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# Evaluation of the research capacity and culture of allied health professionals in a large regional public health service

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**Purpose:** The first aim of this study was to evaluate the current research capacity and culture among allied health professionals (AHPs) working in a large regional health service. The second aim of this study was to undertake principal component analyses (PCAs) to determine key components influencing our research capacity and culture.

**Patients and methods:** As part of a cross-sectional observational study, the Research Capacity and Culture (RCC) tool was administered to AHPs working in Gold Coast Health to measure self-reported research capacity and culture across Organization, Team, and Individual domains, including barriers to and motivators for performing research. An exploratory PCA was performed to identify key components influencing research capacity and culture in each of the three domains, and the results were compared with the findings of a previous study performed in a large metropolitan health district.

**Results:** This study found moderate levels of research capacity and culture across all domains, with higher scores (median, IQR) reported for the Organization domain (7,5–8) compared to the Team (6,3–8) and Individual domains (5,2–7). Two components were identified in each domain. Components in the Organization domain included “research culture” and “research infrastructure”; components in the Team domain included “valuing and sharing research” and “supporting research”; and components in the Individual domain included “skills for conducting research” and “skills for searching and critiquing the literature”. These components were found to be highly correlated with each other, with correlations between components within each domain ranging from 0.459 to 0.702.

**Conclusion:** The results of this study reinforce the need for an integrated “whole of system” approach to research capacity building. Ongoing investment in tailored support and infrastructure is required to maintain current areas of strengths and build on identified areas of weakness at the level of organizations, teams, and individual AHPs, and consideration should also be given as to how support across these three levels is integrated.

**Keywords:** research culture, infrastructure, organization, team, individual

## Introduction

Building research capacity and embedding research into core business have been recognized internationally as a priority for health care organizations due to benefits these bring for patients, clinicians, organizations, and society more broadly.<sup>1,2</sup> For example, clinician engagement in research supports the production and translation of research evidence to positively influence health care policy and practice.<sup>3–5</sup> A recent systematic review found that among health care organizations in the USA, UK, and Germany, higher levels of research activity were positively associated with increased organizational efficiency, improved staff satisfaction, reduced staff turnover, improved patient satisfaction, and decreased patient mortality rates.<sup>6</sup>

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Research capacity building has been defined as “a process of individual and institutional development which leads to higher levels of skills and greater ability to perform useful research”.<sup>7</sup> In a health care setting, useful research generates knowledge that translates into sustainable benefits for patients, clinicians, and the community.<sup>8</sup> Multiple factors have been found to influence research capacity within an organization, including its culture.<sup>9,10</sup>

Organizations seeking to improve their research capacity and culture require valid, reliable, and resource-efficient methods of measuring change over time, so that the outcomes of research capacity building initiatives can be rigorously evaluated. Cooke<sup>5</sup> suggested that a framework for evaluating research capacity building should assess individual, team, and organizational levels and be inclusive of both output and process measures. Output measures are useful for capturing the ultimate goals of research activity, such as the creation of useful research evidence, which informs practice and leads to improved health outcomes, and may include measures such as changes in the number of peer-reviewed journal publications and conference presentations, higher degree research qualifications, and amount of competitive grant funding.<sup>11</sup> In contrast, process measures may capture smaller steps toward achieving these outputs, such as organizational culture shifts and changes in clinicians’ research experience, knowledge, skills, attitudes and confidence, partnerships, and the number of grant applications and research protocols developed.<sup>5,12</sup> It has been suggested that process measures may be more sensitive and could be used as a complement to output measures,<sup>13</sup> especially among research emergent professions, which may find it difficult to attract competitive grants and publish in peer-reviewed journals.<sup>12</sup>

Allied health professionals (AHPs), including physiotherapists, occupational therapists, speech pathologists, psychologists, dieticians, social workers, and podiatrists, represent a substantial proportion of the clinical workforce who are neither doctors or nurses.<sup>14</sup> Although AHPs have reported that they are motivated and interested in conducting research,<sup>15–18</sup> their research culture and engagement remain limited due to a number of barriers.<sup>10</sup> Multiple studies have evaluated these barriers as well as the overall research capacity, culture, and engagement of AHPs using a range of tools including the Research Spider;<sup>12,15,19–22</sup> the Research Knowledge, Attitudes and Practices of Research Survey; the Edmonton Research Orientation Survey; and the Barriers to Utilization Scale.<sup>10</sup> These tools are however limited in that they only evaluate research capacity at an individual level

and not at team or organizational levels, failing to provide the recommended “whole of organization” approach.<sup>5</sup>

In contrast to the other available tools, the Research Capacity and Culture (RCC) tool measures indicators of research capacity and culture at the level of individuals as well as teams and organizations. It has been developed for the purpose of conducting needs assessment and planning and evaluating research capacity building interventions.<sup>23</sup> The RCC tool has been validated in an Australian health care context with data from 134 AHPs, demonstrating strong internal consistency (Cronbach’s alpha of 0.95, 0.96, and 0.96 and factor loading ranges of 0.58–0.89, 0.65–0.89, and 0.59–0.93 for Organization, Team, and Individual domains, respectively) and high test–retest reliability (intraclass correlation coefficient of 0.77–0.83).<sup>23</sup> Several studies have used the RCC tool to measure the research capacity and culture of AHPs.<sup>24–33</sup> Most of these studies used the RCC tool to measure baseline levels of skill, success, barriers and motivators, and research activity and to identify priorities and inform recommendations for future research capacity building initiatives, while one study used it to evaluate changes before and after a team-based research capacity building intervention.<sup>28</sup>

Alison et al<sup>24</sup> recently used the RCC tool with 276 AHPs in a large Australian metropolitan health service and conducted an exploratory factor analysis (principal component analysis [PCA]) to identify key factors (components) influencing their research engagement. They identified two components within the Organization domain (“infrastructure for research” and “research culture”), two components within the Team domain (“research orientation” and “research support”), and one component factor within the Individual domain (“research skill of the individual”). As the study used a sample of AHPs from a single health service, the overall generalizability of their results to other health services is limited. Further use of the RCC tool and PCA, across different organizational contexts, will confirm which components are consistent across contexts.

