [43] established a synthetic review and evolving model of functional imaging in the
153 cognitive control of emotion [20]; Kohn et al. [41] examined the central and integrative brain area in cognitive
154 emotion regulation [41]; and Ishii et al. [42] proposed a conceptual model of a dual regulation system to
155 investigate the neural mechanisms of mental fatigue under cognitive tasks [42]. Existing studies also indicated
156 that the nature of emotion and mental interventions can be unveiled by functional imaging [20]. For example,
157 when an emotion regulation is conducted, the prefrontal cortex regions and brain areas related to emotion
158 modulation can become clearly active [43]. Thus, based on the neural mechanisms in the emotional and
159 mental states, adaptable interventions can be conducted under a substantial theoretical foundation, instead of
160 solely empirical support and behavioral data.

161 EEG is a quantitative reflection of medical imaging to detect the electrical activity of the brain, through
162 which the subjective bias from traditional survey-based assessment of the emotional and mental states can be

163 overcome [2]. EEG can be measured by two major technologies: the electrocogram and the electrogram
164 [44]. As the former technology can collect the EEG directly and noninvasively based on voltage fluctuations
165 from neurons at the cortical surface, it has been commonly applied to research of diverse fields [45].

166 There is a growing interest in the role of EEG in the detection and regulation of emotional and mental states
167 for different groups. For example, Li et al. [46] proposed an EEG processing method to evaluate the fatigue
168 effect of drivers [46]; Moseley and DeGiorgio [47] utilized the EEG to reveal the intervention effect of
169 external trigeminal nerve stimulation on refractory status epilepticus [47]; Duc [28] utilized functional
170 magnetic resonance imaging (fMRI) and EEG to investigate the mechanics of mental fatigue regulation in
171 brain areas [28]; Yin and Zhang [48] presented a mental fatigue classification method by different EEG
172 feature distributions through various mental tasks [48]; Acharya et al. [49] proposed a depression diagnosis
173 approach through EEG-based screening, utilizing a deep convolutional neural network [49]. Specifically, in
174 the construction industry, some current research has focused on workers' emotional and mental states on site.
175 For example, Chen et al. [50] proposed a novel measurement approach using neural time–frequency analysis
176 to monitoring construction worker's mental condition to evaluate hazards [50]. Aryal et al. [51] studied the
177 real time physical fatigue monitoring based on the EEG data, the heart rate data, and the infrared temperature
178 data from wearable sensors [51]. Wang et al. [52] proposed a wireless and wearable system based on EEG
179 signals to assess construction workers' attention level [52]. Hwang et al. [2] applied EEG to measuring the
180 emotional states of workers undertaking construction tasks [2]; and Jebelli et al. [53] proposed an approach
181 to recognize construction workers' stress by analyzing EEG signals utilizing the Gaussian Support Vector
182 Machine [53].

183 However, to the authors' best knowledge, no effort concentrating on the emotional and mental state
184 management of high-altitude construction workers exists. In this research, the EEG technology (non-invasive)
185 is applied to detect the underlying processes of multicomponent and neurophysiological interventions
186 proposed for regulating the emotional and mental states of high-altitude construction workers.

187 **3. Methods**

188 In total, 10 healthy participants aged 18–40, who had basic construction engineering knowledge and
189 working experience on construction sites, were recruited from the Hong Kong Polytechnic University. In this
190 research, all participants were randomly assigned to one of two conditions: (a) an experimental (intervention)
191 group with 7 subjects, and (b) a control group with 3 subjects. Before the contrast experiment, the entire
192 experimental procedure was explained to all subject of two groups. As shown in Fig. 1, all the subjects were
193 induced into emotional and mental states simulating scaffolders in normal working conditions. Then, a
194 multicomponent and neurophysiological intervention, consisting a PMR session and a TNS session, was

195 applied to the experimental group in a lounge environment, while the control group instead sat still without
196 any other intervention. Especially, in order to simulate scaffolders in practical construction sites to induce
197 desired emotional and mental states, some requirements and preparation work were made for the participants
198 as experimental protocol: 1) According to the physical demands for scaffolders, all participants should be in
199 good health, without any disease or physical impediment (e.g., acrophobia and hypertension) influencing the
200 experimental effects; 2) Construction site videos of scaffolding activities were shown to participants,
201 including typical construction scenes such as walking on the high-altitude pipe racks of scaffolding. In the
202 meantime, related basic construction and regulatory requirements were explained to the participants; 3)
203 Further, each participant was asked to keep a normal daily routine to stay in a good physical and mental state
204 before the experiment.

205 For the preliminary design of experiment steps and setting a set of adaptable parameters involved in the
206 intervention sessions, a preliminary experiment involving three participants was conducted in advance. In the
207 preliminary experiment, subjects were arranged to conduct different simple trials with one of the two
208 intervention sessions respectively. For this research aims to explore a simple intervention method within a
209 limited time, the duration of each session is designed to ensure the desirable intervention effects in the shortest
210 time possible. Through the preliminary experiment, it was shown that the stimulations on the trigeminal nerve
211 had more obvious and rapid effects on subjects subjectively than the PMR process. Based on prior research
212 on relaxation trainings and their practical applications, the duration of the PMR session was set to be 10 min
213 and the TNS was 3 min. Specifically, subjects reported that they felt relaxed, calm, but a little sleepy through
214 the PMR intervention with the background music. Thus, for the practical application purpose, the TNS was
215 set as the following session of the PMR to make subjects keep wide-awake after the stimulation.

216 During the entire experiment, the EEG was used to record the emotional and mental states of subjects. A
217 wearable EEG device (EMOTIV EPOC+ 14 Channel Mobile EEG), which has 14 electrode channels
218 corresponding to different locations of the scalp, was used to collect EEG data (Fig. 2). The sampling
219 frequency is kept at 128 Hz. Raw EEG data can be transferred in real-time via a wireless receiver. As shown
220 in Fig. 1, for statistically analyzing the effectiveness of the intervention sessions, EEG data from four
221 experimental stages of each trial of two groups were collected, each of which recorded a 2 min experimental
222 segment. The 2 min EEG data from stage 1, collected in a resting state before the intervention, was identified
223 as the baseline for validating the later intervention effects. According to the research objective and practical
224 application purpose, these four EEG data collection periods are enough to account for the effectiveness of
225 each intervention session. Besides, the variation tendency of workers' emotional and mental states in the entire
226 intervention process can be expressed. What's more, it should be noted that the EEG signals are easily affected
227 by external signal interferences. While, the conductions of two intervention sessions (i.e. PMR and TNS) can

228 be along with strong signal effects from body movement or pulse generator. Thus, EEG signals from the
229 entire intervention periods, but above four stages, have been avoided for accurate results.

