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# **Effect of airway clearance techniques in patients experiencing an acute exacerbation of bronchiectasis: A systematic review**

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## **ABSTRACT**

**Background:** Airway clearance techniques (ACTs) are recommended for people with bronchiectasis both in stable state and during an acute exacerbation. Research has previously investigated ACTs for individuals in a stable state, but the safety and efficacy of ACTs during an acute exacerbation has not been reviewed.

**Methods:** A systematic review was completed of studies of ACTs undertaken in adults and children experiencing an acute exacerbation of bronchiectasis. The databases Pubmed, Embase, PEDro and CINAHL were searched. Methodological quality of studies was examined using the modified Downs and Black tool. Key findings were synthesised using a critical narrative approach.

**Results:** Six studies were included with a total of 120 participants. No eligible studies involving child participants were found. Overall, the methodological quality of studies was moderate. All ACTs investigated appeared safe for adults, with no adverse reactions reported. The active cycle of breathing technique may be more effective at improving gas exchange, sputum volume and health-related quality of life compared to postural drainage and percussion. Participants in two studies preferred oscillating positive expiratory pressure devices over the active cycle of breathing or postural drainage techniques.

**Conclusions:** All airway clearance techniques reported in this review appeared safe for adults experiencing an acute exacerbation of bronchiectasis.

## **INTRODUCTION**

Bronchiectasis is a chronic and progressive lung condition which decreases health-related quality of life (HRQoL) (Barker, 2002; Mutalithas et al, 2008). Symptomatically, individuals have a chronic cough, sputum production, shortness of breath and decreased exercise tolerance (Goeminne and Dupont, 2010). Individuals suffer from recurrent lung infections which cause bronchial inflammation and permanent dilation, abnormal sputum clearance and bacterial colonisations which lead in turn to further infection (Barker, 2002; Flume, Chalmers, and Oliver, 2018; Goeminne and Dupont, 2010). Recurrent exacerbations lead to progressive deterioration of lung function and are one of the strongest predictors of morbidity, mortality and decreased HRQoL in bronchiectasis (Elborn and Bell, 2007; McShane, Naureckas, Tino, and Streck, 2013).

An exacerbation of bronchiectasis is diagnosed when a clinician determines a change in bronchiectasis treatment is required as well as a deterioration in three or more of the following symptoms for at least forty-eight hours: cough; sputum volume and/or consistency; sputum purulence; haemoptysis, breathlessness and/or exercise tolerance; fatigue and/or malaise (Hill et al, 2017). The presentation of bronchiectasis, especially during an acute exacerbation, supports the prescription of airway clearance techniques (ACTs) as part of management alongside medical treatment such as oral or intravenous antibiotics and steroids (Chang et al, 2015; Pasteur, Bilton, Hill, and Group, 2010; Polverino et al, 2017). National and international guidelines recommend that physiotherapists should prescribe ACTs to individuals when experiencing an exacerbation and when stable (Chang et al, 2015; Pasteur et al, 2010; Polverino et al, 2017). It has been stated that ACTs are especially important during an exacerbation to clear the increased sputum load (Patterson et al, 2007). Airway clearance techniques may include breathing exercises such as the active cycle of breathing technique

(ACBT) and autogenic drainage, positioning and postural drainage (PD), manual techniques such as percussion or vibration, or techniques requiring a device such as positive expiratory pressure (PEP) therapy and oscillating PEP therapy (Snijders et al, 2015; van der Schans, 2007).

Previous reviews and research have focused on the use of ACTs during the stable clinical state of bronchiectasis, showing that various techniques such as PEP therapy, oscillating PEP therapy, PD, expiration with glottis open in lateral position (ETGOL) and high frequency chest wall oscillation are safe and effective at increasing sputum production compared to no intervention (Lee, Burge, and Holland, 2015; Lee, Williamson, Lorensini, and Spencer, 2015; Muñoz, Gracia, Buxó, Alvarez, and Vendrell, 2018). However, there is very limited evidence regarding the effectiveness of ACTs during an acute exacerbation of bronchiectasis that is not associated with cystic fibrosis. In the one previous review which included individuals experiencing an acute exacerbation as well as those in a stable state, the results did not separately analyse the findings based on clinical state and so the authors could only conclude that ACTs were safe for individuals during the stable state of their disease (Snijders et al, 2015). There have been no systematic reviews investigating ACTs exclusively in individuals experiencing an acute exacerbation.

The primary aim of this systematic review was therefore to establish if ACTs are safe for individuals experiencing an acute exacerbation of bronchiectasis not associated with cystic fibrosis. The secondary aim was to establish the effectiveness of ACTs in improving outcomes including sputum clearance, lung function, arterial blood gases, quality of life and breathlessness for these individuals. The protocol for this systematic review was registered with PROSPERO (PROSPERO 2016 CRD42016053306).

## **METHOD**

Guidance provided by the PRISMA statement for systematic reviews was followed for this systematic review (Moher, Liberati, Tetzlaff, Altman, and Group, 2009). Prior to conducting this review, the Cochrane Library, Physiotherapy Evidence Database (PEDro) and the PROSPERO database were searched to ensure a similar systematic review or protocol had not been published.

### **Eligibility criteria**

Studies were eligible for inclusion if they included adults or children with a medical diagnosis of bronchiectasis not associated with cystic fibrosis (confirmed by HRCT scan, bronchography or physician) who were experiencing an acute exacerbation and who were prescribed ACT(s). Studies were excluded if they were not available in English or were published as abstract only, if they used a case study design or if interventions were completed without the goal of sputum clearance (e.g. mobility to increase exercise tolerance). Studies which involved multi-pronged interventions where the effects of each component could not be disentangled and studies which included individuals with a respiratory condition other than bronchiectasis were also excluded.

### **Search strategy**

One investigator conducted the database search to identify studies that might be eligible for inclusion in the review. Electronic databases of Pubmed, Embase, PEDro and CINAHL were searched, as well as the grey literature database World Cat. Similar key words were used to search each database and included "bronchiectasis" AND "airway clearance techniques" OR "chest physiotherapy" OR "mucociliary clearance" OR "bronchopulmonary hygiene".

Relevant synonyms of each term were also employed, as well as MeSH or other standardised terms used in each database. An example of the search strategy applied in PubMed and

adapted for other databases is included in Appendix 1. A manual search of the reference lists of included papers was also completed to identify any other studies of potential relevance. The search was completed in January 2018. No date, language or other filters were applied during the search.

### **Study selection**

Two reviewers screened titles and abstracts of all articles identified in the search to exclude duplicates and all of those that were clearly ineligible. The full text of remaining articles was then reviewed by the same two reviewers, to assess eligibility for inclusion. A third reviewer was consulted if consensus could not be reached. Results of the search, screening and selection processes were documented in a PRISMA flow diagram (Moher et al, 2009).

### **Quality assessment**

To assess the methodological quality of included articles, a modified Downs and Black critical appraisal tool (Downs and Black, 1998 ) was applied independently to each included article by two reviewers (JP and AL). The Downs and Black tool is a widely used, reliable and valid tool used to assess the methodological quality of both randomised and non-randomised studies and contains items that assess methodological issues that may affect both internal and external validity. The original version of this tool has 27 questions that together score studies out of a total 32 points, as question 5 is scored on a 0-2-point scale and question 27 on a 0-5 point scale. A modified version was used for this review, which scored both question 5 and question 27 dichotomously. Question 5 scored 1 if a list of principal confounders was reported and 0 if not, and question 27 scored 1 if a power or sample size calculation was attempted or reported and 0 if not. This modification has been used previously (Ng, Mackney, Jenkins, and Hill, 2012).