The first aim of our study was to evaluate the current research capacity and culture among AHPs working in a large regional health service using the RCC tool. This formed part of a needs assessment to inform the development of tailored allied health research capacity building strategies to address local strengths, limitations, and priorities within this health service.

The second aim of our study was to undertake a PCA to identify key components influencing allied health research

capacity and culture at the level of individuals, teams, and organizations.

## Patients and methods

This study was designed as a cross-sectional observational study. Ethical approval was obtained from the human research ethics committee of the Gold Coast Hospital and Health Service – HREC/10/QGC/177.

## Sample

All 875 AHPs and 97 allied health assistants employed by Gold Coast Health (GCH) in April 2017 were invited to participate in a survey consisting of the RCC tool. Students and non-AHPs including medical and nursing staff were excluded. GCH is a publicly funded large regional health service located in Southeast Queensland, Australia, which includes two hospital facilities (750 and 364 beds) as well as outpatient and community-based services.

## Survey tool

A survey consisting of the RCC tool was administered via a secure online platform (SurveyMonkey®) and paper surveys. The tool includes 52 questions that examine participants' self-reported success or skill in a range of areas related to research capacity or culture across three domains, including the Organization (18 questions), Team (19 questions), and Individual (15 questions). Each question uses a 10-point numeric scale format where 1 is the lowest possible level of skill or success and 10 is the highest possible level of skill or success. There is also an option of "unsure". Consistent with previous studies, scores are further categorized as "low" (less than 4), "moderate" (between 4 and 6.9), or "high" (7 and above).<sup>24,27</sup> The RCC tool also includes questions about perceived barriers to and motivators for undertaking research. Participants can indicate which, from a list of 18 barriers and 18 motivators, apply to themselves as individuals and within their team and to add any other items that are not included in this list. Finally, the tool includes questions about participants' demographics, work role, professional qualifications, and formal research engagement and what research activities are required and supported in their current work role.<sup>23</sup> For the purpose of our study, the "Team" construct in the RCC tool was defined as the participants' professional group, for example physiotherapy.

## Procedures

The survey was made available for 6 weeks from early April 2017. This timeframe was a pragmatic choice as it represented two key months after the summer holidays and before increased service activity over winter. The survey took ~15

minutes to complete. The survey was widely promoted across all allied health professions using comprehensive and systematic communication strategies, including phone calls and emails to all heads of professions, email broadcasts, newsletters, and presentations at allied health leadership meetings, to enlist support from senior managers and heads of professions. Upon accessing the survey, potential participants were invited to read an electronic participant information sheet and to provide voluntary informed consent to participate.

## Statistical analyses

Statistical analyses were conducted using Stata 14 (StataCorp LP, College Station, TX, USA) and IBM SPSS Statistic 24 (IBM Corporation, Armonk, NY, USA). Likert-scale items were summarized by the median and IQR. A Skillings–Mack test, which takes into consideration that the same participants completed questions relating to the Organization, Team, and Individual domains and is a generalization of the Friedman test,<sup>34</sup> was performed to determine if the median responses to questions in each domain were significantly different. Where a significant Skillings–Mack test was obtained, post hoc Wilcoxon signed-rank tests were performed to identify specifically which domains differed from each other. All procedures and analyses were done to replicate those performed by Alison et al,<sup>24</sup> so that our results are directly comparable to their results. Similar to Alison et al, an exploratory PCA was performed within each of the Organization, Team, and Individual domains to identify potential underlying themes represented by the groups of questions. Note that while Alison et al used the term factor analysis, they performed a PCA. In this study, we use the term component rather than factor. The PCAs were performed on Spearman rank correlation matrices of responses to questions asked in each domain. An oblique rotation (direct oblimin) was used as it was expected, from results of Alison et al,<sup>24</sup> that the components would be correlated. Components were retained based on Kaiser's criterion of the associated eigenvalues being larger than 1.0 and on inspection of scree plots. A component correlation matrix is presented for each PCA to demonstrate correlations between each component. In addition, as required following oblique rotation PCA, the regression coefficients for each component for each item (ie, question) and the correlations between each component and each item were reported. Commonly in PCA, these are referred to as the pattern matrix and structure matrix, respectively. Cronbach's alpha scores, measuring internal consistency of items within a component, were calculated for clusters of items representing each retained component. For each retained component, the initial eigenvalue and the percentage of total variance of the items that the component explained (as derived from these) are also presented.

## Results

A total of 369 AHPs accessed the survey during the data collection period. Of them, four did not consent to participate in the survey, 63 provided consent but did not answer any of the questions, 44 incompletely answered the questions, and 258 completed the entire survey. In total, data from 302 AHPs were available for analysis, which represent 30% of the estimated total allied health workforce within our health service. As shown in Table 1, most participants were working in hospital-based services. The sample included a range of pay grades and number of years of experience. Most participants had completed at least an undergraduate degree, with 35% also having completed a postgraduate qualification by coursework and 19.4% having completed a research higher degree. An additional 7.1% of participants were enrolled in a research higher degree or other professional development relating to research.

