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## BIM-based approach for the integrated assessment of life cycle carbon emission intensity and life cycle costs

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1           **BIM-based approach for the integrated assessment of life**  
2           **cycle carbon emission intensity and life cycle costs**

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16  
17    **Highlight**

18          Integrated assessment between the life cycle carbon emissions (LCCE) and life  
19          cycle costs (LCC) of buildings is poor.

20  
21          Most indicators for studying building carbon emissions focus on total carbon  
22          emissions rather than unit cost carbon emissions.

23  
24          This study proposes the concept and framework of building life cycle carbon  
25          intensity (CEI).

26  
27          This study analyzes the key stages of building carbon emissions and converting  
28          high-carbon emission materials to low-carbon emission materials.

29  
30          This study explores achieving the lowest carbon emission under a limited cost  
31          throughout the building life cycle.

32 **Abstract:**

33 The construction industry is well known for generating a large amount of carbon  
34 emissions and this, together with huge costs of buildings during their life cycle,  
35 seriously affects its environmental and economic sustainability. That reducing the  
36 former tends to increase the latter is a highly significant problem exacerbating the  
37 situation. Finding a solution with the lowest carbon emission at a certain cost is an  
38 urgent problem to be solved. Research to date aimed at remedying the situation,  
39 however, has been largely focused on either treating the two issues independently or  
40 partially conjoined, with little progress in their integrated assessment. In response, this  
41 study presents a method for integrating the life cycle carbon emissions (LCCE) and life  
42 cycle costs (LCC) of buildings to assess their life cycle carbon emission intensity (CEI)  
43 based on BIM technology. Through a public building in China as a case study, the  
44 feasibility of the method is verified, the key stages of building carbon emissions are  
45 analyzed using CEI, and the conversion of high carbon emission materials to low  
46 carbon emission materials is explored. The proposed methodology and framework  
47 provide solutions and ideas for achieving optimal costs commensurate with low carbon  
48 emissions throughout the building life cycle, facilitating the assessment of carbon  
49 emissions at the decision-making and design stages, achieving the optimization of  
50 building carbon emissions and costs, and enhancing building life cycle sustainability.

51 **Keywords:**

52 Carbon emission intensity; life cycle carbon emissions; life cycle costs; BIM; life cycle  
53 assessment

54

55 **1. Introduction**

56 While the construction industry improves people's quality of life and creates  
57 tangible benefits to global societies and economies (Goel et al., 2019), it has a  
58 significant environmental impact (Hollberg and Ruth, 2016) and is labeled as

59 'unsustainable' (Yu et al., 2018). As a core industry, it accounts for 30-50% of CO<sub>2</sub>  
60 emissions from all sectors worldwide (B. et al., 2015; Lu. et al., 2019), which contribute  
61 directly to global warming and the greenhouse effect (Tam et al., 2021; Yang et al.,  
62 2018). Reducing CO<sub>2</sub> emissions from buildings has therefore become a main priority  
63 for the building industry to reduce energy consumption and achieve energy saving and  
64 emission reduction targets (Jiaqi, 2017).

65 To reduce the life cycle carbon dioxide emissions (LCCE) of buildings, several  
66 studies actively investigate ways to mitigate or improve their carbon emissions (Lee et  
67 al., 2018) or measures to reduce building carbon emissions and other environmental  
68 impacts (Jrade and Jalaei, 2013; Xu et al., 2019; Tam et al.,2022). However, reducing  
69 carbon emissions usually leads to increased life cycle costs (LCC) (Islam et al., 2015),  
70 which has led to the popularization of research combining LCCE and LCC to balance  
71 and optimize carbon emissions and costs (Leckner and Zmeureanu, 2011; Ristimäki et  
72 al., 2013; Schmidt et al., 2018; Schwartz et al., 2016).

73 A further issue is that most indicators used for studying building carbon  
74 emissions focus on *total* carbon emissions rather than *unit cost* carbon emissions,  
75 resulting in there being no scientific standard for measuring building LCCE in the  
76 construction sector.

77 It is a dilemma for decision-makers to find the lowest carbon emission scheme at a  
78 certain cost. In response, based on the synthesis of building LCCE and LCC by BIM  
79 technology, this paper proposes the concept and framework of building life cycle carbon  
80 intensity and analyses the carbon emissions corresponding to unit costs throughout the  
81 life cycle.

82 The research's purpose is as follows.

83 1) This research aims to find a method to measure building LCCE per unit cost so  
84 that the decision-makers implement the lowest carbon emission scheme solutions at a  
85 certain cost throughout the building life cycle.

86           2) This research proposes the concept and framework of building life cycle carbon  
87 intensity (CEI) to fill the gaps that the existing indicators can only calculate the total  
88 carbon emissions of the project.

89           3) This method can analyze the key stages and high carbon emission materials of  
90 building carbon emissions and realize the replacement of high carbon emission  
91 materials to low carbon emission materials.

92           This paper consists of six sections. Following this introduction, section 2 reviews  
93 the concepts of LCCE, LCC, and the literature relating to LCCE and LCC based on  
94 BIM technology. Section 3 proposes the concept of carbon emission intensity (CEI) and  
95 constructs a methodological framework for the integrated analysis of LCCE and LCC  
96 using CEI and BIM technology. Section 4 combines previous research into the Chinese  
97 carbon emission factor database (Islam et al., 2015; Lu et al., 2019), and validates the  
98 above methodology using a building in Anhui Province, China, as a case study. Section  
99 5 provides a detailed analysis of the results and discusses the limitations of the study,  
100 and section 6 clarifies the conclusions of the work.

## 101 **2. Literature review**

### 102 **2.1 LCC**

103           While the history of LCC can be traced back to the late 1950s in the U.K, (Klöpffer  
104 and Ciroth, 2011), there is no single term for the concept (Goh and Sun, 2015).