230 ***3.1. Simulation of the emotional and mental states of scaffolders***

231 Typifying the specific characteristics of high-altitude construction operations, scaffolders on site tend to
232 generate adverse emotions (e.g., fear, anxiety, and frustration) and mental fatigue, which are a negative state
233 affecting the work performance. This, in particular, contributes to such safety risks as falls-from-height and
234 object-strikes. Thus, this adverse emotional and mental state is the focus here. Through cognitive tasks and
235 virtual reality (VR) simulation in the laboratory environment, the emotions and mental fatigue simulating
236 scaffolders were induced on subjects in advance, acting as the baseline for verifying the effectiveness of later
237 interventions.

238 The stroop task in psychology is a typical cognitive task with visual interference that can contribute to
239 cognitive overload. Based on Cognitive Load Theory, a modified stroop color-word interference task was
240 used to induce mental fatigue in a short time. All subjects were required to complete a computer version
241 stroop task for around 30 min, during which time the effects of mental fatigue induction were demonstrated
242 by the reaction time and accuracy. A VR mission simulating the high-altitude walk site was then selected for
243 inducing certain negative emotions of scaffolders. Subjects were required to walk on two slender steel pipes
244 for approximately 10 min, wearing VR glasses displaying the virtual high-altitude walk scene (Fig. 3).

245 ***3.2. Intervention procedure***

246 After the emotional and mental inducement to simulate the states of scaffolders on site, a multicomponent
247 and neurophysiological intervention, consisting of a PMR session and a TNS session, was applied to the
248 intervention group in a lounge environment, while the control group sat still without any other intervention.
249 Details of the intervention process are illustrated as follows.

250 **3.2.1. Progressive muscle relaxation**

251
252 In the PMR session, each subject of the experimental group was arranged in a lounge environment, sitting
253 on a couch to reach a relaxed state without physical distractions. Then the subject was guided away from
254 having thoughts with eyes closed. Audio-guided with background music for around 10 min, particular muscle
255 groups of the subject's body became relaxed in a top-down sequence. EEG data from two experimental
256 segments of 3–5 min and 8–10 min of the PMR session was collected. By eliminating signal noises from body

257 movements, the subjects were guided to pause actions and do meditation with slow and even breaths instead
258 (Fig. 4).

260 **3.2.2. Trigeminal nerve stimulation**

261 A medical and portable external pulse generator (KWD-808 I, Great Wall, China) was applied in the TNS
262 session (Fig. 5). In the preliminary experiment, different trials were conducted for setting an adaptable pulse
263 signal as the output of this pulse generator for the TNS intervention. Based on existing research into TNS and
264 practical applications in medical treatments, nine types of pulse signal (three pulse waveforms with three
265 different frequencies respectively) were tested in the preliminary experiment (Table 1).

266 In the preliminary TNS trials, the acceptability and the reducing effects on metal fatigue of self-perception
267 were identified as the selection criteria of an adaptable stimulation pulse. Two oval adhesive rubber electrodes
268 were placed on the forehead of the participant, spaced 5 cm apart bilaterally, to stimulate the ophthalmic
269 branch of the trigeminal nerve (Fig. 6). After sending nine types of pulse signal for 100 s as the TNS, subjects
270 reported that the discontinuous wave of 50 Hz, 3 s on/3 s off, and 600 μ s pulse width was more effective in
271 making them alert, along with the acceptable vibration from the pulse generator. Thus, this type of pulse
272 signal was selected as the TNS parameter to be applied in the later intervention. Therefore, in the lounge
273 environment after the PMR session, the subject's TNS session was conducted with this specific pulse signal
274 for 3 min.

276 **3.3. Data processing and statistical analysis**

277 **3.3.1. Data preprocessing**

278 As EEG signals propagate and summate in the cortex, they can be captured through the scalp by wearable
279 EEG devices when different brain regions are activated. For the EEG signal in microvolts (μ V) is susceptible
280 to frequency noises, numerous frequency noises (e.g., atmospheric thermal noise, respiration noise,
281 heartbeats, and needless power frequency) contained in the collected data should be eliminated. Low-
282 frequency noises (0.5 Hz and lower) and high-frequency noises (40 Hz and higher) are removed through the
283 hamming windowed sinc FIR filter. The frequency noises beyond the spectrum of 0.5 Hz–40 Hz in the raw
284 EEG signals are filtered. Then independent component analysis (ICA) is employed to retain the valid
285 components and remove noise components of intrinsic artifacts (e.g., eye movement and facial muscle
286 activity) (Fig. 7). The electrical activity in the brain, representing postsynaptic cortical neuronal potentials, is
287 defined in terms of frequency bands (e.g., delta (δ) (0.5–4 Hz), theta (θ) (4–8 Hz), alpha (α) (8–13 Hz), beta

(β) (13–30 Hz), and gamma (γ) (30–40 Hz)). Considering the interested frequency domains for the research objective, a six-layer wavelet packet decomposition and reconstruction was adopted in this research (Fig. 8). Relevant frequency bands (i.e. theta, alpha, and beta) are filtered out for later analysis.

Through the preprocessing of the raw EEG data, valid band powers of 14 electrode channels are obtained. Based on the EEG indices reflecting the emotional and mental states, effects of the proposed intervention method can be measured. Besides, the combined regulation effects of the PMR and TNS intervention sessions on emotional states and mental fatigue are analyzed and evaluated.

3.3.2. Emotional state regulation

For depicting the variation trends of workers' emotional states effectively and intuitively, a tri-dimensional emotion model, ValenceDominance-Arousal (VDA) model, is applied in this research (Fig. 9) [54,55]. In Fig. 9, the valence dimension refers to the transition from negative to positive; the dominance dimension refers to the transition of emotional states from being controlled to in control; and the arousal dimension refers to the transition from inactive to active emotional states [56–58]. Three coordinate surfaces (i.e. Valence-Dominance plane, Valence-Arousal plane; and Dominance-Arousal plane) divide the space of the VDA model into eight parts (i.e. quadrants of I–VII). The coordinate axes of the three dimensions, as the thresholds for different emotional states, define the central levels of emotional state (i.e. regular arousal, neutral valence, and intermediate dominance). Through comparing the coordinate points in positive or negative coordinates, the change of emotional states can be identified. Besides, the intensity of an emotional state is described by the distance of the coordinate point from the origin O.

Based on EEG frequency band powers through data preprocessing, the dimension indices of valence, dominance, and arousal are calculated to quantify the emotional features [58,59]:

Nine types of pulse signal tested in the preliminary experiment.

$$\text{Valence} = \frac{\alpha(F4)}{\beta(F4)} - \frac{\alpha(F3)}{\beta(F3)} \quad (1)$$

$$\text{Dominance} = \frac{\beta(FC6)}{\alpha(FC6)} + \frac{\beta(F8)}{\alpha(F8)} + \frac{\beta(P8)}{\alpha(P8)} \quad (2)$$

$$\text{Arousal} = \frac{\alpha(AF3 + AF4 + F3 + F4)}{\beta(AF3 + AF4 + F3 + F4)} \quad (3)$$

316 where $\alpha(i)$ and $\beta(i)$ refer to the alpha and beta band power respectively, collected from the i th EEG electrode
317 channel.