Fewer than one-third of participants (30%) considered research activities to be a part of their role description, while another one-third (32%) were unsure. The most commonly reported provisions for undertaking research activities included access to the library, software programs, research mentoring, and research training. Of the 62% participants who reported having undertaken some research activities over the preceding 20 months, the most common activities included collecting data, completing a literature review, and writing an ethics application. Further details are listed in Table S1.

Overall, the most commonly reported barriers at both the level of individuals and teams included a lack of time, funding and suitable backfill, other competing work priorities, a lack of research skills, and concerns about the impact that engaging in research would have on work–life balance. Overall, the most commonly reported motivators included skill

**Table 1** Demographic information and professional qualifications of participants

<b>Gender (n=264)</b>	<b>n (%)</b>	<b>Professional group (n=268)</b>	<b>n (%)</b>
Female	213 (80)	Allied health assistant	9 (3)
Male	41 (16)	Clinical measurements	4 (2)
Other/prefer not to disclose	10 (4)	Medical imaging	11 (4)
<b>No. of years employed as an AHP (n=264)</b>		Nutrition and dietetics	24 (9)
<2	31 (12)	Occupational therapy	51 (19)
2–5	60 (23)	Oral health	6 (2)
6–10	58 (22)	Orthoptic	1 (<1)
11–15	41 (16)	Pharmacy	34 (13)
16–20	29 (11)	Physiotherapy	33 (12)
20+	45 (17)	Podiatry	0 (0)
<b>No. of years employed in GCH (n=264)</b>	<b>n (%)</b>	Public health	9 (3)
<2	71 (27)	Psychology	29 (11)
2–5	77 (29)	Social work	22 (8)
6–10	63 (24)	Speech pathology	27 (10)
11–15	31 (12)	Welfare	1 (<1)
16–20	12 (5)	Other/prefer not to disclose	7 (3)
20+	10 (4)	<b>Service area (n=268)</b>	
<b>Current employment status (n=264)</b>	<b>n (%)</b>	Hospital-based adult physical or pediatric	171 (64)
Full-time permanent	161 (61)	Hospital-based mental health	15 (6)
Full-time temporary	56 (21)	Community-based adult physical	41 (16)
Part-time permanent	35 (13)	Community-based mental health	10 (4)
Part-time temporary	10 (4)	Community-based pediatric	6 (2)
Casual	2 (1)	Other/prefer not to disclose	25 (9)
<b>Current pay grade (n=264)</b>	<b>n (%)</b>	<b>Professional qualifications (n=268)</b>	
HP3 (entry level AHP)	113 (43)	Undergraduate certificate or diploma	20 (8)
HP4 (senior level AHP)	86 (33)	Undergraduate degree	114 (43)
HP5 (clinical specialist or team leader)	36 (14)	Undergraduate degree with honors or postgraduate diploma by research	44 (16)
HP6/7 (director)	11 (5)	Postgraduate qualification by coursework	94 (35)
OO3 (allied health assistant)	13 (5)	Masters or PhD by research	52 (19)
OO4 (senior allied health assistant)	1 (<1)	<b>Currently enrolled in an RHD or other PD relating to research (n=268)</b>	
Other/prefer not to disclose	4 (2)	Yes	19 (7)
		No	249 (93)

**Abbreviations:** AHP, allied health professional; GCH, Gold Coast Health; PD, professional development; RHD, research higher degree.

development, increased job satisfaction, career advancement, enhanced professional credibility, and addressing identified problems in practice. Other motivators included having support from mentors, dedicated time for research, encouragement from managers, and links to universities. Further details are listed in Tables S2 and S3.

On average, participants reported moderate-to-high levels of skill and success across all three domains, with the highest scores in the Organization domain and the lowest scores in the Individual domain. There were significant differences

between the overall median scores for each domain as detected from the Skillings–Mack test ( $P=0.001$ ). Post hoc Wilcoxon signed-rank tests demonstrated that the Organization domain had a significantly higher overall median (IQR) score of 7 (5–8) compared to the score of Team domain of 6 (3–8) ( $z=12.2$ ,  $P=0.001$ ) and that the overall median score for the Team domain was significantly higher than the overall median score for the Individual domain, 5 (2–7) ( $z=12.8$ ,  $P=0.001$ ).

As illustrated in Table 2, participants reported their organization's strengths to include promoting evidence-based