105           The *Construction Best Practice Programme* defines LCC as considering all  
106 relevant costs and revenues associated with the acquisition and ownership of  
107 constructed assets (Kehily and Underwood, 2017). The scope of the costs involved was  
108 subsequently clarified by the International Standards Organisation through ISO 15686-  
109 5, which further divides LCC into construction, operation, occupancy, maintenance,  
110 and end-of-life costs (International Organization for Standardization, 2017). In  
111 summary, the life cycle of a building includes a number of stages, from the production  
112 of materials, transportation, construction, operation, and maintenance, to demolition,

113 and the LCC is the sum of the costs incurred by the building at different life cycle stages  
114 (Sherif and Kolarik, 1981).

115 In estimating LCC, some studies use the international standard ISO 15686 (Service  
116 Life Planning, Part 5, Whole Life Costing), some use the European Standard BS  
117 EN15978, and others use original formulas (Lee et al., 2020; Santos et al., 2020), while  
118 still others use BIM-based techniques (Lu et al., 2019; Lu et al., 2020) and machine  
119 learning techniques (Ji et al., 2021). Furthermore, The European Commission has  
120 developed a series of sector specific LCC calculation tools which aim to facilitate the  
121 use of LCC amongst public procurers (Medina-Salgado et al., 2021).

122 However, as a possible improvement to the traditional LCC approach, Fragonard  
123 (Fregonara et al., 2018) and Goulouti (Goulouti et al., 2020) proposed an application of  
124 risk analysis in conjunction with Life-Cycle Cost Analysis for selecting the preferable  
125 solution between technological options. The focus is on the evaluation of economic–  
126 environmental sustainability, considering the presence of risk and uncertainty. These  
127 studies had found that by applying sensitivity and probability analysis to LCC, the  
128 generated results would indicate in quantitative terms the impact of the various  
129 assumptions the better to guide decisions and follow-up work during the building’s life  
130 cycle to achieve efficiency.

131 Consideration of environmental and social factors becomes an urgent issue in LCC.  
132 LCC is, to an increasing extent, an effective method for making economic assessments  
133 of the built environment through sustainability certification systems of buildings, such  
134 as BREEAM and DGNB (Toosi et al., 2020). Importantly, LCC calculations are  
135 underpinned by the assumption that assessments of environmental costs are fairly  
136 straightforward and apolitical operations. The notion that environmental (and social)  
137 costs can be monetized, which underpins LCC, also entails that they are perceived as  
138 interchangeable with other costs (Larsen et al., 2022).

139 The adoption of new technology makes LCC determination more accurate and  
140 scientific. BIM technology has developed rapidly in recent years and its data standards

141 (Fu et al., 2004) and 5D (Kehily and Underwood, 2017) applications have become  
142 increasingly mature. It can be used to predict the LCC of buildings during the design  
143 stage (Kehily and Underwood, 2017; Lee et al., 2020), and suitable software has been  
144 developed for this purpose (J. et al., 2020). This method also allows the impact of  
145 different options on the LCC to be evaluated and the optimal option with the lowest  
146 LCC to be determined (Jausovec and Sitar, 2019; Rodrigues et al., 2018). BIM-based  
147 quantity calculation sheets can directly reflect the consumption of materials and  
148 machinery, and can effectively manage multiple data during the construction stage (Lee  
149 et al., 2018; Yang et al., 2018), thus enabling rapid costing of the construction stage.  
150 Recent research has increasingly focused on the application of BIM-based LCC in  
151 sustainable buildings (Ahmad and Thaheem, 2018; Marzouk et al., 2018), where BIM  
152 carries information concerning the building's climatic conditions, comfort  
153 requirements, and operating schedules, with BIM-based energy consumption software  
154 also enabling energy consumption during the operating stage to be simulated (Gan et  
155 al., 2018; Peng, 2016; Shadram et al., 2016). Some studies thought an estimation of the  
156 building's life span is essential to carrying out LCC methods and also sought an  
157 effective way to predict realistic building life spans by applying the latest machine  
158 learning prediction methods (Ji et al., 2021). Machine learning techniques have been  
159 widely used for predicting facility-related LCC (Gao et al., 2019; Gao et al., 2020), but  
160 there is a lack of research on developing machine learning models for the complete life-  
161 cycle cost (LCC) analysis of buildings.

162

## 163 **2.2 LCCE**

164 Most studies classify LCCE into construction, operation, and demolition stages  
165 (Peng, 2016), where the *construction* stage mainly consists of the pre-production of  
166 materials and material transportation, and on-site construction (the construction process)  
167 (Wan Omar et al., 2014; Yang et al., 2018). Carbon emissions during the *operational*  
168 stage mainly consist of energy consumption from heating, ventilation, and air

169 conditioning (HVAC), lighting, water supply (hot and cold), and equipment use (Roh  
170 and Tae, 2017). The energy consumption and carbon emissions during the *demolition*  
171 stage mainly come from the human and mechanical resources consumed in the  
172 demolition of the building (Peng, 2016).

173 Carbon dioxide equivalent (CO<sub>2</sub>-eq) is often used as the unit of measurement for  
174 carbon emissions (Turner and Collins, 2013), with its estimation taking into account the  
175 collective contribution of such greenhouse gases as CO<sub>2</sub>, CH<sub>4</sub>, and NO<sub>2</sub> (Gan et al.,  
176 2018). However, the accurate computation of carbon emissions is difficult. Firstly,  
177 process-based methods for computing LCCE require a large amount of data, leading to  
178 high costs and the expenditure of much time (Fenner et al., 2018; Onat et al., 2014).  
179 Secondly, the wide variety of materials, equipment, and construction processes  
180 involved during the materialization and demolition stages also ma it difficult to  
181 accurately estimate their carbon emissions (Li et al., 2010). In addition, carbon  
182 emissions vary considerably during the operational stage, depending on climatic  
183 conditions, comfort requirements, and operating hours (Ramesh et al., 2010).