The quality of each included article was then rated using a scale from poor to strong quality, dependent on the total score. A percentage was calculated from the potential score out of 27. Articles were considered poor quality if scored <25%, limited quality if scored 25-49%, moderate quality if scored 50 – 74% and strong quality if scored >75% (Jäkel and von Hauenschild, 2011). No articles were excluded based on the quality assessment, but methodological quality of specific studies was considered during data synthesis and analysis.

### **Data Extraction**

A standardised, pre-piloted form was used to extract data from each included study, including participant demographics, study setting, study methodology, interventions, outcome measures and timing of outcome measures. Missing data were requested from authors, where required, and extracted data were tabulated. If a paper also included participants with conditions other than an acute exacerbation of bronchiectasis not related to cystic fibrosis, only the participants experiencing an acute exacerbation of bronchiectasis were used in analysis for this review.

### **Synthesis and outcomes**

A critical narrative approach was employed in the synthesis of key findings from included studies and was structured around the types of interventions investigated, target population characteristics, types of outcomes assessed and key findings. Criteria used to assess safety, acceptability and effectiveness of ACTs (clinical outcomes) are listed in Table 1 (Venkategowda et al, 2014). Meta-analysis was not conducted due to the heterogeneity in study designs, intervention types and durations, and outcome measures employed.



## **RESULTS**

The results from the search strategy and screening process can be seen in the PRISMA diagram in Figure 1. The search strategy yielded 3075 articles, of which 1085 were duplicates and excluded. A total of 1990 articles were screened by title and abstract and 54 remaining articles were screened in full text. There were six studies that met the eligibility criteria (Table 2).

### **Quality assessment**

The final methodological quality scores assigned to each article by both reviewers can be seen in Table 3. There was excellent agreement between reviewers for the modified Downs and Black scores (98%, Kappa 0.95). The mean final score for the six studies was 18/27 (range 14 – 24) (Table 3). Two studies were considered to be of strong methodological quality, and four of moderate quality. The overall mean score for all six studies represents a moderate methodological quality score. Most studies scored well for reporting and internal validity bias domains, and poorly for the external validity and confounding bias domains.

### **Participants**

A total of 120 participants with an acute exacerbation of bronchiectasis were included in these six studies, of whom 62 (52%) were males (Table 2). Sample sizes ranged from two to 30 participants, and all were adults. No studies were found that investigated children. Five of the six studies were completed in an inpatient setting, and one in an outpatient department. Table 2 provides further details of participant characteristics.

### **Interventions**

Across the six included studies there were a range of interventions employed for airway clearance. Five studies compared the effects of two different techniques, and one study

investigated the impact of three different techniques. Overall, nine different techniques were included across the six studies; ACBT, PD +/- percussion, PEP devices, temporary positive expiratory pressure (TPEP), autogenic drainage, thoracic compression, oscillating PEP (using the Flutter<sup>®</sup> valve or Acapella<sup>®</sup> devices), and deep breathing exercises. Further details can be found in Table 2.

Duration of the study periods across which ACT were administered to the participants varied greatly between studies, from one study including a single treatment session of each ACT (Herala and Gislason, 1988), to another study where the intervention was completed daily from hospital admission through to discharge (10 – 60 days) (D'Abrosca et al, 2017). The majority of studies ran for 6 to 14 days, which was the period of hospital admission or of antibiotic therapy.

Prescription of ACTs each day and supervision of techniques being performed also varied amongst studies. One study completed in an outpatient setting had a physiotherapist teach an ACT (Acapella<sup>®</sup>) to participants on a single occasion at the start of an exacerbation, and then the participants were asked to complete it independently at home for the remainder of the study period (Patterson et al, 2007). Most participants in that study reported completing their prescribed ACT twice daily. The other five studies were implemented in an inpatient setting, where the ACT was supervised or performed (depending on the type of ACT) by a physiotherapist on each occasion. One study prescribed ACTs to be completed three times per day (Tsang and Jones, 2003), while two involved ACTs completed twice daily for the study period (AbdelHalim, AboElNaga, and Fathy, 2016; D'Abrosca et al, 2017). One study did not report how many times per day ACT were completed (Grillo et al, 2015), and the final study involved a single treatment session (Herala and Gislason, 1988). Table 2 provides further details.

## **Safety**

All six studies reported nil adverse events throughout their treatment routines (AbdelHalim et al, 2016; D'Abrosca et al, 2017; Grillo et al, 2015; Herala and Gislason, 1988; Patterson et al, 2007; Tsang and Jones, 2003). However, one study did report some participants experienced occasional dizziness with PEP and TPEP due to hyperventilation (D'Abrosca et al, 2017). The authors did not report the number or percentage of participants that reported this side effect and the authors did not consider this an adverse event.

In addition, the within-groups changes in clinical outcome measures reported in Table 4 indicate that there were no instances where clinical or other patient outcomes were observed to significantly deteriorate over the course of treatment, with any of the treatment techniques. On this basis, there is no evidence from any of the included studies to indicate that any of the observed ACTs resulted in any harm to participants who were experiencing an acute exacerbation of bronchiectasis.

## **Acceptability of technique**

Two studies examined acceptability to the participant of oscillating PEP (one using Acapella<sup>®</sup> device and the other using Flutter<sup>®</sup> device) and compared this to acceptability of “usual airway clearance” (90% ACBT, 10% PEP) or breathing and coughing in upright sitting or a PD position (Patterson et a., 2007; Tsang and Jones, 2003). Both showed participant preference towards oscillating PEP. The first study reported 7 of 10 participants preferred Acapella<sup>®</sup> to their “usual airway clearance technique” (majority using ACBT) and those participants chose to continue Acapella<sup>®</sup> as their ACT when reassessed one month following study cessation (Patterson et al, 2007). In the other study, Flutter<sup>®</sup> was consistently perceived to be more effective than breathing and coughing in upright sitting or a PD position, when effectiveness was measured by a subjective 4-point Likert scale ranging from “not effective

at all” to “very effective”, on day 2 and day 4 of hospital admission and on day of discharge, following an acute exacerbation (overall mean  $p = 0.011$ ) (Tsang and Jones, 2003).

### **Clinical Outcomes**

There were a variety of clinical and other patient outcomes assessed in participants with an acute exacerbation of bronchiectasis, including lung function, sputum clearance, arterial blood gases, quality of life and breathlessness. The key outcomes are presented below and further details are provided in Table 4.