**Table 2** Organization domain results of the RCC tool (n=299)

Item	Description	Component (rank)	Regression coefficients for components 1 and 2	Correlations between items and components 1 and 2	Median <sup>a</sup> (IQR)	% unsure	Alison et al component (rank)
ix	Promotes clinical practice based on evidence	1 (1)	1.037 (1), -0.292 (2)	0.832 (1), 0.435 (2)	8 (6–9)	6	2 (1)
vi	Ensures that organizational planning is guided by evidence	1 (2)	0.880 (1), -0.051 (2)	0.845 (1), 0.567 (2)	7 (5–8)	14	2 (2)
x	Encourages research activities that are relevant to practice	1 (3)	0.865 (1), 0.028 (2)	0.885 (1), 0.635 (2)	8 (6–9)	11	2 (5)
xviii	Supports the peer-reviewed publication of research	1 (4)	0.761 (1), 0.137 (2)	0.857 (1), 0.671 (2)	8 (5–9)	25	2 (3)
xiv	Supports a multidisciplinary approach to research	1 (5)	0.747 (1), 0.158 (2)	0.858 (1), 0.683 (2)	7 (5–8)	16	1 (8)
xvi	Engages external partners (eg, universities) in research	1 (6)	0.705 (1), 0.170 (2)	0.824 (1), 0.665 (2)	8 (7–9)	12	1 (9)
vii	Has consumers involved in research	1 (7)	0.701 (1), 0.166 (2)	0.817 (1), 0.658 (2)	6 (4–8)	25	1 (11)
xvii	Supports applications for research scholarships/degrees	1 (8)	0.699 (1), 0.176 (2)	0.822 (1), 0.666 (2)	7 (5–9)	27	2 (4)
xii	Has mechanisms to monitor research quality	1 (9)	0.644 (1), 0.269 (2)	0.832 (1), 0.720 (2)	6 (4–8)	44	1 (4)
xiii	Has identified experts accessible for research advice	1 (10)	0.567 (1), 0.302 (2)	0.779 (1), 0.700 (2)	7 (5–9)	25	1 (10)
viii	Accesses external funding for research	1 (11)	0.487 (1), 0.373 (2)	0.750 (1), 0.716 (2)	6 (5–8)	36	1 (6)
xi	Has software programs for analyzing research data	1 (12)	0.426 (1), 0.405 (2)	0.710 (1), 0.704 (2)	5 (3–8)	47	1 (3)
i	Has resources to support staff research training	2 (1)	-0.020 (1), 0.887 (2)	0.603 (1), 0.873 (2)	7 (5–8)	15	1 (2)
ii	Has funds, equipment, or administrative support for research	2 (2)	-0.015 (1), 0.881 (2)	0.604 (1), 0.871 (2)	6 (3–7)	21	1 (1)
iii	Has a plan or policy for research development	2 (3)	0.106 (1), 0.782 (2)	0.655 (1), 0.857 (2)	6 (5–8)	23	1 (5)
xv	Has regular forums to present research findings	2 (4)	0.166 (1), 0.644 (2)	0.617 (1), 0.760 (2)	7 (5–9)	14	2 (7)
v	Ensures staff career pathways are available in research	2 (5)	0.393 (1), 0.519 (2)	0.757 (1), 0.797 (2)	6 (3–7)	19	1 (7)
iv	Has executive managers who support research	2 (6)	0.398 (1), 0.461 (2)	0.721 (1), 0.740 (2)	7 (5–8)	16	2 (6)
	Overall domain score				7 (5–8)		

**Notes:** Correlation between components 1 and 2=0.702. Cronbach's alpha for items within component 1=0.961 and component 2=0.916. Component 1: initial eigenvalue=11.6, % of variance=64.3. Component 2: initial eigenvalue=1.0, % of variance=5.6. <sup>a</sup>Median scores of >7=high skill/success, 4–6.9=moderate skill/success, and <4=low skill/success.

clinical practice (8, 6–9), encouraging research activities that are relevant to practice (8, 6–9), engaging external partners (8, 7–9), supporting the peer-reviewed publication of research (8, 5–9), and resourcing staff research training (7, 5–8). In contrast, areas of relative weakness were reported to include having funds, equipment, and administrative support for research (6, 3–7) and having staff career pathways available in research (6, 3–7). As illustrated in Table 3, similar areas

of strength and relative weakness were also reported in the Team domain. Although there was variability between professional groups, a notable area of weakness was a lack of funds, equipment, and administrative support for research (4, 2–6).

As illustrated in Table 4, participants considered themselves as individuals to be the most skilled at finding relevant literature (7, 6–8), critically reviewing the literature (7, 5–8), and using research evidence to inform practice (7, 4–8). In

**Table 3** Team domain results of the RCC tool (n=282)

Item	Description	Component (rank)	Regression coefficients for components 1 and 2	Correlations between items and components 1 and 2	Median <sup>a</sup> (IQR)	% unsure	Alison et al component (rank)
xviii	Supports the peer-reviewed publication of research	1 (1)	1.016 (1), -0.133 (2)	0.915 (1), 0.640 (2)	7 (5–9)	18	1 (4)
xvii	Engages external partners (eg, universities) in research	1 (2)	0.968 (1), -0.093 (2)	0.897 (1), 0.643 (2)	8 (5–9)	13	1 (8)
x	Conducts research activities that are relevant to practice	1 (3)	0.858 (1), 0.078 (2)	0.918 (1), 0.731 (2)	8 (5–9)	13	1 (9)
v	Has team leaders who support research	1 (4)	0.856 (1), 0.020 (2)	0.871 (1), 0.671 (2)	7 (5–9)	7	1 (6)
xiii	Has identified experts accessible for research advice	1 (5)	0.827 (1), 0.097 (2)	0.901 (1), 0.726 (2)	7 (4–9)	22	1 (5)
xi	Supports applications for research scholarships/degrees	1 (6)	0.811 (1), 0.040 (2)	0.841 (1), 0.656 (2)	7 (4–9)	20	1 (2)
xiv	Disseminates research results at forums/seminars	1 (7)	0.798 (1), 0.092 (2)	0.868 (1), 0.699 (2)	7 (5–9)	13	1 (1)
xv	Supports a multidisciplinary approach to research	1 (8)	0.796 (1), 0.012 (2)	0.874 (1), 0.707 (2)	7 (5–9)	15	1 (7)
vii	Does planning that is guided by evidence	1 (9)	0.604 (1), 0.299 (2)	0.831 (1), 0.758 (2)	7 (5–8)	11	1 (3)
xii	Has mechanisms to monitor research quality	1 (10)	0.558 (1), 0.435 (2)	0.889 (1), 0.859 (2)	6 (3–8)	35	1 (10)
ix	Has applied for external funding for research	1 (11)	0.475 (1), 0.442 (2)	0.811 (1), 0.803 (2)	6 (3–8)	34	1 (11)
ii	Has funds, equipment, or administrative support for research	2 (1)	-0.226 (1), 1.089 (2)	0.602 (1), 0.917 (2)	4 (2–6)	17	2 (2)
i	Has resources to support staff research training	2 (2)	0.066 (1), 0.871 (2)	0.728 (1), 0.921 (2)	5 (3–7)	14	2 (3)
iii	Does team-level planning for research development	2 (3)	0.187 (1), 0.768 (2)	0.771 (1), 0.910 (2)	5 (3–7)	13	2 (4)
xix	Has software available to support research activities	2 (4)	0.209 (1), 0.678 (2)	0.725 (1), 0.838 (2)	5 (2–8)	39	2 (6)
iv	Ensures staff involvement in developing a research plan	2 (5)	0.296 (1), 0.646 (2)	0.787 (1), 0.871 (2)	6 (3–7)	12	2 (5)
xvi	Has incentives and support for research mentoring activities	2 (6)	0.308 (1), 0.638 (2)	0.793 (1), 0.873 (2)	5 (2–7.5)	21	2 (8)
viii	Has consumer involvement in research activities/planning	2 (7)	0.281 (1), 0.611 (2)	0.745 (1), 0.824 (2)	5 (3–8)	22	2 (7)
vi	Provides opportunities to get involved in research	2 (8)	0.490 (1), 0.494 (2)	0.865 (1), 0.866 (2)	6 (4–8)	7	1 (12) and 2 (1)
	Overall domain score				6 (3–8)		