184 In terms of building life-cycle carbon emission calculation, many researchers have  
185 established building carbon emission calculation models based on the life-cycle theory,  
186 and calculated the life-cycle carbon emissions of residential buildings, public buildings  
187 or infrastructure respectively. Filimonau (Filimonau et al., 2021) used the  
188 environmental life cycle impact assessment (EIA) approach to assess the life-cycle  
189 carbon emission occurring throughout the life cycle of hotels in two South American  
190 countries (Brazil and Peru). Petrovic et al. (Petrovic et al., 2021) assessed the carbon  
191 emissions of a house in Sweden at all stages from the production, construction and use  
192 of building materials to the end of the life cycle of the building. For different research  
193 purposes, the boundary range of building carbon emission assessment will also be  
194 different. Some studies have focused on the impact of building materials, components,  
195 and construction methods on carbon emissions (Sahook et al., 2021; Tabrizikahou et al.,



196 2021), while others focus on the impact of carbon emissions at a specific stage  
197 (Cascione et al., 2022).

198 Building information modeling (BIM), which has been used for the assessment of  
199 carbon emissions (Lu et al., 2019;), energy (Nizam et al., 2018; Xu et al., 2019), and  
200 other environmental impacts (Jalaei and Jrade, 2014; Jrade and Jalaei, 2013), offers a  
201 potential way to address and analyze this issue (Bernardette Soust-Verdaguer et al.,  
202 2017). BIM technologies can compute carbon emissions (generated during the building  
203 life cycle) at the design stage and reduce the time and effort required to manage carbon  
204 emissions data (Cavalliere et al., 2019; Kehily and Underwood, 2017; Nwodo and  
205 Anumba, 2019; Soust-Verdaguer et al., 2017; Ylmén et al., 2019), eliminating manual  
206 data input and significantly speeding up LCA model building (Cavalliere et al., 2019;  
207 Röck et al., 2018; Santos et al., 2019). There have been several studies of BIM-based  
208 carbon emissions estimation. Peng (2016), for example, has proposed a method for  
209 building LCCE based on *Ecotect* and BIM, while Yang et al. (2018) have conducted a  
210 case study on BIM-based carbon footprint accounting for residential buildings (Yang et  
211 al., 2018). These studies also illustrate the need for a carbon emission factor database  
212 to underpin the computation of building LCCE based on BIM.

213 In terms of what database to use, there are well-established databases that provide  
214 carbon emission coefficients for building materials or construction processes. More  
215 than 40 LCA databases have been provided by institutes and researchers (Martínez-  
216 Rocamora et al., 2016). At present, the databases commonly used include the Inventory  
217 of Carbon and Energy (ICE) database (Circular ecology, 2022), Athena database  
218 (Athena, 2022), Ecoinvent database (Ecoinvent, 2022), and GaBi database (Sphera,  
219 2022). These four databases not only contain LCA data from their own countries but  
220 are also trying to expand their scope by incorporating relevant data from other countries.  
221 In addition, there are U.S. Life Cycle Inventory Database in the United States (Nrel,  
222 2022), ELCD in the European Union (ELCD, 2022), Base Carbone in France (ADEME,  
223 2022) and BEDEC in Spanish (ITeC, 2022). Some databases have been deemed non-

224 transparent and several more can be considered incomplete. In order to compare two  
225 construction materials, a precise database with high ratings on ‘traceability’,  
226 ‘methodology’ and ‘comprehensiveness’ should be used. Accordingly, GaBi Database  
227 and Ecoinvent are identified the most complete LCA databases for their integrity,  
228 usability and dedicated resources. ELCD is considered the best free database, standing  
229 out due to the fact that it merges data from several industry databases, such as Plastics  
230 Europe, Eurofer data sets, and EAA ( Martínez-Rocamora et al., 2016). In China, there  
231 is the *China National Greenhouse Gas Inventory Program* (Peng, 2016) and the *China*  
232 *Life Cycle Database* (Yang et al., 2018), which can be used as references for carbon  
233 emission accounting, but these data are oriented towards all sectors and data for  
234 construction materials are extremely limited. Faced with the problem of incomplete  
235 carbon emission factors for the construction industry, some studies have used the actual  
236 measurement and collection of carbon emission processes from the construction  
237 process (Mah et al., 2011) or literature reviews to build their own carbon emission factor  
238 databases (Cheng B., 2020; Wang et al., 2018), while others use the coefficients  
239 provided by products with environmental product declarations (Wang et al., 2020).

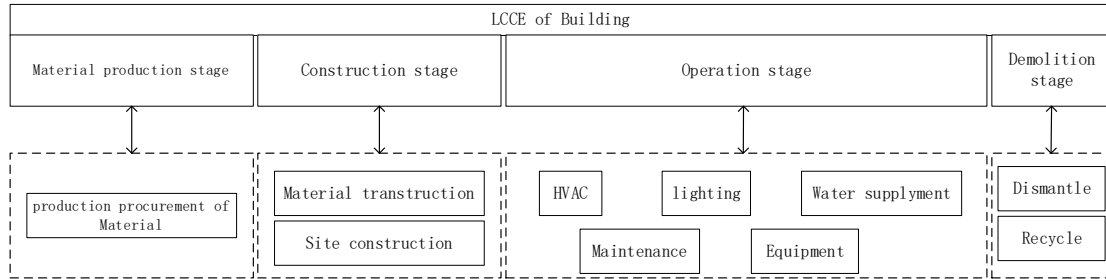
240 In summary, most studies analyze LCC and LCCE in isolation (Leckner and  
241 Zmeureanu, 2011; Ristimäki et al., 2013; Schmidt et al., 2018; Schwartz et al., 2016),  
242 and a few do so comprehensively, but none achieve the balance and optimization of  
243 LCCE and cost. BIM provides a potential method for the comprehensive analysis of  
244 building LCCE and LCC. Therefore, the present study uses BIM Technology to  
245 comprehensively analyze building LCCE and LCC and the building life cycle CEI,  
246 proposes targeted energy-saving and emission reduction measures, and helps to realize  
247 the optimal cost under low carbon design in the early design stage.