318 The average coordinate values (i.e. (valence, dominance, arousal)) of the four EEG collection stages of the
319 experimental and the control groups are summarized in Fig. 10. The emotional state tendencies of the subjects
320 in two groups, through the intervention sessions, are visually presented in the VDA model (Fig. 11).

321 For illustrating the intervention effects of the PMR and TNS sessions, a standard statistical t-test is selected
322 to examine the differences of the valence, dominance, and arousal indices before and after each intervention
323 session (Table 2). The intensities of corresponding emotional states in different experimental stages are
324 summarized in Table 3, calculated by the distances of the coordinate points from origin O.

325 In the experimental group, there is a significant increase of valence after the PMR session (average from
326 -1.78 to -1.21) ($p < .05$), and a significant increase after the TNS session (average from -1.21 to -0.93) (p
327 $< .05$). The final result of the combined intervention is a significant increase in valence ($p < .05$). For the
328 dominance index, there is a significant increase after the PMR session (average from 1.01 to 1.10) ($p < .05$),
329 with a non-significant decrease after the TNS session (average from 1.10 to 1.09) ($p > .05$). The final result
330 of the combined intervention is a significant increase in dominance ($p < .05$). For the arousal index, there is
331 a significant decrease after the PMR session (average from 5.78 to 4.42) ($p < .05$), with a significant increase
332 after the TNS session (average from 4.42 to 4.48) ($p < .05$). The final result of the combined intervention is a
333 non-significant difference in arousal ($p > .05$), retained at a high level. From the whole perspective, the
334 intensity of the emotional state of the experimental group declined gradually through the two intervention
335 sessions.

336 However, in the control group, there is no significant difference in valence ($p > .05$), dominance ($p > .05$),
337 or arousal ($p > .05$) after the time-limited (13 min) relaxation period. Reflecting at the intensity of the
338 emotional status, the deceleration is smaller than the experimental group; i.e., a 13 min relaxation period may
339 be insufficient to produce desired effects in adjusting adverse emotional states of subjects.

340 **3.3.3. Mental fatigue regulation**

341 Mental fatigue is a major adverse mental state affecting the work performance of high-altitude operations.
342 Thus, the effects of the proposed intervention on mental fatigue are specifically focused in this research. A
343 quantitative index of mental fatigue is essential for objectively testing the mental fatigue level of a subject.
344 Currently, EEG has been widely applied as a neurophysiological method of assessing mental fatigue [60].
345 The correlations between some of the most prominent components of the EEG signal (e.g., theta, alpha, and
346 beta frequency bands) and early stages of mental fatigue have been highlighted in prior research [61]. In this
347 research, a combination of frequency band powers (i.e. theta, alpha, and beta) and $(\theta + \alpha)/\beta$ are applied to

348 detect the mental fatigue level quantitatively. Based on the preprocessed EEG data, the average $(\theta + \alpha)/\beta$
349 during the four experimental stages of the experimental group is shown in Fig. 12, which indicates the trend
350 of mental fatigue with adjustment. Three involved frequency band powers (i.e. theta, alpha, and beta) are also
351 listed (after the normalization). As shown in the bar graph, there is an evolutionary decrease of the alpha band
352 power during the intervention sessions of PMR and TNS. Moreover, the beta band power gradually increases
353 at the same time, while the theta band power has no obvious statistical trend. Above statistical results are
354 consistent with prior research in the correlations between frequency band powers and mental fatigue [61,62].
355 Combining the trends of these three frequency band powers, the grand average of $(\theta + \alpha)/\beta$ gradually
356 decreases during the four experimental stages, which indicates a mitigation of mental fatigue.

357 To illustrate the intervention effects of the PMR and TNS sessions on mental fatigue, a standard statistical
358 t-test is selected to examine the differences in indices of $(\theta + \alpha)/\beta$ after the intervention (Table 4). In the
359 experimental group, there is a significant decrease in $(\theta + \alpha)/\beta$ after the PMR session (average from 7.95 to
360 6.43) ($p < .05$), and a significant decrease after the PMR session (average from 6.43 to 2.90) ($p < .05$).
361 Ultimately, the intervention with combined sessions corresponds with a significant decrease in $(\theta + \alpha)/\beta$ ($p <$
362 $.05$). However, in the control group, there is no significant difference in $(\theta + \alpha)/\beta$ ($p > .05$) after the time-
363 limited (13 min) relaxation period.

364 Above analysis is based on the average results considering four different regions of interest (i.e. the frontal
365 cortex, the temporal cortex, the parietal cortex, and the occipital cortex), which are generally utilized for
366 reflecting mental fatigue. According to clinical practice and prior research, aided indices are used in this
367 research for illustrating the intervention effects, utilizing certain channels focusing on different cortex regions.
368 Considering the active brain regions indicating one's mental fatigue [28,63,64], $(\theta + \alpha)/\beta$ concentrating on the
369 frontal cortex and the temporal cortex is calculated in this research. The variation trend of mental fatigue
370 reflected by this index is consistent with above analysis results considering four regions. What's more, the
371 induction and maintenance of arousal, associated with relatively greater activation of the frontal cortex, plays
372 a crucial part in indicating the wake-promoting state [65]. Thus in this research, arousal is specifically selected
373 as another additional index indicating the intervention effects on mental fatigue. The arousal index (see
374 Section 3.3.2) remains at a high level, indirectly indicating a clear mind of the subject after the combined
375 intervention sessions.

4. Discussion

4.1. Effects of the multicomponent intervention on emotional state

After the emotion simulation of Section 3.1, the subjects reported such negative emotions as fear, anxiety, and stress. Based on the EEG data from experimental stage 1, the initial adverse emotional state is identified as the baseline for the later intervention sessions. In this research, a tri-dimensional emotion model (i.e. the VDA model) is applied to evaluate the effects of the proposed multicomponent intervention, combined with the PMR and TNS sessions, on adverse emotional states. After calculating the coordinate values of valence, dominance, and arousal based on preprocessed EEG data, the emotional state in stage 1 belongs to the IV quadrant of the VDA model. That is, the initial adverse emotional state simulating the high-altitude operation is represented by negative and low valence, positive and low dominance, and positive and high arousal. Through calculating and statistically analyzing the dimension indices of the other experimental stages, the independent and combined effects of the PMR and TNS sessions on emotional state adjustment are illustrated. The main discussions are summarized as follows.