### **Sputum clearance**

Three studies reported the effects of ACTs on sputum clearance (AbdelHalim et al, 2016; Patterson et al, 2007; Tsang and Jones, 2003). The method by which sputum production or expectoration was measured varied through the studies, but most studies reported an improvement in sputum clearance following use of an ACT. Two studies implemented the ACBT and found improvements in sputum expectoration (wet weight or volume) over the course of an exacerbation (AbdelHalim et al, 2016; Patterson et al, 2007). Within one of those studies, the ACBT in a PD position was found to be superior to percussion in a PD position when completed twice per day over the course of 14 days and when the wet weight of sputum produced was measured at the beginning of an exacerbation and again on day 14 (AbdelHalim et al, 2016). In the other study, use of the Acapella<sup>®</sup> was associated with a greater volume of sputum production in a single treatment session than “usual airway clearance technique” (90% ACBT, 10% PEP), but this difference did not reach statistical significance (Patterson et al, 2007). Both that study and one other found that oscillating PEP (Acapella<sup>®</sup> device or Flutter<sup>®</sup> device) improved sputum production when compared to “usual airway clearance therapy” (ACBT 90%, PEP 10%) or PD with breathing and coughing, but

this difference did not reach statistical significance (Patterson et al, 2007; Tsang and Jones, 2003).

### **Lung function**

Four of the six studies incorporated lung function tests using spirometry (forced expiratory volume in 1 second (FEV<sub>1</sub>), forced vital capacity (FVC), maximal mid-expiratory flow (MMEF), vital capacity (VC), peak expiratory flow (PEF)), pre and post an ACT (AbdelHalim et al., 2016; D'Abrosca et al., 2017; Patterson et al., 2007; Tsang and Jones, 2003). In most studies, participants demonstrated a small but non-significant improvement in their lung function tests when the tests were administered at the beginning of an exacerbation and repeated following intervention or at the time of hospital discharge or cessation of antibiotics. However, none of the studies indicated that any given ACT was more effective than any other for improving lung function.

Only one study found a small significant change in lung function test results when the tests were completed at the beginning of an exacerbation and again at cessation of antibiotic treatment which lasted 14 days. AbdelHalim et al. (2016) showed a significant improvement in FVC and MMEF following ACBT combined with PD administered twice daily for 14 days. The same study also showed significant improvement in FEV<sub>1</sub> and MMEF following percussion and PD completed twice per day for 14 days. However, despite these within-group improvements, there was no difference between the ACBT and percussion group in observed improvements (Table 4).

Two studies conducted lung function testing before and after individual treatment sessions during the study period. One of these studies, comparing Acapella® to “usual airway clearance therapy” (ACBT 90%, PEP therapy 10%), found no changes in lung function after a single treatment session at the start or end of a course of oral antibiotics in either group, nor

a difference between groups in post-treatment lung function on either occasion (Patterson et al, 2007). The other study found similar results when comparing Flutter® valve, PD with breathing and coughing, and breathing and coughing in upright sitting, with no changes in lung function observed following treatment on day 2, day 4 or day of discharge within each ACT group (Tsang and Jones, 2003). Furthermore, no differences in lung function were observed between groups following treatment on any of those days (Tsang and Jones, 2003).

### **Arterial blood gases and pulse oximetry**

Gas exchange measured by components of arterial blood gases (ABGs) was assessed as an outcome measure in two studies. AbdelHalim et al. (2016) showed gas exchange improved significantly following a course of treatment in hospital involving either ACBT with PD or percussion with PD. Following ACBT with PD, PaO<sub>2</sub> and PAO<sub>2</sub> both significantly improved, while following percussion with PD, PaCO<sub>2</sub>, PaO<sub>2</sub> and PAO<sub>2</sub> all improved significantly when compared to baseline. However, ACBT with PD was associated with superior improvement in PaO<sub>2</sub> and in the P(A-a) O<sub>2</sub> gradient when compared to percussion with PD. Another study found no difference in the changes in ABG results observed following use of TPEP and PEP (D'Abrosca et al, 2017).

Pulse oximetry was measured in two studies, one during each treatment session and the other at the beginning and cessation of the study (Patterson et al, 2007; Tsang and Jones, 2003). Tsang and Jones (2003) reported no significant change in readings during treatment sessions involving Flutter® valve, PD with breathing and coughing, or breathing and coughing in upright sitting. The second study, which compared the observed changes in pulse oximetry readings following Acapella® to those following their “usual airway clearance therapy” (ACBT 90%, PEP 10%) reported no significant changes in either group from the beginning to the end of a course of oral antibiotics (Patterson et al, 2007).

One study measured blood gases using a transcutaneous electrode attached to the upper anterior chest during PEP therapy and thoracic compression (manual compression of the chest wall at end exhalation) (Herala and Gislason, 1988). Individual participant results were reported and two participants with an exacerbation of bronchiectasis were included in the study. Both participants experienced a small (0.1 and 1.5kPa) increase in transcutaneous pressure of oxygen with thoracic compression lasting 5 minutes or less. One participant experienced a small decrease in oxygen pressure with PEP therapy (-0.6 kPa) lasting 5 minutes, whilst the other had an improvement of oxygen pressure with PEP therapy of 1.0kPa (Herala and Gislason, 1988). Both participants experienced a minimal decrease in the reported transcutaneous pressure of CO<sub>2</sub> with both thoracic compression and PEP therapy, which lasted longer following thoracic compression compared to PEP therapy.

### **Quality of Life, breathlessness and symptoms**

Only one study assessed quality of life, and they used the Leister Cough Questionnaire (AbdelHalim et al, 2016). This study found that although both groups showed improvement across the study period, ACBT with PD was superior to percussion with PD for improving cough-related quality of life over 14 days of treatment ( $p = 0.019$ ).

Two studies investigated breathlessness, using different outcome measures. One study used the BORG scale and the other the modified Medical Research Council (mMRC) scale. In one of these studies, breathlessness, as measured by the mMRC scale, was observed to significantly improve from beginning to end of hospital admission, which incorporated using either the ACBT with PD or percussion with PD ( $p < 0.001$  and  $p < 0.001$  respectively) (AbdelHalim et al, 2016). However, there was no significant difference between these techniques in the levels of improvement in breathlessness observed following their use (AbdelHalim et al, 2016). In the other study, which used the BORG breathlessness scale pre

and post intervention (on day 1 and on final day of oral antibiotics), no significant changes were observed in any of these measures (Patterson et al, 2007). This indicated that neither Acapella® nor “usual airway clearance therapy” (ACBT 90%, PEP 10%) changed patients’ perceived breathlessness in a single treatment session (Patterson et al, 2007).

Only one study measured patient reported symptoms. Patterson et al. (2007) recorded patient’s respiratory symptoms across the course of an exacerbation, comparing symptoms in those using Acapella® with symptoms in those using “usual airway clearance therapy” (ACBT 90%, PEP 10%). Although participants within each treatment group improved following treatment on measures including sputum volume and colour, intensity and frequency of cough, fatigue, exercise tolerance, sinus discharge and appetite, there were no differences between the Acapella® and “usual airway clearance therapy” groups in observed improvements.

### **Lung Clearance Index**

The lung clearance index was reported as an outcome in one study (Grillo et al, 2015). The lung clearance index was completed pre and post physiotherapy (ACBT with or without PD or autogenic drainage) at the start and end of an exacerbation (day of discharge). There was no significant difference on either occasion following physiotherapy (Grillo et al, 2015).