### 248 **3. Method**

#### 249 **3.1 Framework**

250 In this section, we first delimit the calculation boundary of a building’s LCC and  
251 carbon emissions (Fig. 1, Table 1), and then calculate its LCC and LCCE based on BIM

252 Technology. Finally, the concept of CEI is put forward, and LCCE and LCC are  
 253 comprehensively analyzed to obtain the carbon emission per unit cost in the life cycle  
 254 (Fig. 2).



255 **Fig. 1.** Calculation boundary of a building's LCCE

256

257 **Table 1**

258 The scope of activities at each stage

Stage	Activity
Material production stage	The production and procurement of building materials.
Construction stage	The whole process of on-site construction, transportation of building materials to the construction site, transportation of construction waste out of the site, and other construction processes.
Operation stage	The impact of energy and water consumed by the daily operation of the building (refrigeration, heating, ventilation system, lighting, water supply, and equipment use), building maintenance, repair, renovation, and component replacement.
Demolition stage	Building demolition, transportation, and treatment of construction waste.

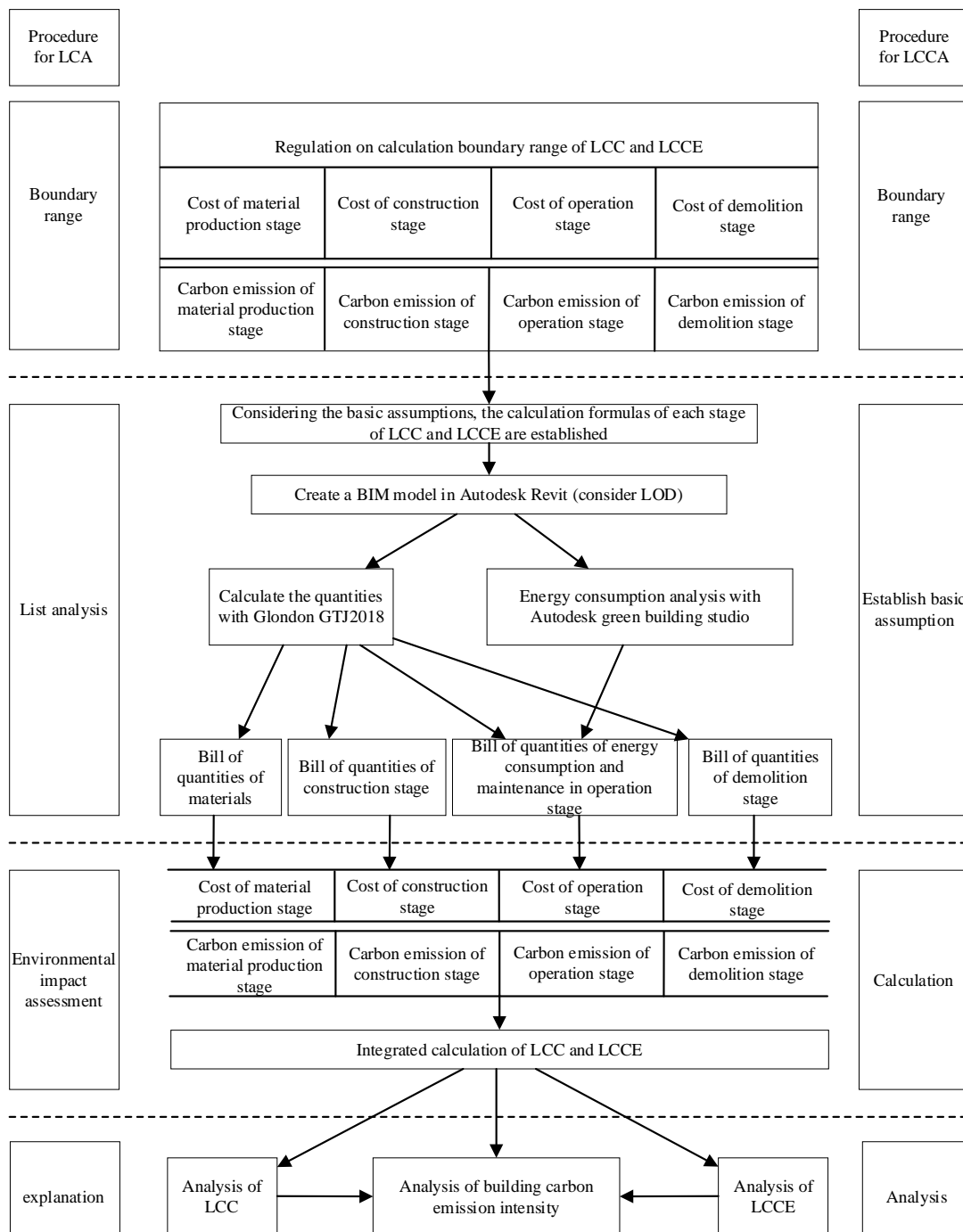


Fig. 2. Framework of building CEI analysis based on BIM

260

261

262 **3.2 Use of BIM technology to obtain a life cycle inventory**

263

Autodesk Revit is the core modeling software to build the BIM model and ensure its accuracy; LOD300 is used to create the BIM model (Soust-Verdaguer et al., 2017).

264

265

Then, the GFC for the Revit plug-in developed by Glondon is used to export the Revit

266 model to Glondon GTJ2018 and supplement more information such as reinforcement.  
 267 Finally, GTJ2018 is used to compute and export the bill of quantities of maintenance  
 268 and demolition works in the material production, construction, and operation stages.  
 269 The operation stage involves the energy consumption consumed by HVAC, lighting,  
 270 water supply, and equipment, which is computed by simulation based on green building  
 271 analysis software. Therefore, exporting Autodesk Revit to the green building software  
 272 Autodesk green building studio obtains the energy consumption list in the operation  
 273 stage. The life cycle list and data sources required by each stage are shown in Table 2.