- *Valence* is defined as a continuum index ranging between extreme emotions indicating the level of pleasure. In the initial adverse emotional status of experimental stage 1, the valence index is negative at a relatively low level. After the first intervention session (i.e. PMR), the negative *valence* index is significantly increased (a negative value with the absolute value decreasing). In the same way, after the TNS session, the negative valence index is significantly increased (a negative value with the absolute value decreasing). That is, the PMR and TNS sessions play similar roles in *valence* adjustment. The ultimate effect of the combined sessions significant increases the *valence* index, approaching the origin of the coordinate axis *Valence*, which indicates an emotional state of increased happiness, pleasure, and satisfaction.
- *Dominance* is defined as a continuum index ranging between extreme emotions indicating the level of control of one's behavior. In the initial adverse emotional state of experimental stage 1, the *dominance* index is positive at a relatively low level. After the PMR session, the *dominance* index is significantly increased. However, the TNS session corresponds with a non-significant decrease in the dominance index. The ultimate effect of the combined sessions is a significant increase in the dominance index, away from the origin of the coordinate axis *Dominance*, which indicates an emotional state of increased control, influence, and autonomy.
- *Arousal* is defined as a continuum index ranging between extreme emotions indicating the level of excitement. In the initial adverse emotional state of experimental stage 1, the *arousal* index is positive at a relatively high level. After the PMR session, the arousal index is significantly decreased. However, after

409 the TNS session, the *arousal* index is significantly increased. That is, the PMR and TNS sessions play
410 opposite roles in *arousal* adjustment. Ultimately, the effect of the combined sessions makes a non-
411 significant decrease in arousal index, maintaining a high level, which indicates an emotional state of
412 continuing to be stimulated, excited, and wide-awake.

- 413 • The VDA model is applied to recognize emotional states effectively and intuitively. The intensity of an
414 emotional state can be described by the distance of the coordinate point from origin O. The emotional
415 state intensity is gradually declined through the combined intervention sessions of PMR and TNS.
- 416 • As for the control group, after the time-limited (13 min) relaxation period, there is no significant
417 difference in *valence*, *dominance*, and *arousal*. Although there is a lessening of emotional state intensity,
418 it is weaker than the experimental group with intervention sessions.

419 The independent and combined effects of the PMR and TNS sessions in emotional status adjustment are
420 therefore validated. In summary, after this multicomponent and neurophysiological intervention, the adverse
421 emotional state of high-altitude construction workers can be mitigated, and tend to be a relatively pleased,
422 autonomous, and excited level.

423 ***4.2. Effects of the multicomponent intervention on mental fatigue***

424 After the mental state simulation of Section 3.1, subjects reported that they felt mentally fatigued. Based
425 on EEG data of experimental stage 1, the initial adverse mental state (mental fatigue) is identified as the
426 baseline of the later intervention sessions. The band power combination of $(\theta + \alpha)/\beta$ is applied to evaluate the
427 PMR and TNS intervention sessions on mental fatigue. Through calculating and statistically analyzing the $(\theta$
428 $+ \alpha)/\beta$ index of the four experimental stages, the independent and combined effects of the PMR and TNS
429 sessions on mental fatigue adjustment are illustrated. The main discussions are summarized as follows.

- 430 • In the experimental group, there is a significant decrease in $(\theta + \alpha)/\beta$ index after the PMR session.
431 Similarly, through the TNS session, the $(\theta + \alpha)/\beta$ index is significantly decreased. That is, the PMR and
432 TNS sessions both play important roles in reducing mental fatigue. However, for the control group, there
433 is no significant difference in $(\theta + \alpha)/\beta$ after the time-limited (13 min) relaxation period.
- 434 • The variation trends of mental fatigue reflected by another two aided indices are consistent with above
435 statistical analysis, indicating a wide-awake mind of the subject after the combined intervention sessions.

436 The independent and combined effects of the PMR and TNS sessions on mental fatigue adjustment are
437 therefore demonstrated. In summary, the multicomponent and neurophysiological intervention can help to
438 reduce the mental fatigue of high-altitude construction workers.

4.3. *Limitations and future work*

First, a limited number of participants are involved in the experiment. Differences between subjects (e.g., in gender, age, and physical fitness) may affect the precision of the results, which can be improved with a larger number of subjects in the future. Second, the research only used PMR and TNS as intervention sessions, and focused on their combined effects. Other kinds of intervention methods and combinations for adjusting emotional and mental states can be explored in the future. Not only the immediate effects of the interventions, but the long-term effects need be studied in the future. Third, indices of more accurate, reflecting the emotional and mental states aimed at the high-altitude construction workers, need to be explored to improve the accuracy of the results. For example, the brain regions with greatest activations in indicating the mental fatigue of workers should be focused to analyze the intervention effects. Moreover, the effects of personal differences for the intervention results, between practical workers on construction sites and the participants involved in this research, should be furtherly considered in the future. In practice, when applying the intervention sessions to actual engineering, it remains to be seen how much the involved parameters (e.g. frequency and duration of the pulse in the TNS session) need to be adjusted for specific workers and intervention environments.

5. **Conclusions**

Aimed at the major adverse emotional and mental states (e.g. fear, anxiety, stress, and mental fatigue) of high-altitude construction workers, this research proposes a simple and rapid intervention method conducted during working intervals in a lounge environment. This is a multicomponent intervention consisting of a progressive muscle relaxation (PMR) session and a trigeminal nerve stimulation (TNS) session, which are selected and applied based on neurophysiological theories. A contrast experiment were conducted to illustrate the effectiveness of this intervention. The emotional and mental states of the subjects were measured by a wearable EEG sensor during the entire experiment, and EEG data segments indicating four experimental stages of each trial are selected for statistical analysis.

Utilizing different indices, the independent and combined effects of the PMR and TNS sessions in adjusting the adverse emotional and mental states of high-altitude workers are demonstrated. A VAD (*Valence-Arousal-Dominance*) model is applied to quantify the workers' emotional features. According to the trends of indices of valence (significant increase), arousal (retained at a high level), and dominance (significant increase), the proposed intervention is shown to have a significant effect on adjusting emotional state, from negative and passive, to a relatively positive and spiritual level. For measuring and analyzing mental fatigue, a band power combination of $(\theta + \alpha)/\beta$ is applied. This shows that both the PMR and TNS sessions

470 significantly decrease the $(\theta + \alpha)/\beta$, which indicates that mental fatigue is eliminated. At the same time, the
471 statistical results of the control group show no significant difference in emotional state or mental fatigue.

472 Although there may be some differences in the results between the practical workers and the involved
473 participants, it should be noted that this research completes the feasibility study of a simple, rapid, and
474 effective intervention approach aimed at scaffolders. It is one of the innovative explorations of the active
475 intervention for high-altitude construction workers, especially in the emotional and mental state field.
476 According to the theories of neural management [66], the research results offer theory supports for the
477 exploration and development of active intervention approaches, aiming the emotions and mental fatigue of
478 high-altitude construction workers. Further, in-depth study in the future can serve as a foundation for
479 providing guidance to the emotional and mental state management on construction sites.