**Notes:** Correlation between components 1 and 2=0.760. Cronbach's alpha for items within component 1=0.968 and component 2=0.963. Component 1: initial eigenvalue=14.0, % of variance=73.8. Component 2: initial eigenvalue=1.1, % of variance=5.5. <sup>a</sup>Median scores of >7=high skill/success, 4–6.9=moderate skill/success, and <4=low skill/success.

**Table 4** Individual domain results of the RCC tool (n=277)

Item	Description	Component (rank)	Regression coefficients for components 1 and 2	Correlations between items and components 1 and 2	Median <sup>a</sup> (IQR)	% unsure	Alison et al component (rank)
v	Securing research funding	1 (1)	0.939 (1), -0.399 (2)	0.756 (1), 0.032 (2)	3 (1-4)	10	1 (14)
vi	Writing an ethics application	1 (2)	0.915 (1), -0.119 (2)	0.860 (1), 0.300 (2)	3 (1-6)	7	1 (7)
iv	Writing a research protocol	1 (3)	0.871 (1), 0.038 (2)	0.888 (1), 0.438 (2)	4 (2-7)	5	1 (1)
xv	Providing advice to less experienced researchers	1 (4)	0.853 (1), 0.047 (2)	0.857 (1), 0.439 (2)	3 (2-6)	7	1 (4)
xii	Writing a research report	1 (5)	0.836 (1), 0.121 (2)	0.892 (1), 0.505 (2)	5 (2-7)	5	1 (2)
xiii	Writing for publication in peer-reviewed journals	1 (6)	0.832 (1), 0.066 (2)	0.862 (1), 0.448 (2)	3 (2-6)	7	1 (3)
vii	Designing questionnaire	1 (7)	0.785 (1), 0.062 (2)	0.813 (1), 0.422 (2)	5 (3-6)	5	1 (11)
xi	Analyzing quantitative research data	1 (8)	0.770 (1), 0.140 (2)	0.843 (1), 0.494 (2)	4 (2-7)	4	1 (6)
ix	Using computer data management systems	1 (9)	0.755 (1), 0.099 (2)	0.801 (1), 0.446 (2)	5 (2-7)	5	1 (5)
x	Analyzing qualitative research data	1 (10)	0.713 (1), 0.163 (2)	0.788 (1), 0.491 (2)	4 (2-7)	4	1 (9)
viii	Collecting data (eg, surveys, interviews)	1 (11)	0.634 (1), 0.191 (2)	0.722 (1), 0.482 (2)	6 (4-8)	4	1 (8)
iii	Using a computer referencing system (eg, EndNote)	1 (12)	0.544 (1), 0.322 (2)	0.692 (1), 0.572 (2)	6 (3-7)	4	1 (13)
xiv	Integrating research findings into practice	1 (13)	0.406 (1), 0.388 (2)	0.584 (1), 0.574 (2)	7 (4-8)	4	-
i	Finding relevant literature	2 (1)	0.134 (1), 0.849 (2)	0.524 (1), 0.910 (2)	7 (6-8)	3	1 (12)
ii	Critically reviewing the literature	2 (2)	0.182 (1), 0.821 (2)	0.559 (1), 0.904 (2)	7 (5-8)	4	1 (10)
	Overall domain score				5 (2-7)		

**Notes:** Correlation between components 1 and 2=0.459. Cronbach's alpha for questions within component 1=0.954 and component 2=0.909. Component 1: initial eigenvalue=9.2, % of variance=61.7. Component 2: initial eigenvalue=1.2, % of variance=8.2. <sup>a</sup>Median scores of >7=high skill/success, 4-6.9=moderate skill/success, and <4=low skill/success.

contrast, they considered themselves to be the least skilled at securing research funding (3, 1-4), writing an ethics application (3, 1-6), and writing for publication in peer-reviewed journals (3, 2-6).