274

275 **Table 2**

276 Life cycle inventory and its data resource

Stage	Detailed List	Direct source of data	Initial modeling of data
Material production stage	Bill of quantities	Glondon GTJ2018	Autodesk Revit
Construction stage	Bill of quantities	Glondon GTJ2018	Autodesk Revit
Operation stage	Maintenance bill of quantities and energy consumption list	Glondon GTJ2018 Autodesk Green Building Studio	Autodesk Revit
Demolition stage	Bill of quantities	Glondon GTJ2018	Autodesk Revit

277

### 278 3.3 Estimating LCCE and LCC

#### 279 3.3.1 LCCE

280 According to the above analysis, the whole LCCE of buildings comprises material  
 281 production, construction, operation, and demolition stages.

282 The carbon emission in the whole life cycle is calculated by

283

$$284 \quad LCCE = CE_{mat} + CE_{con} + CE_{ope} + CE_{dem} \quad (1)$$

285

286 where  $LCCE, CE_{mat}, CE_{con}, CE_{ope}, CE_{dem}$  denote the carbon emissions from the  
 287 material production, construction, operation, and demolition stages of the whole life

288 cycle, respectively.  $CE_{ope} = CE_{ope1} + CE_{ope2} \cdot CE_{ope1}$ ,  $CE_{ope1}$ ,  $CE_{ope2}$  are the carbon  
289 emissions from energy consumption in the operation stage and carbon emissions from  
290 maintenance in the operation stages, respectively.

291

292 *Material production stage:*

293 The material production stage uses the bill of quantities computation method to  
294 compute carbon emissions in terms of component work and measure project work. The  
295 carbon emissions during the transportation stage of material production are represented  
296 by

297

$$298 \quad CE_{mat} = \sum CEC_i \times Q_i + \sum CEC_j \times Q_j \quad (2)$$

$$299 \quad CEC_{i/j} = \sum q CE_{mat} \quad (3)$$

300

301 Here  $CEC_i$  and  $CEC_j$  denote the comprehensive unit carbon emission coefficient of  
302 sub-item works and measure items, respectively; the  $Q_i$  and  $Q_j$  divisions denote sub-  
303 item works and measure item quantity;  $q$  is the quantity of materials in unit quantity;  
304 and  $CE_{mat}$  is the carbon emission coefficient of materials (Lu et al., 2019).

305 The dispersion of materials and manufacturers' creates difficulties certainty unseen  
306 in monitoring the carbon footprint of materials during the production process. Several  
307 studies have refined a database of coefficients for the Chinese construction industry that  
308 can be used to estimate carbon emissions during the production stage. One of these  
309 formed a database of carbon emission factors for energy consumption, labor, materials,  
310 and machinery shifts through extensive data collection and integration (Lu et al., 2019).  
311 A list of carbon emission factors for construction materials is also provided in the  
312 Chinese standard released in 2019 for estimating the carbon emissions from buildings  
313 (GB/T51366-2019). They present the carbon emissions from the material production  
314 process up to forming in final coefficients and, when combined with the list of materials

315 used, the material-related carbon emission values can be estimated, which significantly  
316 reduces computation difficulty.

317

318 *Construction stage:*

319 In the construction stage, the bill of quantities method is also used to estimate  
320 carbon emissions of each sub-work and measurement work. The carbon emissions are  
321 firstly computed according to the quota numbers of labor and machinery units; next,  
322 the carbon emissions of individual projects are computed; then, these are aggregated to  
323 obtain the total carbon emissions of the whole construction stage. The construction  
324 stage carbon emissions are represented by

325

$$326 \quad CE_{con} = \sum CEC_i \times Q_i + \sum CEC_j \times Q_j \quad (4)$$

$$327 \quad CEC_{i/j} = \sum p CE_{lab} + \sum r CE_{mech} \quad (5)$$

328

329 Where  $CEC_i$  and  $CEC_j$  denote the comprehensive unit carbon emission coefficients of  
330 component work and measure items, respectively; he  $Q_i$  and  $Q_j$  divisions denote  
331 component work and measure item quantities;  $p$  and  $r$  is the quantity of man-days and  
332 machinery shifts in unit quantities;  $CE_{lab}$  and  $CE_{mech}$  are the carbon emission  
333 coefficients of man-days and machinery shifts (Lu et al., 2019).

334

335 *Operation Stage:*

336 The operational carbon emissions mainly consist of carbon emissions resulting  
337 from the energy consumption of building equipment, which should be deducted if the  
338 building uses renewable energy, calculated by

339

$$340 \quad CE_{ope1} = CE_{SY} \times Y \quad (6)$$

$$341 \quad CE_{SY} = CEC_e \times (Q_i - Q_{re}) + CEC_f \times (Q_f - Q_{re}) \quad (7)$$

342

343 Where  $CE_{SY}$  is the annual carbon emissions generated of energy consumption during  
 344 the operation stage of the building;  $CEC_e$  and  $CEC_f$  are the unit carbon emission  
 345 factors of electricity and fuel, respectively (Lu et al., 2019);  $Q_e$  and  $Q_f$  are the  
 346 consumption of electricity and fuel;  $Q_{re}$  is the reduction of electricity and fuel from  
 347 renewable energy systems; and  $Y$  is the life of the building.

348

349 *Demolition stage:*

350 The maintenance process and the demolition stage of the operation stage are  
 351 calculated in the same way as the construction stage, with

352

$$353 \quad CE_{ope2} = CE_{dem} = \sum CEC_i \times Q_i + \sum CEC_j \times Q_j \quad (8)$$

$$354 \quad CEC_{i/j} = \sum pCE_{lab} + \sum rCE_{mech} \quad (9)$$

355

356 Where the meaning of the symbols is the same as before.

357

### 358 **3.3.2 LCC**

359 Building LCC is represented by

360

$$361 \quad LCC = C_{mat} + C_{con} + C_{ope} + C_{dem} \quad (10)$$

362

363 where  $LCC$ ,  $C_{mat}$ ,  $C_{con}$ ,  $C_{ope}$ , and  $C_{dem}$  denote the costs of the material production,  
 364 construction, operation, and demolition stages of the whole life cycle, respectively.