481 Acknowledgements

482 This research was partly supported by the General Research Fund (GRF) Grant (BRE/PolyU 152099/18E)
483 entitled “Proactive Monitoring of Work-Related MSD Risk Factors and Fall Risks of Construction Workers
484 Using Wearable Insoles”. Besides, this research was partly supported by “National Natural Science
485 Foundation of China” (No. 51878311, No. 71732001, No. 51678265).

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Figures and Tables

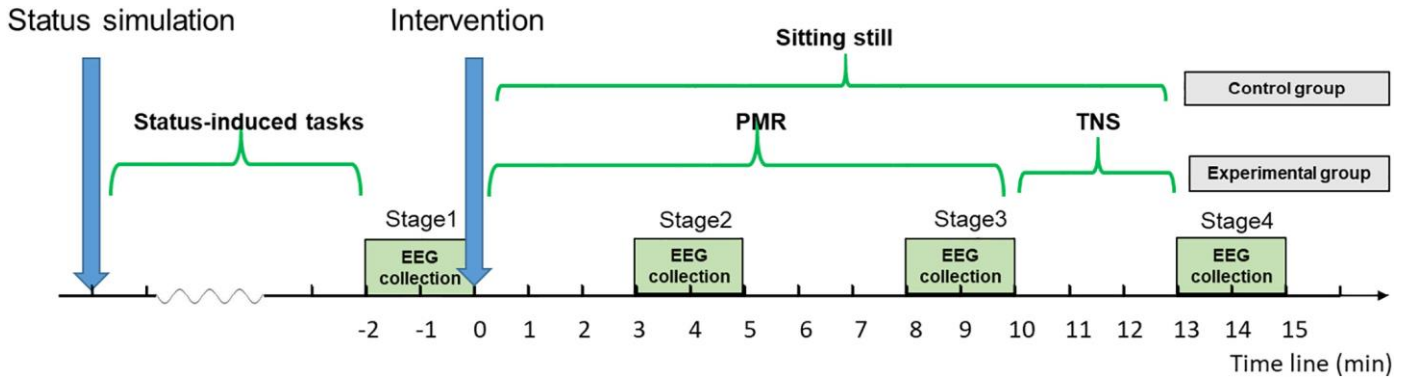


Fig. 1. The entire experiment process, with raw EEG data collection for statistical analysis

Notes: The origin of the time line denotes the starting point of the intervention. The four experimental segments for data collection respectively are: stage 1 (-2--1 min), stage 2 (3-5 min), stage 3 (8-10 min), and stage 4 (13-15 min)).

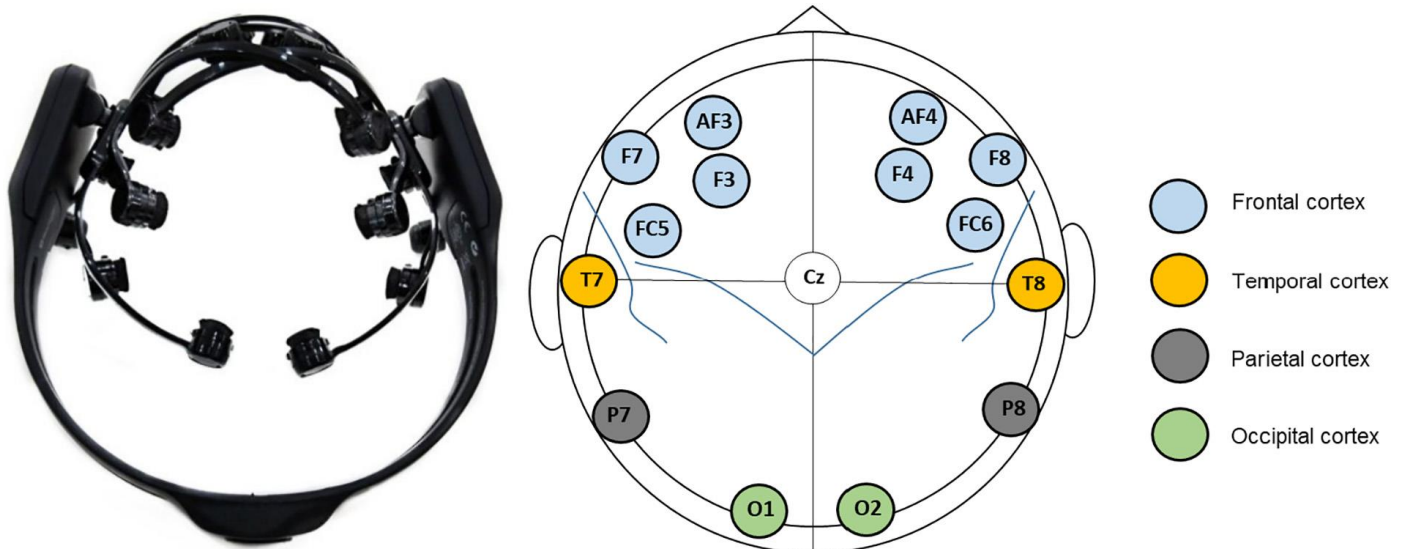


Fig. 2. The wearable EEG device (EMOTIV EPOC+ 14 Channel Mobile EEG) and corresponding 14 electrode channels (i.e. AF3, F7, F3, FC5, T7, P7, O1, O2, P8, T8, FC6, F4, F8, and AF4)

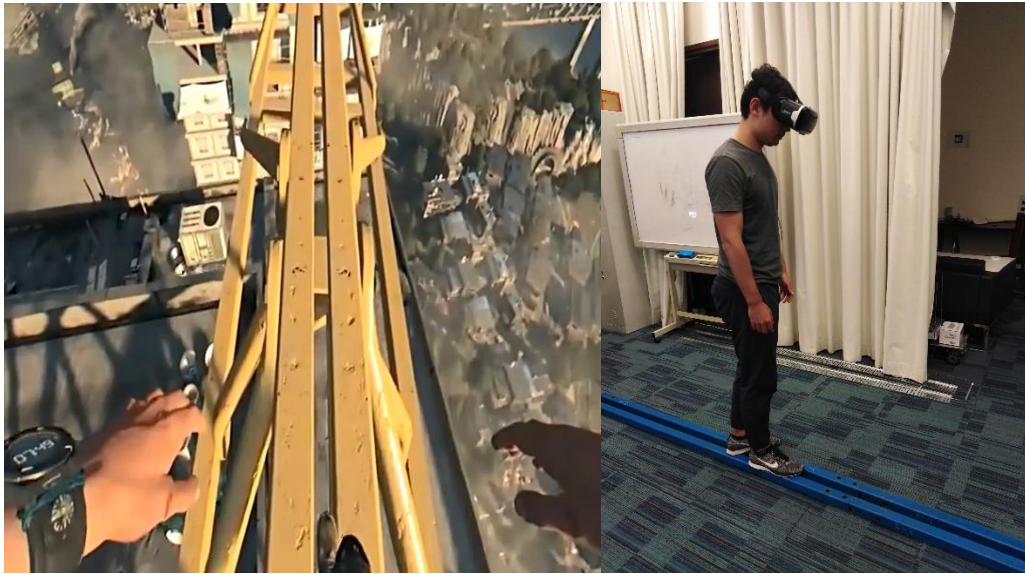


Fig. 3. VR mission simulating the high-altitude walk



Fig. 4. Progressive muscle relaxation in a lounge environment (with a wearable EEG device)