The data were considered suitable for exploratory PCA as the sample size of 277-299 participants, depending on the domain, resulted in greater than the 10:1 ratio of participants to items (up to 19 in each domain) as is recommended.<sup>35</sup> Similarly, for each domain, a majority of inter-item correlations (Spearman's rank) were seen to be >0.3 as is recommended for PCA.<sup>35</sup> In fact, only four correlations, all in the Individual domain, were less than 0.3. Results from

Kaiser-Meyer-Olkin (KMO) tests (Organization 0.94, Team 0.95, Individual 0.93), which estimate the proportion of variance among items that may be due to a common source, also confirmed the appropriateness (KMO score >0.6) of performing a PCA. Bartlett's test of sphericity that tests the hypothesis that the correlation matrix is an identity matrix (items are unrelated) and thus unsuitable for structure detection was also performed. *P* values of <0.001 for each domain, therefore, also indicated the data as being suitable for PCA. Exploratory PCAs were conducted separately for the Organization, Team, and Individual domains of the RCC tool. Both the use of Kaiser's criterion (retention of components with



an eigenvalue of  $\geq 1.0$ ) and the scree test suggested retention of two components in each domain. As, in each case, the retained components were highly correlated (refer legends of Tables 2–4), a direct oblimin (oblique) rotation was used in the final analysis.

### Comparison with another health service

Our PCA resulted in the identification of two components for each of the three domains of the RCC tool, including the Organization, Team, and Individual domains (Tables 2–4). For the Organization domain, our component 1 shared four of the top 5 ranked items in component 2 of Alison et al,<sup>24</sup> namely, promoting clinical practice based on evidence, ensuring that organizational planning is guided by evidence, encouraging research activities that are relevant to practice, and supporting the peer-reviewed publication of research. As such, we have named our component 1 “research culture” in line with Alison et al. Similarly, the top 2 ranked items in our component 2 were the same as those in component 1 of Alison et al, namely, having resources to support staff research training and having funds, equipment, and administrative support for research. Thus, we named our component 2 “research infrastructure” as did Alison et al (Table 2).

For the Team domain, the items in our component 1 were similar to those in component 1 of Alison et al, although the ranking of items was somewhat different. The top ranked items in our component 1 were publishing and disseminating research, engaging external partners, conducting research that is relevant to practice, having experts accessible for advice, and having team leaders who support research. While Alison et al referred to their component 1 as research orientation, we have named ours “valuing and sharing research” to reflect these differences. The ranking of items in our component 2 was almost identical to those in component 2 of Alison et al. Furthermore, our component 2 shared three of the top 4 ranked items in component 2 of Alison et al, namely, having funds, equipment, and administrative support for research; having adequate resources to support staff research training; and doing team-level planning for research development. As such, we have named our component 2 “supporting research” in line with Alison et al (Table 3).

In our study, the Individual domain items resolved into two components, whereas Alison et al detected only one component, which they named research skill of the individual. The top ranked items in our component 1 relate to skills for planning, conducting and reporting research projects including securing research funding, writing an ethics application/research protocol, and writing for publication,

while the items in our component 2 relate to skills for using research evidence including finding and critically reviewing the literature. Accordingly, we have named our component 1 “skills for conducting research” and component 2 “skills for searching and critiquing the literature” (Table 4).

Cronbach’s alpha for each component is listed in Tables 2–4. Each score is greater than 0.9 indicating “excellent” internal consistency for the items within each component. The initial eigenvalues and the percentage of the variance explained for each component are also shown.

### Discussion

This study first aimed to evaluate the current research capacity and culture of AHPs working within a large regional health service and second to identify key components that influence research capacity and culture among AHPs. In relation to the first aim, participants generally reported moderate-to-high levels of skill and success across the Organization, Team, and Individual domains, with the highest scores in the Organization domain and the lowest scores in the Individual domain. These findings, including the trend of lower scores in the Individual domain compared to the Team and Organization domains, are consistent with findings of previous research.<sup>23–25,27,29,30,32,33,36</sup> In relation to the second aim, two key components were found to represent the Organization domain influences on research capacity and culture, which we named “research culture” and “research infrastructure”. Two components were found to represent the Team domain, namely, “valuing and sharing research” and “supporting research”, and two components were found to represent the Individual domain, namely, “skills for conducting research” and “skills for searching and critiquing the literature”. It should be noted however that the components within each domain were highly correlated. That is, that the concepts described by each component overlapped with those of the other. For example, “research culture” cannot be viewed as being distinct from “research infrastructure”. This suggests that research capacity and culture, as measured by the RCC tool, are highly interrelated.

Compared to other studies that have used the RCC tool with multidisciplinary samples of AHPs working in publicly funded health services in Queensland,<sup>23,28</sup> Victoria,<sup>36</sup> and New South Wales,<sup>24</sup> our scores were similar or higher across the Organization and Team domains and similar across the Individual domain. For example, our participants reported a higher level of engagement with external partners in both the Team domain (median 8 [5–9] compared to 5 [3–8],<sup>24</sup> 5 [3–7],<sup>36</sup> and 3 [1–7])<sup>23</sup> and the Organization domain (median 8 [7–9] compared to

7 [4–8],<sup>24</sup> 6 [4–8],<sup>36</sup> and 5.5 [3.5–8]).<sup>23</sup> Our participants also reported having better access to research training and experts who can provide research advice, both within their teams and their organization.<sup>23,24,36</sup> Over the past 3 years, our organization has invested considerably in research development initiatives, which may explain these results. These initiatives included the appointment of a professor of allied health and allied health research fellows, the creation of a research council and strategic research advisory committee, and increased staffing of the research office to support research development, ethics, and governance. A range of research training, mentoring, and local grant initiatives have also been implemented, which may have contributed to the higher scores reported by GCH AHPs. Furthermore, the main hospital in our health service is situated adjacent to a university, which may enhance opportunities for research collaborations with external academics. The variation in RCC tool scores seen between organizations may therefore reflect differences in their developmental level in regards to building research infrastructure and culture.