### **DISCUSSION**

This is the first systematic review to have investigated ACTs exclusively in participants experiencing an acute exacerbation of bronchiectasis not associated with cystic fibrosis. It is noteworthy that no studies were found that included child participants; all six included studies involved adult participants experiencing an acute exacerbation. From these studies, a key finding is that a range of ACTs appeared to be safe to implement in adults experiencing



an acute exacerbation, as no studies reported any significant adverse events and none of the outcome measures reported in the studies deteriorated following use of the ACTs.

Only one study found significant differences between two different types of ACTs. While both ACBT with PD and PD with percussion were associated with improved sputum expectoration, gas exchange and reduced dyspnoea, greater improvements in cough-related QoL, gas exchange and sputum expectoration were noted with ACBT with PD (AbdelHalim et al, 2016). This is similar to results found in other published systematic reviews, which state that ACBT may be superior to percussion and PD in short term sputum expectoration (Lewis, Williams, and Olds, 2012), and that percussion has shown limited effectiveness for sputum expectoration or improving lung function in patients with COPD or bronchiectasis (Holland, 2014; van der Schans, 2007). It may also be because although a “huff” was taught to all participants in the ACBT group, it is unclear if participants in the percussion group were instructed to complete a “huff”, which may be one of the most important aspects of any ACT (van der Schans, 2007). These findings suggest that during an acute exacerbation of bronchiectasis, prescribing ACBT combined with percussion may improve sputum clearance, gas exchange and cough related QoL.

While oscillating PEP appeared to show a trend in improved sputum expectoration in two studies, neither of these trends reached statistical significance (Patterson et al, 2007; Tsang and Jones, 2003). This may be due to low numbers of participants in the included studies or the heterogeneity in the control group (90% ACBT vs 10% PEP) (Patterson et al., 2007; Tsang and Jones, 2003). The timing of sputum collection was also variable, one study (Tsang and Jones, 2003) measured the sputum collected both during the treatment session and for the following 24 hours, whilst the other only collected sputum during the treatment session (Patterson et al, 2007). Sputum clearance may continue post completion of the ACT and so

this may be a limiting factor for why significance was not reached in the second study (Patterson et al, 2007). These findings correlate to findings in participants with stable bronchiectasis, where oscillating PEP was shown to be effective at increasing sputum clearance inconsistently amongst included studies (Lee, Williamson, et al, 2015).

Two studies in this review highlighted that patients may prefer an oscillating PEP over ACBT +/- PD and breathing and coughing and felt that it may be more beneficial for sputum expectoration (Patterson et al, 2007; Tsang & Jones, 2003). It is widely recognised that patient preference and adherence to treatment in bronchiectasis is important (Chalmers, Aliberti, and Blasi, 2015; Pasteur et al, 2010; van der Schans, 2007). This may therefore require further consideration in future studies examining the comparisons of different types of ACTs during an exacerbation. Specifically, well powered studies which investigate oscillating PEP and ACBT during an acute exacerbation of bronchiectasis would be valuable. This is because from the studies conducted to date, these two techniques appear to be the most effective at improving patient outcomes, but from the current research it is unclear which is superior.

A range of ACTs were examined across the six included studies. Within all the included studies, participants consistently demonstrated improvements (where measured) in sputum expectoration, lung function, cough related QoL and gas exchange, from beginning of an acute exacerbation to conclusion of the study. However, all studies applied ACTs within a multifaceted treatment regimen of antibiotics and other medical care. Based on this, the improvements seen from the beginning to the conclusion of each study indicate that while ACTs did not appear to cause a deterioration in a participants' condition, the impact alone of an ACT and the extent of their contribution to observed effects on outcome measures is difficult to establish.

All included studies involved relatively small numbers of participants; these ranged from two to thirty participants. It is possible that potentially clinically significant findings were not identified due to low participant numbers decreasing the power of the studies. More studies involving larger participant cohorts are required to further develop knowledge in this area. As noted above, no studies were found by this review that investigated ACTs for children experiencing an acute exacerbation of bronchiectasis. There are specific factors that need to be considered prior to implementing ACT for children. These include age, complexity and demands of treatment, level of support, influences upon adherence and preserving family relationships and a child's sense of normality (Lee, Button, and Tannenbaum, 2017). For these reasons, studies involving children are required. More studies with larger sample sizes are also needed to further ensure safety and assess adherence (Lee et al, 2017).

Of the six included studies, two were found to be of strong methodological quality, and four of moderate methodological quality. Only one study made an attempt to blind those measuring the main outcome of the study, and to calculate the required sample size (Patterson et al, 2007). All studies recruited participants from the population of interest (adults experiencing an acute exacerbation of bronchiectasis) and participants in each group were recruited over the same period of time, where more than one group was involved. All studies also used accurate and valid outcome measures. It is common for studies in chronic respiratory disease patient populations to have low or moderate methodological quality, and so finding and including two studies that exhibited "strong" quality is a strength of this review (Jones and Rowe, 2000; Lee, Williamson, et al, 2015; Polverino et al, 2017).

On this basis, further research into the safety and effectiveness of ACTs is required in participants experiencing an acute exacerbation of bronchiectasis, using larger sample sizes and with higher methodological quality. Future research should also consider a range of

ACTs, particularly those which are safe and effective when applied in people who are in a stable state of bronchiectasis such as PEP therapy and oscillating PEP therapy and ETGOL (Lee, Holland et al, 2015; Muñoz et al, 2018). This research is imperative as ACTs are currently recommended and routinely completed for patients with both stable bronchiectasis and during an acute exacerbation as per recommendations in clinical guidelines (Chalmers et al, 2015; Chang et al, 2015; O'Neill, Bradley, McArdle, and MacMahon, 2002; Pasteur et al, 2010; Polverino et al, 2017)

## **CONCLUSION**

Airway clearance techniques are routinely recommended by physicians as part of maintenance in patients with bronchiectasis, and guidelines suggest that a change of ACT may be required during an acute exacerbation (Chalmers et al, 2015; Pasteur et al, 2010). All ACTs implemented in the studies included in this review were reported to be well tolerated during an acute exacerbation. However, this review was limited by the small number of studies available and the fact that those included had limited sample sizes and involved only adults, and no children. The ACBT combined with PD was found to be superior in sputum expectoration, cough related quality of life and gas exchange when compared to percussion combined with PD. No other significant differences were observed in any of the included studies between specific ACTs, however oscillating PEP therapy was preferred by participants over other types of ACTs and may be associated with an increase in sputum production. More research is required with larger sample sizes and higher methodological quality, including both children as well as adult participants, to further assess the safety and effectiveness of ACTs in both adults and children experiencing an acute exacerbation of bronchiectasis.