365

366 *Material production stage:*

367 The use of a bill of quantities pricing in the materials production stage is  
 368 represented by

369

$$370 \quad C_{mat} = \sum CC_i \times Q_i + \sum CC_j \times Q_j \quad (11)$$



371  $CC_{i/j} = \Sigma q C_{mat}$  (12)

372

373 where  $CC_i$  and  $CC_j$  indicate the comprehensive unit price of sub-item work and  
 374 measure items, respectively;  $Q_i$  and  $Q_j$  indicate the sub-item work and measures,  
 375 respectively;  $q$  is the quantity of materials in the unit quantity of works; and  $C_{mat}$  is the  
 376 market price of materials.

377

378 *Construction stage:*

379 The construction stage is also costed using the bill of quantities method for  
 380 component work and measure item work. The cost of the construction stage is  
 381 represented by

382

383  $C_{con} = \Sigma CC_i \times Q_i + \Sigma CC_j \times Q_j + C_{rule} + C_{tax}$  (13)

384  $CC_{i/j} = \Sigma p C_{lab} + \Sigma r C_{mech} + C_{manage} + C_{profit}$  (14)

385

386 where  $CC_i$  and  $CC_j$  indicate the comprehensive unit price of the component project  
 387 and measure project, respectively;  $Q_i$ , and  $Q_j$  denote the quantity of component  
 388 work and measure items, respectively;  $p$  and  $r$  are the quantity of man-days and  
 389 machinery shifts in the unit quantity;  $C_{lab}$  and  $C_{mech}$  are the market price of man-  
 390 days and machinery shifts;  $C_{manage}$  and  $C_{profit}$  are the management fee and profit  
 391 of the unit quantity; and  $C_{rule}$ , and  $C_{tax}$  are the fee and tax.

392

393 *Operation stage:*

394 The operating stage costs are mainly from HVAC, lighting, water supply, fuel,  
 395 electricity, and water consumed by the use of equipment. The calculation is represented  
 396 by

397

398  $C_{ope1} = (C_f + C_e + C_w) \times Y$  (15)

399  $C_{f/e/w} = CC_1 \times Q_1 + CC_2 \times Q_2 + \dots + CC_n \times Q_n$  (16)

400

401 Where  $C_f, C_e,$  and  $C_w$  are the annual fuel, electricity, and water costs during the  
 402 operation stage of the building, respectively;  $Y$  is the service life of the  
 403 building;  $CC_1, CC_2, \dots, CC_n$  are the fuel, electricity, and water prices for the first,  
 404 second, ... , nth level, respectively;  $Q_1, Q_2, \dots, Q_n$  are the bases of fuel, electricity, and  
 405 water for the first, second, ... , nth level, respectively.

406

407 *Demolition stage:*

408 The calculation of the maintenance process and the demolition stage of the  
 409 operation stage is the same as for the construction stage. It is represented by

410

411  $C_{ope2} = CE_{dem} = \sum CC_i \times Q_i + \sum CC_j \times Q_j + C_{rule} + C_{tax}$  (17)

412  $CC_{i/j} = \sum p C_{lab} + \sum r C_{mech} + C_{manage} + C_{profit}$  (18)

413

414 where the meaning of the symbols is the same as before.

415

### 416 **3.4 Building CEI**

417 The concept of a building's CEI is introduced here for the first time, defining it as  
 418 the carbon emissions per unit cost over the entire life cycle (Eq. 19), with

419

420  $\Delta = LCCE/LCC$  (19)

421

422 where  $\Delta$  represents the carbon emissions generated per unit cost (CNY) (kgCO<sub>2</sub>-  
 423 eq).

424

### 425 **3.5 Determination of the optimal cost under a low carbon emission regime**

426 Based on the CEI, a comprehensive analysis and optimization of LCC and LCCE  
427 can be carried out, mainly consisting of the following elements.

- 428 1) A comparative analysis of the CEI of each stage of the building life cycle process,  
429 and the identification of the key stages that play a decisive role in the LCCE.
- 430 2) Refine the analysis of the key stages, analyze which factors have the greatest CEI  
431 at that stage, and optimize them to determine the measures for low carbon emission  
432 reduction.
- 433 3) Computing the optimal cost under a low carbon emission regime for the whole life  
434 cycle of the building.

435

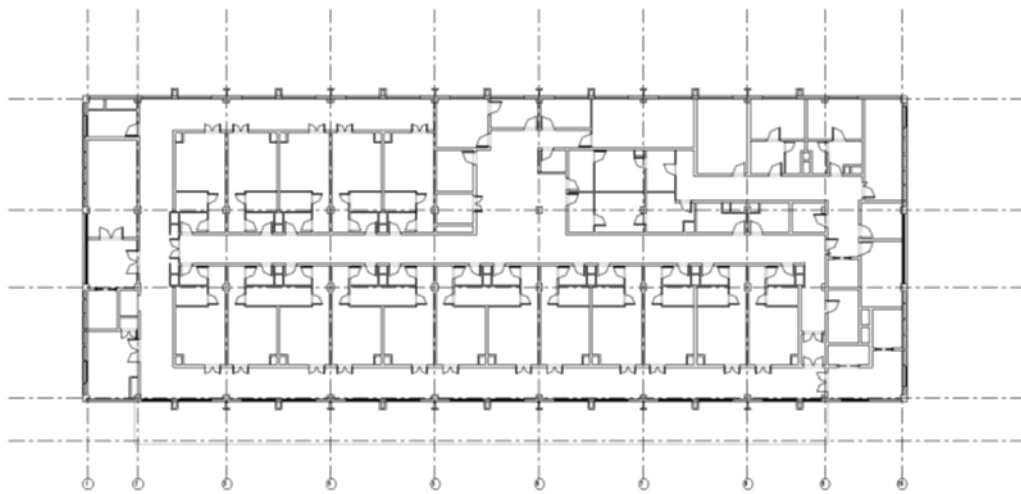
## 436 **4. Case study**

### 437 **4.1 Overview of the case**

438 To validate the methodology, this study selects a case study of a public building  
439 in Anhui Province, China. This building has been used in the author's prior research  
440 (Lu et al., 2021) and the information and drawings are adequate and transparent.