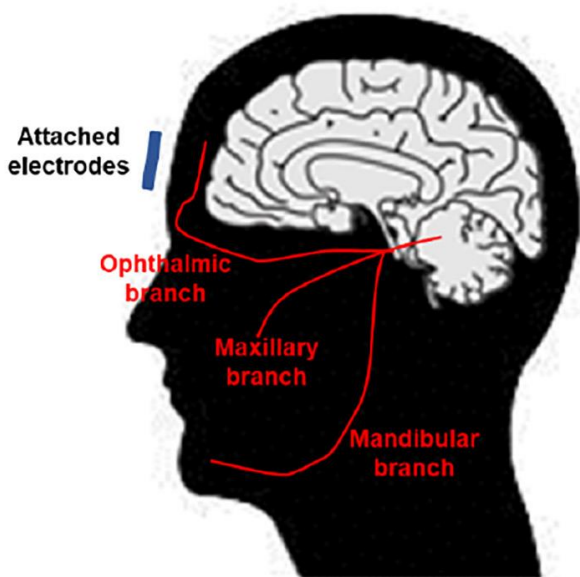
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Fig. 5. The medical and portable external pulse generator



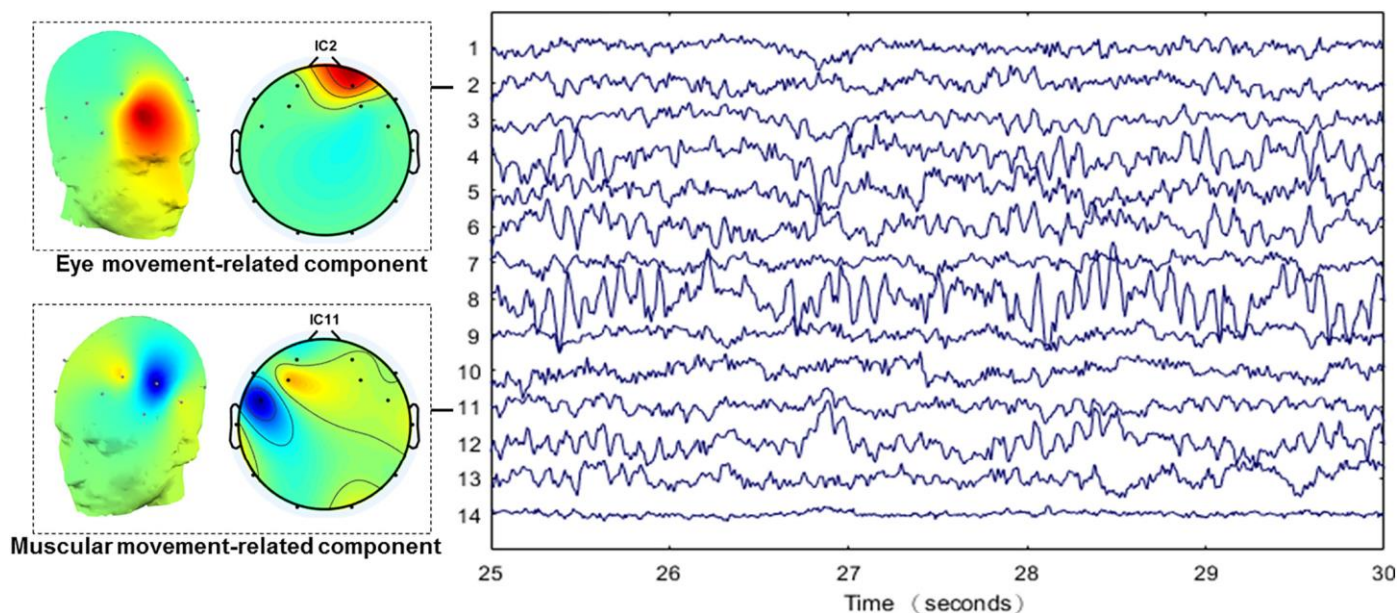
(a)

(b)

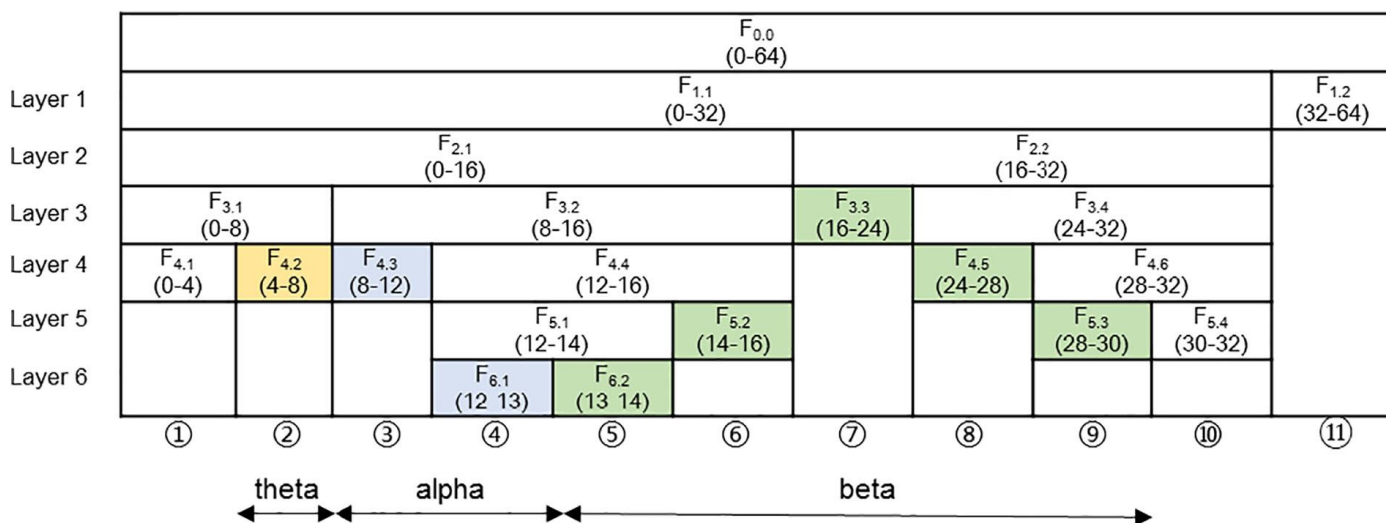
Fig. 6. Two adhesive rubber electrodes placed corresponding to the V1 branches of the trigeminal nerve ((a) schematic of the trigeminal nerve stimulation cited from **Error! Reference source not found.**; and (b) a subject in the practical experiment).