**Disclosure of Interest:** The authors report no conflict of interest.

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**Table 1: Criteria for assessing safety and effectiveness of ACTs**

<b>Safety of ACT criteria: adverse reactions</b>	<b>Effectiveness of ACT criteria</b>
Negative change in pulmonary function tests	Greater volume of sputum in a single treatment session
Deterioration in patient reported symptoms	Reduction in sputum volume across the course of treatment (e.g. 14 days of antibiotics)
Decrease in blood oxygen levels measured by arterial blood gas or pulse oximetry by more than 5%*	Positive change in blood oxygen levels measured by arterial blood gases or pulse oximetry
	Improvement in patient reported symptoms
	Improvement in HRQoL
	Positive change in pulmonary function tests

Key: ACT; Airway clearance technique; HRQoL; Health related quality of life

\*Venkategowda et al, 2001



**Table 2: Study Characteristics**

Study	Design	N	Gender M/F	Age (yrs)	Baseline FEV <sub>1</sub>	Baseline difference between groups	Group 1	Group 2	Group 3
D'Abrosca et al. (2017)	Retrospective cohort study	28	13/15	41 – 88	TPEP: 1.00 L (0.42)  PEP: 0.92 L (0.37)	Nil significant differences in age, gender, smoking history, lung function or ABGs.	Intervention: TPEP: Blow through a mouthpiece keeping TPEP active as long as possible on every breath and cough as needed or at least every 3-5 minutes.  Two 15-minute sessions daily.  Seated position with elbows resting on hard surface	PEP mask: Instructed to reach and maintain the highest mid-expiratory pressure tolerated between 10 and 20cm H <sub>2</sub> O (measured by a manometer weekly), breathing at slightly increased V <sub>t</sub> , but not to use force at the end of the expiration. Approx. every 2 minutes instructed to perform a FET manoeuvre; huffing and/or coughing	NI

								without the resistor. Two 15-minute sessions daily.  Seated position with elbows resting on a hard surface	
AbdelHali m et al. (2016)	RCT	30	20/10	52 (15)	<b>FEV<sub>1</sub> % predicted</b> Intervention: 57.2 (13.8) Control: 54.1 (20.5)	No significant differences in any outcome at baseline. mmRC p = 0.897 FVC p = 0.986 FEV1 p = 0.630	Intervention: ACBT (breathing control, 2-3 deep breaths, breathing control, huff), cough as required.  Each cycle lasted approx. 2 minutes.  Repeated 15-20 minutes with postural drainage twice daily.	Conventional chest physiotherapy:  Use of postural drainage positions with diaphragmatic breathing and percussion.  Positions not reported.  15-20 minutes twice per day.	NI

						<p>Sputum wet volume p = 0.842</p> <p>ABGs p = 0.140 – 0.330</p> <p>Nil significant difference in age, height or BMI</p>			
Grillo et al. (2015)	Cohort study	90 (25 AEB )	11/18	63 (12)	NR	N/A	<p>Assessed by a physiotherapist at the start of treatment and prescribed an optimal technique which was completed until they had 2 clear cycles or until they fatigued.</p> <p>Intervention: Techniques included</p>	NI	NI

							ACBT +/- PD and autogenic drainage.		
Patterson et al. (2007)	RCT	20	10/10	61 (10)	<b>FEV<sub>1</sub> % predicted</b> Mean 64.7 (21.1)	No differences in baseline age or lung function between groups.	30-minute training session on day one of ABs with a physiotherapist:  2 postural drainage positions and Acapella®  Maximum 15 minutes in each postural drainage position.  Breathing control, 10 breaths Acapella® inhaling to three-quarter maximum breathing capacity, 2-3 second breath hold, active exhalation to FRC, and	30-minute training session on day 1 of oral ABs with a physiotherapist:  Review of their usual ACT including technique used, positioning, duration and frequency of treatments.  "Usual" was defined as the ACT currently used by the patient (no change made to their ACT). 90% of participants used ACBT, 10% used PEP.	NI

							a cough or huff in a set cycle. Completed twice daily	Most patients completed the usual ACT twice daily.	
Tsang and Jones (2003)	RCT	15	8/7	67 – 74 (5 – 15)	PD: 0.78L (0.46) FL: 0.62L (0.20) BC: 0.56L (0.21)	Nil significant differences between the three groups in age, body weight, height, duration of disease, and amount of sputum produced or spirometry in first 24 hours of hospitalisation.	PD: Adopt a maximum of 2 gravity dependent positions for drainage of secretions for total duration 15 mins. During the 15-minute session, patients were instructed to perform BC every 3 minutes - cycle of 5 slow deep breaths then one voluntary cough followed by normal relaxed breathing.	FL: Taught the use of Flutter® in a sitting position for 15 minutes. Cycle every 3 minutes of 5 deep breaths expired through the Flutter®, then one cough followed by breathing control. Completed 3x/day from day 2 of admission until DC	BC: taught to perform cycle of 5 deep breaths followed by a cough and breathing control every 3 mins for 15 mins. Seated position. Completed 3x/day from day 2

							Completed 3x/day from day 2 of admission until DC home.		of admission until DC.
Herala and Gislason (1988)	RCT	15 (2 AEB)	0/2	50 to 72 years	NR	N/A	Thoracic Compression (TC): physiotherapist placed hands on lower part of thorax and manually compressed the thorax during the end of the exhalation. Completed 30 times at a comfortable rate in a sitting position.	PEP - patients instructed to inhale and then to expire smoothly, without force through a plastic tube - the end of which was placed in a bottle containing 10cm of water. Completed 30 times at a comfortable rate in a sitting position.	

Data are presented as mean (SD) of group as a whole or range of means (SD) if presented in multiple groups unless otherwise stated.

Key: ABs: Antibiotics; ACBT: Active cycle of breathing technique; ACT; Airway clearance technique; AEB: Acute exacerbation of bronchiectasis; BC: Breathing and coughing; DC; Discharge; FET: Forced expiration technique; FL: Flutter® valve; FRC: Functional residual capacity; N/A: Not applicable; NI: Not included; NR: Not reported; PEP: Positive expiratory pressure; PD: Postural drainage; RCT: Randomised controlled trial; RXT: Crossover trial; TPEP: Temporary positive expiratory pressure; Vt: Tidal volume.

**Table 3: Final quality assessment results**

Studies = 6	Scores according to the modified* Downs and Black (1998) checklist																											Quality Rating	
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27		Total
D'Abrosca et al. (2017)	1	1	1	1	1	1	1	1	1	1	1	0	0	0	0	0	0	1	0	1	1	1	0	0	0	0	0	15	Moderate
AbdelHalim et al. (2016)	1	1	1	1	1	1	0	1	1	1	0	0	1	0	0	1	1	1	0	1	1	1	1	0	1	1	0	19	Moderate
Grillo et al. (2015)	1	1	1	0	0	1	1	1	0	0	0	0	0	0	0	1	1	1	1	1	1	1	0	0	1	1	0	15	Moderate
Patterson et al. (2007)	1	1	1	1	1	1	1	1	1	1	1	1	1	0	1	1	1	1	1	1	1	1	1	0	0	1	1	24	Strong
Tsang and Jones (2003)	1	1	1	1	1	1	1	1	1	1	0	0	1	0	0	1	1	1	1	1	1	1	1	0	1	1	0	21	Strong
Herala and Gislason (1988)	1	1	1	1	1	1	1	0	1	0	0	0	0	0	0	1	1	0	0	1	1	1	0	0	0	1	0	14	Moderate

Note: These are the final quality assessment scores yielded from assessment by two authors. Scores of 1 = Yes, 0 = No or unable to determine were used for each criterion. \*A modified version was implemented, where question 5 and question 27 were each scored dichotomously.