441 The building is located in Chuzhou City, which has a typical mid-latitude monsoon  
442 climate with hot summers and cold winters (GB50176-2016), the building has a  
443 reinforced concrete frame structure system (Fig. 3), with a total construction area of  
444 6367 m<sup>2</sup> and a base area of 1703 m<sup>2</sup>. It has 4 floors, a building height of 15.9 m, and a  
445 design life of 50 years. After the construction of the building is completed, it enters a  
446 50-year operational period, with a maintenance refurbishment expected after 25 years  
447 and demolition at the end of 50 years.

448



**Fig. 3.** Case building

449

450 The carbon emissions and costs were computed for the production stage (2 years),  
451 construction stage (2 years), operation stage (50 years), and demolition stage (0.5 years)  
452 as described in section 3, and the results were collated.

453

## 454 **4.2 Case results**

### 455 **4.2.1 Estimating LCC and LCCE**

456 The estimated LCC of the building is CNY 798,425,72.10. The cost of each stage,  
457 the proportion of LCC by stage, and the average annual cost per unit area by stage are  
458 shown in Table 3.

459

460

461 **Table 3**  
 462 LCC estimates

Stage	Cost (CNY)	Proportion (%)	Average annual cost per unit area (CNY/(m <sup>2</sup> *y))
Material production	6,102,740.44	7.64	479.25
Construction	3,281,802.34	4.11	257.72
Operation	69,398,155.09	86.92	217.99
Demolition	1,059,874.23	1.33	332.93
<i>Total</i>	<i>79,842,572.10</i>	<i>100</i>	<i>230.09</i>

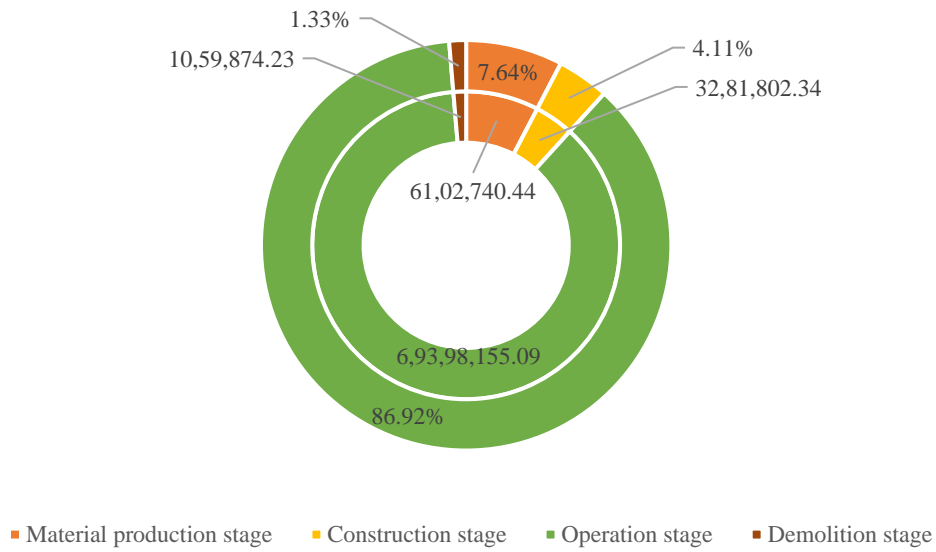
463

464 The LCCE of the building is computed to be 40,102.98 tCO<sub>2</sub>-eq. The annual  
 465 average carbon emissions of each stage, the proportion of each stage to the total LCCE,  
 466 and the annual average carbon emissions of each stage for different time spans are  
 467 shown in Table 4.

468

469 **Table 4**  
 470 LCCE estimates

Stage	Carbon emission (tCO <sub>2</sub> -eq)	Proportion (%)	Average annual carbon emission (tCO <sub>2</sub> -eq/y)
Material production	3,144.16	7.84	1,572.08
Construction	112.83	0.28	56.42
Operation	36,601.37	91.27	732.03
Demolition	244.62	0.61	489.24
<i>Total</i>	<i>40,102.98</i>	<i>100</i>	<i>735.83</i>