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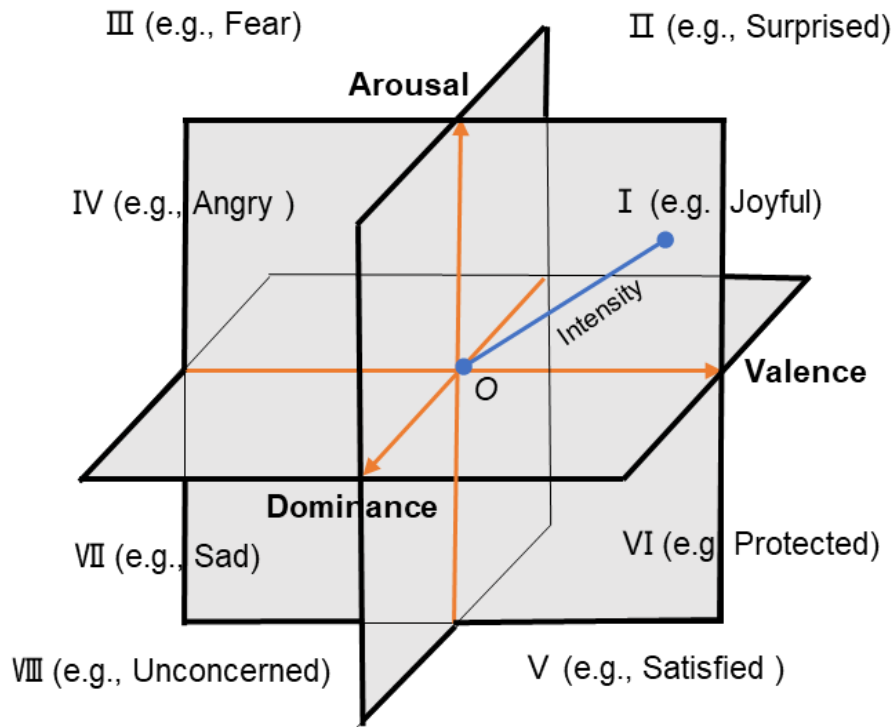
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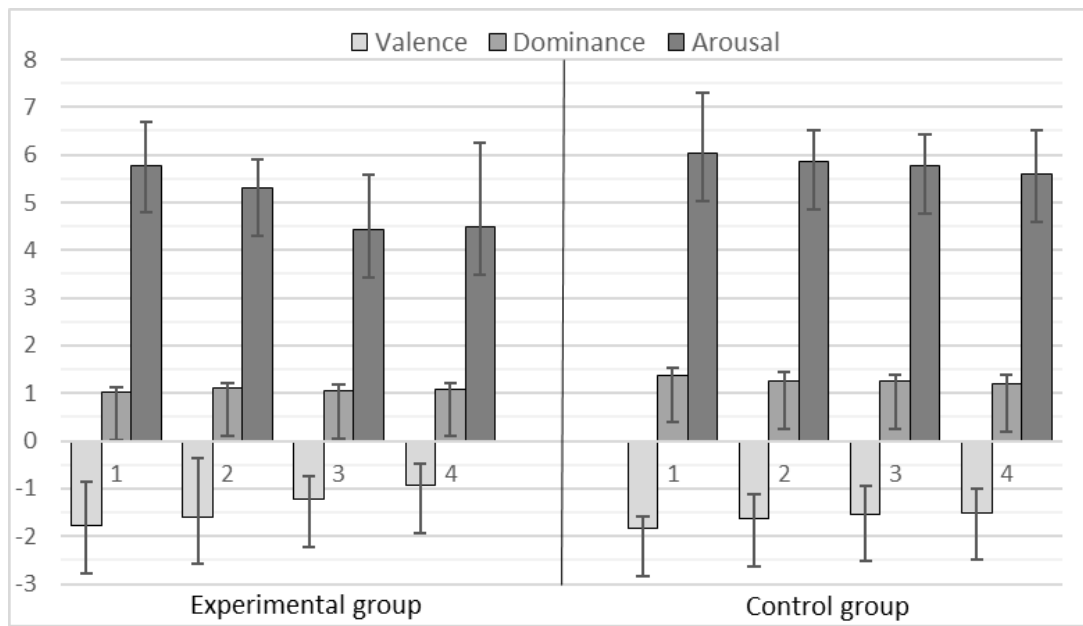
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655 **Fig. 7.** 14 independent components through ICA and interested noise components of intrinsic artifacts (subject 1).
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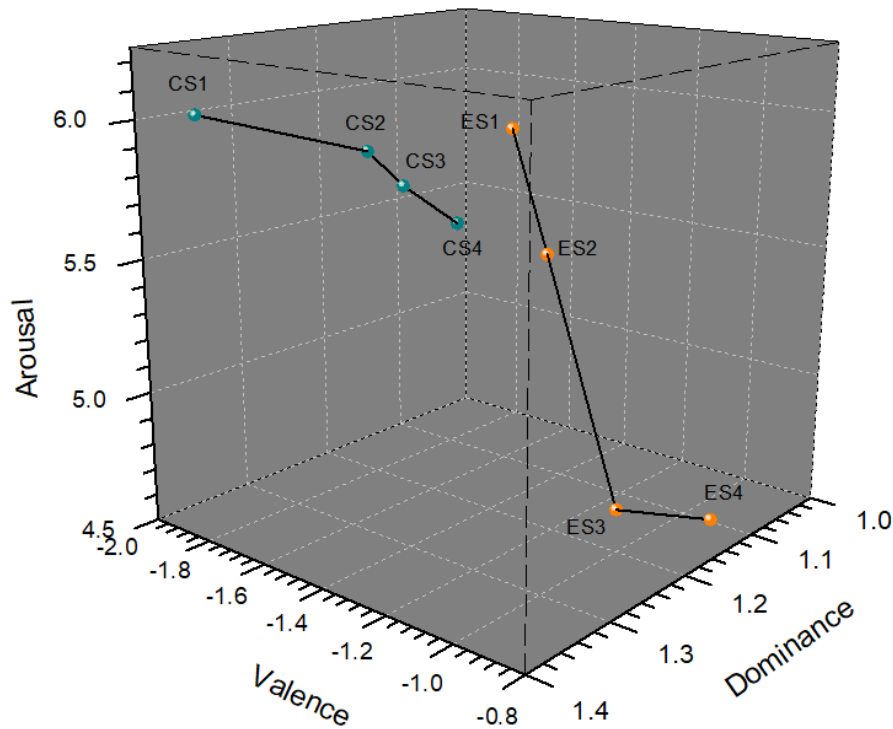
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659 **Fig. 8.** Structure of the wavelet packet decomposition and reconstruction for valid band powers.