**Table 4: Summary of key findings**

Study	Duration	Outcome measures and timing	Summary of findings
D'Abrosca et al. (2017)	Retrospective data from 4 years. Patients admitted for a minimum of 10 days.	Spirometry Arterial Blood gases All completed on admission and DC	No significant difference between TPEP and PEP groups in spirometry (FVC p = 0.792, FEV <sub>1</sub> p = 0.841) or arterial blood gases (pH p = 0.313, HCO <sub>3</sub> p = 0.290, PO <sub>2</sub> p = 0.244, PCO <sub>2</sub> p = 0.734).
AbdelHalim et al. (2016)	14 days	HRQoL - LCQ Dyspnoea - mMRC Spirometry Arterial blood gases Sputum collection (wet weight) daily All OCMs completed on admission and day 14	Between groups differences: ACBT superior to control treatment in post physiotherapy outcome measures, as follows: <ul style="list-style-type: none"> <li>• PaO<sub>2</sub> (p = 0.043),</li> <li>• P(A-a) O<sub>2</sub> gradient (p = 0.014)</li> <li>• LCQ physical score (p = 0.023)</li> <li>• LCQ total score (p = 0.019)</li> <li>• Sputum volume (p = 0.023).</li> </ul>



			<p>ACBT group: last day of treatment compared to baseline:</p> <ul style="list-style-type: none"> <li>• Improvement in FVC (mean pre 70.69; post 73.97; <math>p &lt; 0.001</math>) and MMEF (<math>p = 0.043</math>).</li> <li>• Improvement in dyspnoea (mean pre 2.93; post 1.6; <math>p &lt; 0.001</math>)</li> <li>• Improvement in PaCO<sub>2</sub> (mean pre 52.56; post 47.02; <math>p &lt; 0.001</math>),</li> <li>• Improvement in PaO<sub>2</sub> (mean pre 73, post 80.86; <math>p &lt; 0.001</math>) and PAO<sub>2</sub> (mean pre 84.03, post 90.96; <math>p &lt; 0.001</math>)</li> <li>• Improvement in sputum wet volume (pre 43 ml, post 14.67 ml; <math>p &lt; 0.001</math>)</li> <li>• No change in FEV<sub>1</sub> % predicted (<math>p = 0.380</math>), FEV<sub>1</sub>/FVC (<math>p = 0.380</math>) or P(A-a)O<sub>2</sub> (<math>p = 0.288</math>)</li> </ul> <p>Control group: last day of treatment compared to baseline:</p> <ul style="list-style-type: none"> <li>• Improvement in FEV<sub>1</sub> % predicted (pre 54.09, post 56.71; <math>p = 0.044</math>) and MMEF (pre 32.26, post 38.92; <math>p &lt; 0.001</math>).</li> </ul>
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			<ul style="list-style-type: none"> <li>• Improvement in dyspnoea (pre 2.87, post 2; <math>p &lt; 0.001</math>)</li> <li>• Improvement in PaCO<sub>2</sub> (pre 55.91, post 49.66; <math>p = 0.002</math>),</li> <li>• PaO<sub>2</sub> (pre 60.67, post 69.13; <math>p &lt; 0.001</math>) and PAO<sub>2</sub> (pre 79.84, post 87.66; <math>p = 0.002</math>)</li> <li>• Improvement in sputum wet volume (pre 43.67ml, post 19ml; <math>p &lt; 0.001</math>).</li> <li>• No change in FVC (<math>p = 0.705</math>) or FEV<sub>1</sub>/FVC ratio (<math>p = 0.101</math>) or P(A-a)O<sub>2</sub> ratio (<math>p = 0.745</math>).</li> </ul>
Grillo et al. (2015)	NR	<p>Lung clearance index (LCI).</p> <p>Spirometry</p> <p>Completed on two occasions: visit 1 within 48 hrs of admission; pre and post physiotherapy; and on reaching clinical recovery at DC as determined by a consultant pre and post physiotherapy</p>	<p>No change in LCI from pre- to post-physiotherapy at visit 1 or on day of discharge (start exacerbation <math>p = 0.505</math>; end exacerbation <math>p = 0.491</math>).</p> <p>Actual change in lung function tests not reported, all changes reported as z scores only. Small but significant change in FEV<sub>1</sub> z score following physiotherapy at start and end exacerbation (start exacerbation <math>p = 0.012</math>; end exacerbation <math>p = 0.037</math>).</p>

Patterson et al. (2007)	10-14 days (duration of oral antibiotics)	<p>Spirometry</p> <p>Pulse oximetry</p> <p>Borg breathlessness scale (dyspnoea)</p> <p>15 count breathlessness score</p> <p>Questionnaire regarding patient's perceived changes in sputum, cough and other symptoms.</p> <p>Number of coughs during session</p> <p>Completed pre and post ACT session day 1 and final day of oral ABs (day 10-14).</p>	<p>No significant differences between groups in regards to any clinical outcomes.</p> <p>Spirometry: FEV<sub>1</sub> (p = 0.13), FVC (p = 0.12) VC (p = 0.84) and PEF (p = 0.41)</p> <p>Sputum volume (p = 0.31),</p> <p>Patient perception of symptoms: Sputum volume (p = 0.91), Sputum colour (p = 0.19), intensity of cough (p = 0.97), frequency of cough (p = 0.67), exercise tolerance (p = 0.17), fatigue (p = 0.69), sinus discharge (p = 0.06), appetite (p = 0.08).</p> <p>Breathlessness, SpO<sub>2</sub>, cough counts, p values not reported.</p> <p>Follow-up evaluation suggests Acapella<sup>®</sup> device may facilitate long term adherence to regular airway clearance.</p>
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Tsang and Jones (2003)	<p>From day 2 of admission until DC</p> <p>PD: 7 days (3.3)</p> <p>FL: 6 days (3.83)</p> <p>BC: 5 days (0.84)</p>	<p>Lung function assessed on day 1 (before treatment) and then before and after each treatment session on days 2, 4 and day of DC.</p> <p>Wet weight of sputum: expectorated during the 15-minute session (S15), during the 15 mins after treatment (S30) and at 24 hours (S24) - all recorded days 2 and 4 and day of DC.</p> <p>HR and O<sub>2</sub> saturations of patient monitored during each treatment.</p> <p>Patient questionnaire each session regarding perceived effectiveness and ease of application.</p>	<p>FL subjectively, from patient questionnaire, significantly more effective than breathing control on each of the treatment days (day 2, p = 0.016, day 4, p = 0.013, day of DC, p = 0.013, overall p = 0.011).</p> <p>No significant difference between the scores on the patient questionnaire in the PD and FL groups reflecting subjective effectiveness (p values not reported).</p> <p>Neither PD or FL when combined with BC had any additional benefit over BC alone, as evidenced by no significant difference between groups regarding spirometry (FVC day 2, p = 0.069; day 4, p = 0.639; day D/C, p = 0.798; FEV<sub>1</sub> day 2, p = 0.790; day 4, p = 0.302; day D/C, p = 0.843), sputum expectoration at any time point (p range 0.123 – 0.737), HR or SpO<sub>2</sub> pre/post treatment at any time point (p value not reported).</p>
Herala and Gislason (1988)	2 days – single treatment session each day.	Transcutaneous partial pressure of PCO <sub>2</sub> and PO <sub>2</sub> . Two electrodes attached with plastic mounting rings to the skin of the upper	Small brief decrease in transcutaneous pressure of PCO <sub>2</sub> and small increase in transcutaneous pressure of PO <sub>2</sub> in