Despite some variation in RCC tool scores, the motivators and barriers reported in our study are consistent with those reported in other studies.<sup>10,12,19,25,31</sup> Understanding the nature of barriers, motivators, and enablers to engaging in research can help inform which research capacity building strategies should be prioritized at individual, team, and organization levels. Motivators have also been found to be more strongly associated with research activity than barriers.<sup>32</sup> While it is relevant to address both barriers and motivators, it might therefore be especially effective to focus on maximizing motivators, including increasing skills, career advancement, and job satisfaction.

Some of the components identified in our study are similar to those identified by Alison et al,<sup>24</sup> particularly within the Organization and Team domains. Interestingly, our study identified two components in the Individual domain, whereas Alison et al identified only one component. The two items in our second component (“skills for searching and critiquing the literature”) generally scored higher than the items in our first component (“skills for conducting research”), which is consistent with findings of previous studies using the RCC tool with AHPs.<sup>23,24,28,33,36</sup> This may be because skills for searching and appraising the literature are more readily used in practice and taught as part of undergraduate university degrees compared to other skills required for planning, conducting, and reporting research projects. Indeed, previous studies have found that AHPs are generally more interested and experienced in performing earlier stages of the research process such as finding and critically reviewing the literature

as compared to later stages of the research process.<sup>15,16</sup> This is appropriate given that the goal of research capacity building is for all health professionals to be using research evidence to inform their practice, while some are also participating in or leading research projects.<sup>37</sup>

A potential reason for some of the differences in our item rankings for components in the Individual and Team domains may be related to the differences in the nature of the samples used in the two studies. For example, while the sample of Alison et al had almost one-third of participants as physiotherapists and  $\leq 4\%$  of the sample as speech pathologists or psychologists, our study’s sample included  $>20\%$  of participants from these latter two professions. As professional background has been found to influence RCC tool results,<sup>24,32</sup> the variation between the two samples may therefore have contributed to the differing results for the Individual and Team domains and hence the differences in the nature of the components extracted.

## Limitations of the RCC tool

While the RCC tool is the only validated tool to evaluate individual, team, and organizational research capacity and culture, it does have certain limitations. First, the tool is a self-report measure and may be prone to social desirability bias, which suggests that participants may provide a more positive rating of themselves than their true performance.<sup>38</sup> As such, the tool should ideally be used together with objective measures of research engagement and output. Second, the tool has been repeatedly modified by inclusion and deletion of items,<sup>24–33</sup> without providing a rationale for deviating from the original validated version.<sup>23</sup> Third, previous studies used different descriptive statistics to report their results. While some studies reported mean and SD values,<sup>25,27–29,32</sup> others reported median and IQR values<sup>23,24,30,33,36</sup> or a combination of both.<sup>28</sup> Finally, previous studies had variable response rates ranging from 6%<sup>33</sup> to 60%<sup>30</sup> and some did not report their response rates. These inconsistencies make it difficult to reliably compare results between studies.

## Limitations of this study and future directions

This study and most previous studies using the RCC tool have achieved less than one-third response rate.<sup>26,27,29,32,33</sup> One reason for this may be that the RCC tool is quite time consuming to administer due to the large number of items. As the PCA results from our study and the study of Alison et al<sup>24</sup> indicate some redundancy in items, future research may use these results to further explore the potential for the development of a

shortened version of the tool, which may improve its usability and participant response rates. It would be interesting to see if similar redundancies in items are seen in a larger range of organizational contexts.

Another potential limitation of our study was the high proportion of unsure responses to items, particularly in the Organization domain (up to 47.2%) and Team domain (up to 39.4%). This is consistent with findings of Friesen and Comino<sup>27</sup> who reported up to 54.6% and 60.8% unsure responses to items in the Organization and Team domains, respectively and Alison et al<sup>24</sup> who also reported up to 30% and 25% unsure responses to items in the Organization and Team domains, respectively. This may be attributable to participants' survey fatigue due to the lengthy number of items in the RCC tool or reflect their lack of awareness of research capacity and culture at organization and team levels. As the survey was voluntary, there is also the potential of self-selection bias whereby more research-interested AHPs completed the survey, which may limit generalizability to other AHPs. While the RCC tool to date has only been validated with AHPs, future research may aim to validate the tool with other health workforces including medical and nursing.

## Recommendations for health care organizations

The present study may offer some insights for health care organizations considering building their research capacity and culture. Our findings highlight the need for a whole of system approach to research capacity building, in that multi-layered strategies are required at the level of individuals, teams, and organizations, which is consistent with previous recommendations.<sup>5,9,28,31,33,39</sup> Indeed, at an organizational and team level, there should be consideration given to developing both research infrastructure, including research support, and aspects of research culture.