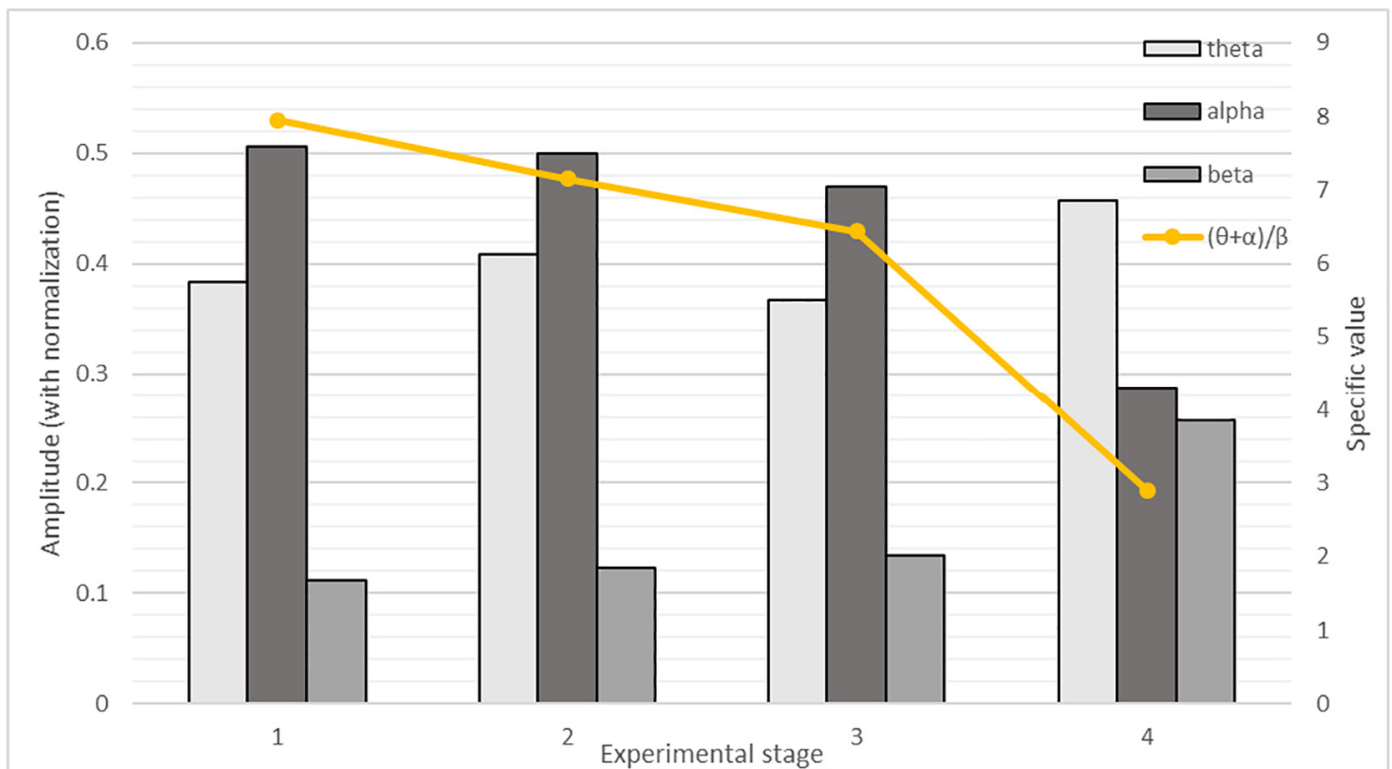
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661 **Fig. 9.** The VDA model for the recognition of emotional state (typical discrete emotions are mapping to eight quadrants as the
662 examples of specific emotional states).
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665 **Fig. 10.** Average levels of the *valence*, *arousal*, and *dominance* dimension indices in four EEG collection stages of the
666 experimental and control groups
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670 **Fig. 11.** Average emotional state trends of the experimental and control groups (in this figure, ES_i represents the i th EEG
671 collection stage of the experimental group, and CS_i represents the i th stage of the control group).
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674 **Fig. 12.** Trend of mental fatigue adjustment of the experimental group through intervention sessions (bar graph: grand average of
675 frequency band powers of θ (4–8 Hz), α (8–15 Hz), and β (15–30 Hz) of the four experimental stages (after
676 the normalization). Line graph: grand average of $(\theta + \alpha) / \beta$ of four experimental stages)

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Table 1. Nine types of pulse signal tested in the preliminary experiment

Waveform	Frequency1 (Hz)	Frequency2 (Hz)	Frequency3 (Hz)	Note
Continuous wave	10	30	50	-----
Discontinuous wave	10	30	50	3s on/3s off
Disperse-dense wave	10/30	30/40	40/50	2s disperse/4s dense

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Table 2. Statistical *t*-test scores for *valence*, *dominance*, and *arousal* after the intervention sessions

Group	Indices	<i>p</i> -value		Ultimate effects
		PMR	TNS	
Experimental group	<i>Valence</i>	.027 (↑)	.033 (↑)	.013 (↑)
	<i>Dominance</i>	.019 (↑)	.112 (---)	.015 (↑)
	<i>Arousal</i>	.000 (↓)	.048 (↑)	.183 (---)
Control group	<i>Valence</i>	/	/	.823 (---)
	<i>Dominance</i>	/	/	.179 (---)
	<i>Arousal</i>	/	/	.234 (---)

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Table 3. The intensities of emotional states in different experimental stages

Group	Stage 1	Stage 2	Stage 3	Stage 4
Experimental group	6.136	5.652	4.711	4.703
Control group	6.458	6.221	6.093	5.912

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Table 4. Statistical *t*-test scores of (*theta* + *alpha*)/*beta* after intervention sessions

Group	<i>p</i> -value		
	PMR	TNS	Ultimate effects
Experimental group	.007 (↓)	.022 (↓)	.006 (↓)
Control group	/	/	.422 (---)

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Note: the paired-*t* test is used. The data applied for the PMR effects are the EEG data from experimental stages 1 and 3; the data for the TNS effects are from stages 3 and 4; the data for the ultimate effects are from experimental stages 1 and 4. The symbols in parentheses present the impact trends after the corresponding intervention sessions. “↑” refers to a significant increase of the index. “↓” a significant decrease of the index, and “---” refers to a non-significant difference of the index.