		<p>anterior part of the thorax, in the mid subclavian region, after shaving and cleaning with alcohol. The electrodes were heated to 44 degrees Celsius and physiotherapy commenced after at least 6 minutes of steady state. TcPCO<sub>2</sub> and TcPO<sub>2</sub> monitored continuously.</p>	<p>both thoracic compression and PEP treatment groups (p value not reported).</p>
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Key: ABs: Antibiotics; ACBT: Active cycle of breathing technique; BC: Breathing and coughing; DC; Discharge; FEV<sub>1</sub>: Forced expiratory volume in 1 second % predicted; FL: Flutter<sup>®</sup> valve; FVC: Functional vital capacity (litres); HR: Heart Rate; HRQoL: Health related quality of life; LCI: Lung clearance index; LCQ: Leister Cough Questionnaire; MMEF: maximal mid-expiratory flow; mMRC: modified Medical Research Council; NR: Not reported; OCM: Outcome measures; PaO<sub>2</sub>: Partial pressure of Oxygen (mmHg); PaCO<sub>2</sub>: Partial pressure of Carbon dioxide (mmHg); PAO<sub>2</sub>: Partial pressure of alveolar oxygen (mmHg); PEP: Positive expiratory pressure; PD: Postural drainage; SpO<sub>2</sub>: pulse oximetry level (% haemoglobin saturated with oxygen); TcPCO<sub>2</sub>: Transcutaneous pressure of carbon dioxide (kPa); TcPO<sub>2</sub>: transcutaneous pressure of Oxygen (kPa); TPEP: Temporary positive expiratory pressure.

**Appendix 1:**

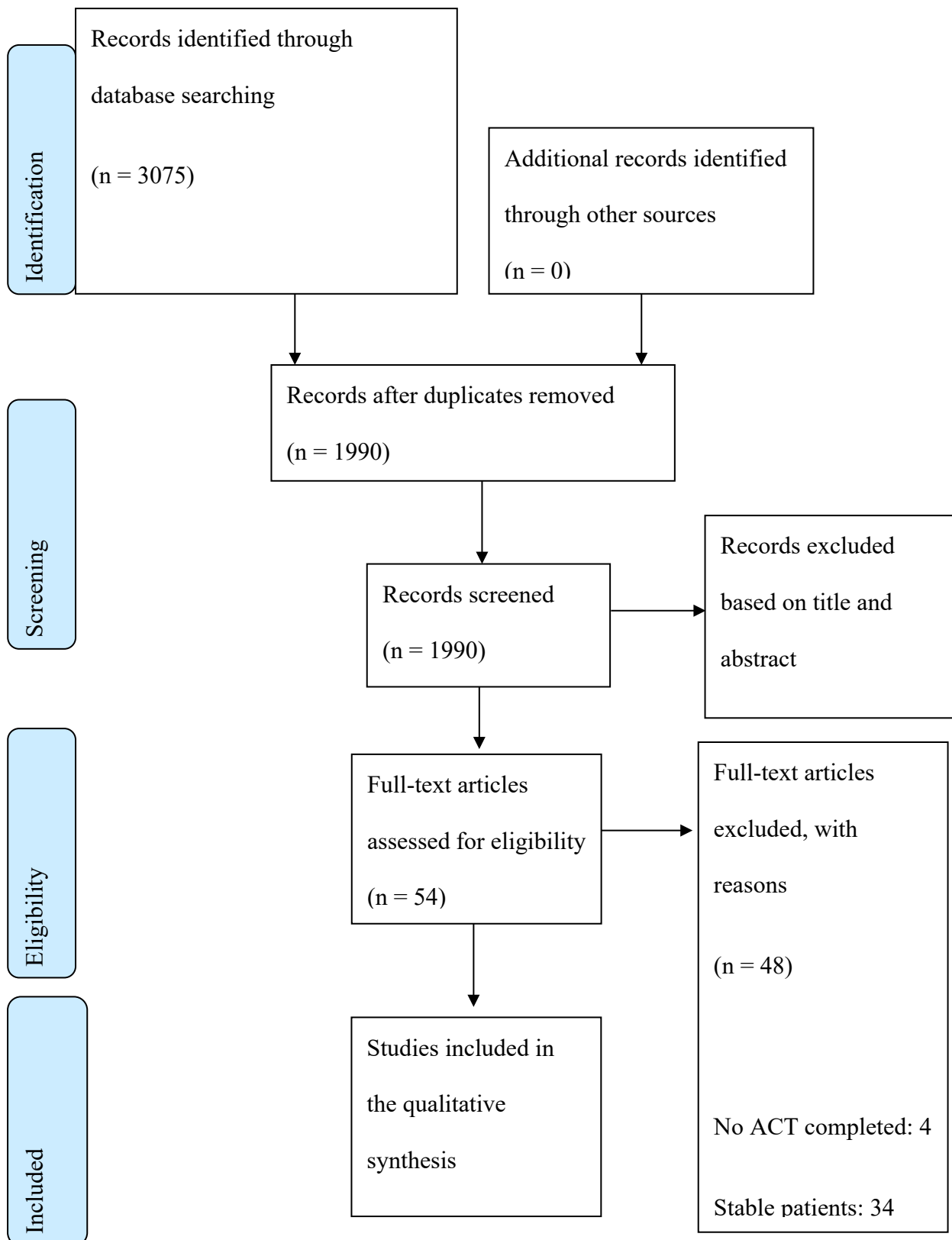


Figure 1: PRISMA flow diagram depicting results of literature search and selection processes (CINAHL: Cumulative Index of Nursing and Allied Health Literature; PEDro: Physiotherapy Evidence Database)

## **Appendix 2:**

Search completed in PubMed:

Bronchiectasis OR "primary ciliary dyskinesia" OR "young syndrome" OR "kartageners syndrome" OR "non CF bronchiectasis"

AND

"respiratory therapy" OR "airway clearance" OR "airway clearance techniques" OR "airway clearance therapy" OR "chest physiotherapy" OR "chest physical therapy" OR "physical therapy" OR "mucociliary clearance" OR "bronchopulmonary hygiene" OR "tracheobronchial clearance" OR "active cycle" OR ACBT OR "deep breathing exercise" or DBE OR "thoracic expansion" OR TEE OR "postural drainage" OR "gravity-assisted drainage" OR "autogenic drainage" OR GAD OR FET OR "forced expiratory technique" OR huff\* OR PEP OR PEEP OR "positive expiratory pressure" OR "hi PEP" OR "bubble-PEP" OR "bottle-PEP" OR oscillat\* OR "mouthpiece PEP" OR "pari-PEP" OR VRP1 OR flutter\* OR desitin OR cornet OR acapella OR scandipharm OR percuss\* OR vibrat\* OR vest OR HFCWO OR OHFO

PubMed MeSH Terms

"Bronchiectasis"[MeSH]

"Kartagener Syndrome"[MeSH]

"Ciliary Motility Disorders"[MeSH]

"Young Syndrome" [Supplementary Concept]

AND

"Physical Therapy Modalities"[MeSH]

"Mucociliary Clearance"[MeSH]

"Respiratory Therapy"[MeSH]



### **Appendix 3:**

Modified Downs and Black checklist used to determine methodological quality of included studies.