Similar barriers and motivators are commonly reported across different studies, and these should be addressed across the organizational, team, and individual levels. Any research capacity building intervention also needs to consider the developmental level of the organization and teams, thereby ensuring that research development goals are both feasible and strategic. The use of frameworks that support such strategic research capacity building may be useful in undertaking this step.<sup>5,28,40</sup> Additionally, greater attention to motivators as opposed to barriers may result in better progress. Owing to the high proportions of unsure responses reported in our study and other related studies,<sup>24,27</sup> organizations should consider how they are disseminating information to teams regarding research infrastructure and culture developments

to increase clinicians' awareness, visibility, and uptake of available resources and supports. Specifically, the finding of more positive ratings in the Organizational domain compared to the Team domain and particularly the Individual domain suggests that the health service may need to consider how interventions and infrastructure at higher levels are being integrated and filtered down to clinicians and managers who are working "at the coalface" in health care services. For example, at an organizational level, the items pertaining to support for research training and having funds and equipment to support research were rated 7 and 6, respectively, whereas at a team level, the same items were rated notably lower as 5 and 4, respectively. A more bottom-up approach may therefore be relevant to ensure that any organizational infrastructure is able to reach the team and individual levels. Consideration should also be given to how to address some of the areas rated as least successful at the individual level, including writing for publication, applying for grant funding, and writing an ethics application. Indeed, most of these skills are developed through the doing of research, thereby creating opportunities for clinicians to have greater exposure to research experiences, including being part of an established research team and receiving mentoring to undertake specific research tasks.

## Conclusion

To develop the research capacity of the allied health workforce, ongoing support and investment of resources and infrastructure are required to implement tailored strategies targeting the level of individuals, teams, and organizations. Our study highlighted the disparity that can occur between the perceived success of research at an organizational level compared to that at the team and individual levels. Health services must consider how positive research infrastructure and culture at the organizational level are being integrated and used by AHPs, including teams and individuals. This may mean ensuring greater visibility of organizational initiatives and using organizational resources to promote opportunities and experience for clinicians to increase their research skills through doing. The RCC tool provides useful information about existing research capacity and culture as part of a needs assessment to inform tailored research capacity building interventions and establish a baseline for evaluating the effectiveness of such interventions. This study provides evidence that there is some consistency in components of research capacity and culture between two health services. Future research is indicated to investigate whether it is feasible to shorten the RCC tool by removing redundant questions, which could improve its usability.

## Ethics approval and informed consent

Ethical approval was obtained from the human research ethics committee of the Gold Coast Hospital and Health Service (HREC/10/QGC/177). All participants were provided with a participant information sheet and provided informed consent to participate in the study. Potential participants were informed that their participation is completely voluntary and that non-participation would not carry any penalty or consequence or influence their employment or regard within the organization in any way.

## Data sharing statement

The final manuscript contains all pertinent data extracted from the studies included in the review. Access to raw data may be negotiated with the authors upon request.

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## Author contributions

SM and RW conceptualized and designed the project. RW and JM completed the protocol and developed an online version of the survey tool. JM led the data acquisition and descriptive analysis. IH led the statistical factor analysis. All authors contributed to interpreting the data, data analysis, drafting and critically revising the article, gave final approval of the manuscript version to be published, and agree to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in this work.

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## Supplementary materials

**Table S1** Research engagement and support for research

Is research part of your role description? (n=269)	n (%)	What research activities have you participated in over the past 20 months? (n=274)	n (%)
Yes	81 (30)	Writing a literature review	73 (27)
No	101 (38)	Writing an ethics application	63 (23)
Unsure	86 (32)	Applying for research funding	34 (12)
<b>If yes, what provisions are made for you to undertake research within your role? (n=81)</b>	<b>n (%)</b>	Collecting data as part of an approved project	119 (43)
Research supervision/mentoring	27 (33)	Analyzing quantitative research data	54 (20)
Dedicated time	17 (21)	Analyzing qualitative research data	49 (18)
Dedicated research funds	8 (10)	Presenting research findings at a conference	41 (15)
Training	23 (28)	Writing a manuscript for publication	47 (17)
Administrative support	9 (11)	None	104 (38)
Software access (eg, EndNote, NVivo)	28 (35)		
Library access	43 (53)		
No provisions	21 (26)		

**Table S2** Barriers to undertaking research (n=277)

What are the barriers to doing research?	For you as an individual		For your professional group	
	n	%	n	%
Lack of time for doing research	241	87	191	69
Lack of suitable backfill	174	63	187	68
Other work roles take priority	233	84	159	57
Lack of funds for research	142	51	172	62
Lack of support from management	59	21	63	23
Lack of access to equipment for research	73	26	76	27
Lack of software for research	81	29	87	31
Isolation	66	24	43	16
Different experience levels of team members	N/A	N/A	101	37
Lack of library/Internet access	22	8	18	7
Not interested in research	51	18	45	16
Other personal commitments	111	40	30	11
Desire for work/life balance	171	62	37	13
Lack of a coordinated approach to research	82	30	89	32
Lack of skills for research	148	53	70	25
Intimidated by research language	92	33	39	14
Intimidated by fear of getting it wrong	94	34	30	11
Staff shortages	N/A	N/A	142	51

**Abbreviation:** N/A, not available.

**Table S3** Motivators for undertaking research (n=271)

What are the motivators for doing research?	For you as an individual		For your professional group	
	n	%	n	%
To develop skills	221	82	154	57
Career advancement	164	61	106	39
Increased job satisfaction	177	65	97	36
Study or research scholarships available	80	30	79	29
Dedicated time for research	138	51	109	40
Research written into role description	81	30	86	32
Colleagues doing research	106	39	118	44
Mentors available to supervise	146	54	93	34
Research encouraged by managers	118	44	127	47
Grant funds	94	35	121	45
Links to universities	114	42	136	50
Forms part of postgraduate study	90	33	68	25
Opportunities to participate at own level	127	47	51	19
Problem identified that needs changing	154	57	109	40
Desire to prove a theory/hunch	107	40	65	24
To keep the brain stimulated	149	55	41	15
Increased credibility	131	48	120	44
Team building	N/A	N/A	96	35

**Abbreviation:** N/A, not available.

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