Item	Criteria	Possible Answers
Reporting		
1	Is the hypothesis/aim/objective of the study clearly described?	Yes = 1 No = 0
2	<i>Are the main outcomes to be measured clearly described in the Introduction or Methods section?</i> If the main outcomes are first mentioned in the Results section, the question should be answered no.	Yes = 1 No = 0
3	<i>Are the characteristics of the patients included in the study clearly described?</i> In cohort studies and trials, inclusion and/or exclusion criteria should be given. In case-control studies, a case-definition and the source for controls should be given.	Yes = 1 No = 0
4	<i>Are the interventions of interest clearly described?</i> Treatments and placebo (where relevant) that are to be compared should be clearly described.	Yes = 1 No = 0
5	Are the distributions of principal confounders in each group of subjects to be compared clearly described? A list of principal confounders is provided.	Yes = 1 No = 0
6	<i>Are the main findings of the study clearly described?</i> Simple outcome data (including denominators and numerators) should be reported for all major findings so that the reader can check the major analyses and conclusions. (This question does not cover statistical tests which are considered below).	Yes = 1 No = 0

7	<i>Does the study provide estimates of the random variability in the data for the main outcomes?</i> In non-normally distributed data the interquartile range of results should be reported. In normally distributed data the standard error, standard deviation or confidence intervals should be reported. If the distribution of the data is not described, it must be assumed that the estimates used were appropriate and the question should be answered yes.	Yes = 1 No = 0
8	<i>Have all important adverse events that may be a consequence of the intervention been reported?</i> This should be answered yes if the study demonstrates that there was a comprehensive attempt to measure adverse events. (A list of possible adverse events is provided).	Yes = 1 No = 0
9	<i>Have the characteristics of patients lost to follow-up been described?</i> This should be answered yes where there were no losses to follow-up or where losses to follow-up were so small that findings would be unaffected by their inclusion. This should be answered no where a study does not report the number of patients lost to follow-up.	Yes = 1 No = 0
10	Have actual probability values been reported (e.g. 0.035 rather than <0.05) for the main outcomes except where the probability value is less than 0.001?	Yes = 1 No = 0
External validity		
11	<i>Were the subjects asked to participate in the study representative of the entire population from which they were recruited?</i> The study must identify the source population for patients and describe how the patients were selected. Patients would be representative if they comprised the entire source population, an unselected sample of consecutive patients, or a random sample. Random sampling is only feasible where a list of all members of the relevant population exists. Where a study does not report the proportion of the source population from which the patients are derived, the question should be answered as unable to determine.	Yes = 1 No = 0 Unable to determine = 0

12	<i>Were those subjects who were prepared to participate representative of the entire population from which they were recruited?</i> The proportion of those asked who agreed should be stated. Validation that the sample was representative would include demonstrating that the distribution of the main confounding factors was the same in the study sample and the source population.	Yes = 1 No = 0 Unable to determine = 0
13	Were the staff, places, and facilities where the patients were treated, representative of the treatment the majority of patients receive? For the question to be answered yes the study should demonstrate that the intervention was representative of that in use in the source population. The question should be answered no if, for example, the intervention was undertaken in a specialist centre unrepresentative of the hospitals most of the source population would attend.	Yes = 1 No = 0 Unable to determine = 0
Internal validity - bias		
14	<i>Was an attempt made to blind study subjects to the intervention they have received?</i> For studies where the patients would have no way of knowing which intervention they received, this should be answered yes.	Yes = 1 No = 0 Unable to determine = 0
15	Was an attempt made to blind those measuring the main outcomes of the intervention?	Yes = 1 No = 0 Unable to determine = 0
16	<i>If any of the results of the study were based on “data dredging”, was this made clear?</i> Any analyses that had not been planned at the outset of the study should be clearly indicated. If no retrospective unplanned subgroup analyses were reported, then answer yes.	Yes = 1 No = 0 Unable to determine = 0
17	In trials and cohort studies, do the analyses adjust for different lengths of follow-up of patients, or in case-control studies, is the time period between the intervention and outcome the same for cases and controls? Where follow-up was the same for all study patients the answer should be yes. If different lengths of follow-up were adjusted for by, for example, survival analysis the answer should be yes. Studies where differences in follow-up are ignored should be answered no.	Yes = 1 No = 0 Unable to determine = 0

18	<i>Were the statistical tests used to assess the main outcomes appropriate?</i> The statistical techniques used must be appropriate to the data. For example, nonparametric methods should be used for small sample sizes. Where little statistical analysis has been undertaken but where there is no evidence of bias, the question should be answered yes. If the distribution of the data (normal or not) is not described it must be assumed that the estimates used were appropriate and the question should be answered yes.	Yes = 1 No = 0 Unable to determine = 0
19	<i>Was compliance with the intervention/s reliable?</i> Where there was non-compliance with the allocated treatment or where there was contamination of one group, the question should be answered no. For studies where the effect of any misclassification was likely to bias any association to the null, the question should be answered yes.	Yes = 1 No = 0 Unable to determine = 0
20	<i>Were the main outcome measures used accurate (valid and reliable)?</i> For studies where the outcome measures are clearly described, the question should be answered yes. For studies which refer to other work or that demonstrates the outcome measures are accurate, the question should be answered as yes.	Yes = 1 No = 0 Unable to determine = 0
Internal validity - confounding (selection bias)		
21	Were the patients in different intervention groups (trials and cohort studies) or were the cases and controls (case-control studies) recruited from the same population? For example, patients for all comparison groups should be selected from the same hospital. The question should be answered unable to determine for cohort and case-control studies where there is no information concerning the source of patients included in the study.	Yes = 1 No = 0 Unable to determine = 0
22	Were study subjects in different intervention groups (trials and cohort studies) or were the cases and controls (case-control studies) recruited over the same period of time? For a study which does not specify the time period over which patients were recruited, the question should be answered as unable to determine.	Yes = 1 No = 0 Unable to determine = 0
23	<i>Were study subjects randomized to intervention groups?</i> Studies which state that subjects were randomized should be answered yes except where method of randomization would not ensure random allocation. For example alternate allocation would score no because it is predictable.	Yes = 1 No = 0 Unable to determine = 0

24	Was the randomized intervention assignment concealed from both patients and health care staff until recruitment was complete and irrevocable? All non- randomized studies should be answered no. If assignment was concealed from patients but not from staff, it should be answered no.	Yes = 1 No = 0 Unable to determine = 0
25	<i>Was there adequate adjustment for confounding in the analyses from which the main findings were drawn?</i> This question should be answered no for trials if: the main conclusions of the study were based on analyses of treatment rather than intention to treat; the distribution of known confounders in the different treatment groups was not described; or the distribution of known confounders differed between the treatment groups but was not taken into account in the analyses. In non-randomized studies if the effect of the main confounders was not investigated or confounding was demonstrated but no adjustment was made in the final analyses the question should be answered as no.	Yes = 1 No = 0 Unable to determine = 0
26	<i>Were losses of patients to follow-up taken into account?</i> If the numbers of patients lost to follow-up are not reported, the question should be answered as unable to determine. If the proportion lost to follow-up was too small to affect the main findings, the question should be answered yes.	Yes = 1 No = 0 Unable to determine = 0
Power		
27*	Did the study provide sample size calculations to determine appropriate power?	Yes = 1 No = 0