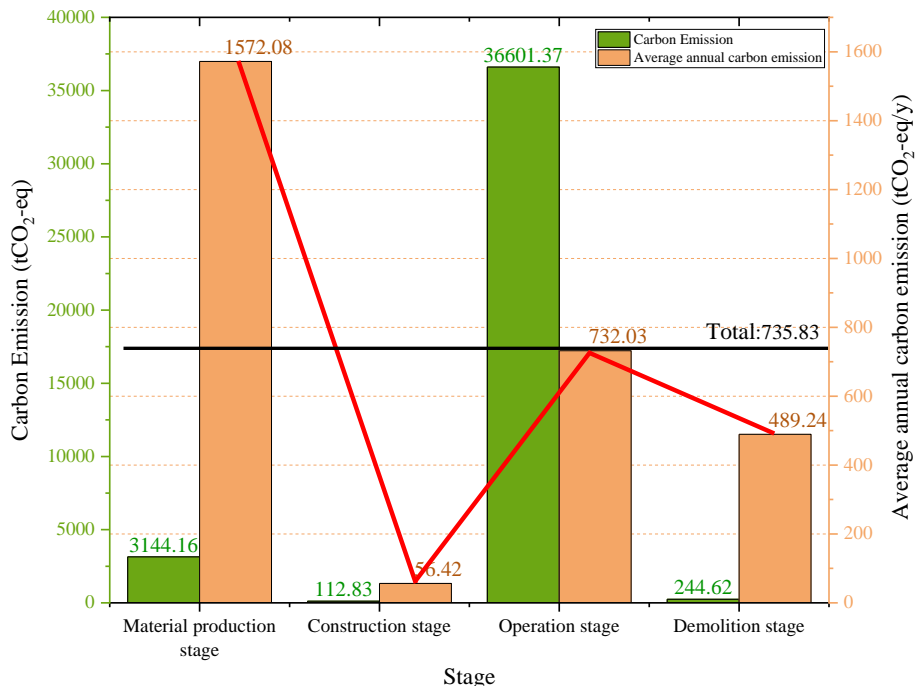
472

**Fig. 4.** Composition of LCCE of the case building

473

474 Combining the computed LCCE (Table 4) with the analysis of its composition (Fig.  
 475 4) and the analysis of the annual average LCCE (Fig. 5), it can be seen that the carbon  
 476 emissions of the operation stage account for 91.27% of the total carbon emissions in  
 477 the whole life cycle.

478



**Fig. 5.** Analysis of life cycle average annual carbon emission of case building

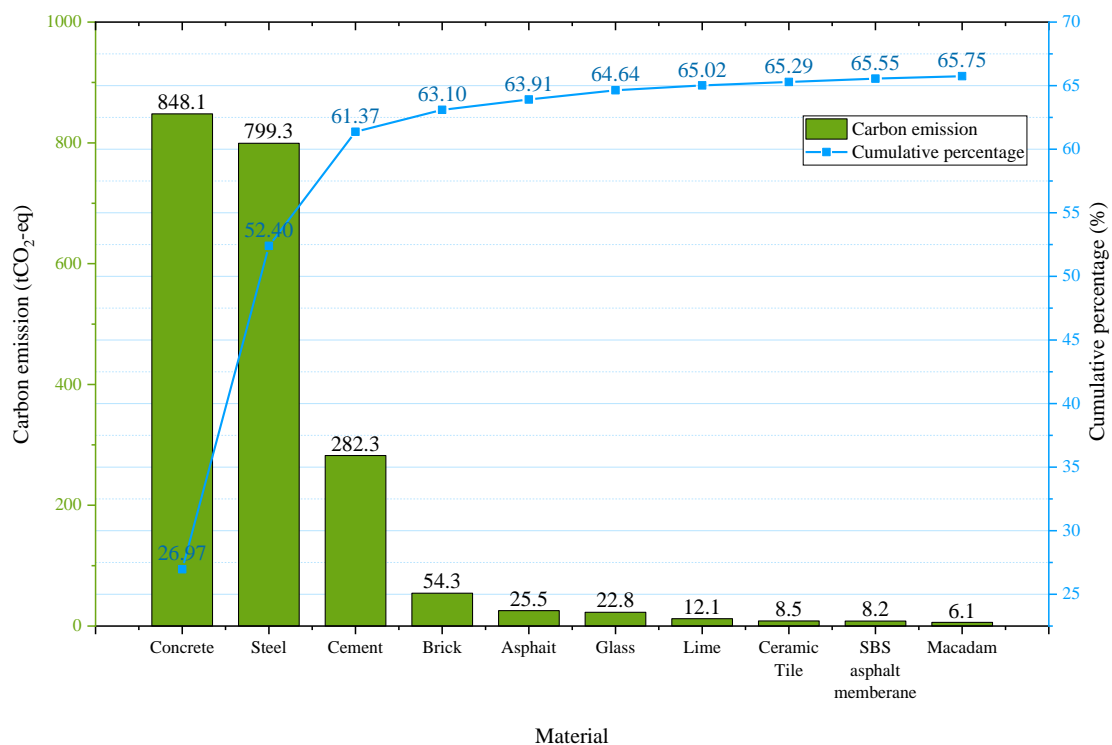
479

480 Due to the different time spans of each stage, it is usual to compare the total carbon  
481 emissions of each stage in the life cycle. The carbon emissions per unit time of each  
482 stage are computed separately for different time spans to fully compare the carbon  
483 emissions of each stage and make a more accurate judgment.

484 As can be seen from Fig. 5, the average annual carbon emissions of the operational  
485 stage are smaller than the overall average annual carbon emissions. However, the  
486 difference from the previous comparison is more obvious, in that the annual average  
487 carbon emissions of the material production stage are much larger than the overall  
488 annual average carbon emission, because the demand for material is matched in the  
489 construction process. The demand is more in a short period, so the carbon emission  
490 generated per unit of time becomes the largest in the four stages. We then delved further  
491 into the carbon emissions of the materials production stage (Fig. 6).

492

493



494

495

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497

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499

**Fig. 6.** Composition analysis of the main materials carbon emissions at the material production stage

500

501 Fig. 6 shows the ten construction materials with the highest carbon emissions  
502 during the material production stage. Their cumulative emissions amount to 2067.2  
503 tCO<sub>2</sub>-eq, accounting for 65.75% of the carbon emissions during the material production  
504 stage.

505 Concrete, steel, and cement contribute the most. This is mainly due to the  
506 reinforced concrete structures, which consume large amounts of concrete (2590.7 m<sup>3</sup>),  
507 steel (399.7 tonnes), and cement (524.8 tonnes), which in turn have very high carbon  
508 emission factors (Lu et al., 2019), and therefore produce very large total carbon  
509 emissions. In recent years, a large number of studies have investigated the use of ultra-  
510 high performance concrete (UHPC) (Sheheryar et al., 2021), blast furnace steel (Tsupari  
511 et al., 2015), and fly ash (Tushar et al., 2022) to replace the materials currently used in  
512 buildings – effectively reducing carbon emissions and the cost of construction materials.

513

#### 514 4.2.2 Estimating CEI

515 Table 5 shows the results of combining the LCCE and LCC to obtain the CEI for  
516 each stage ( $\Delta = LCCE/LCEC$ ).

517

518 **Table 5**

519 CEI estimates of the case building

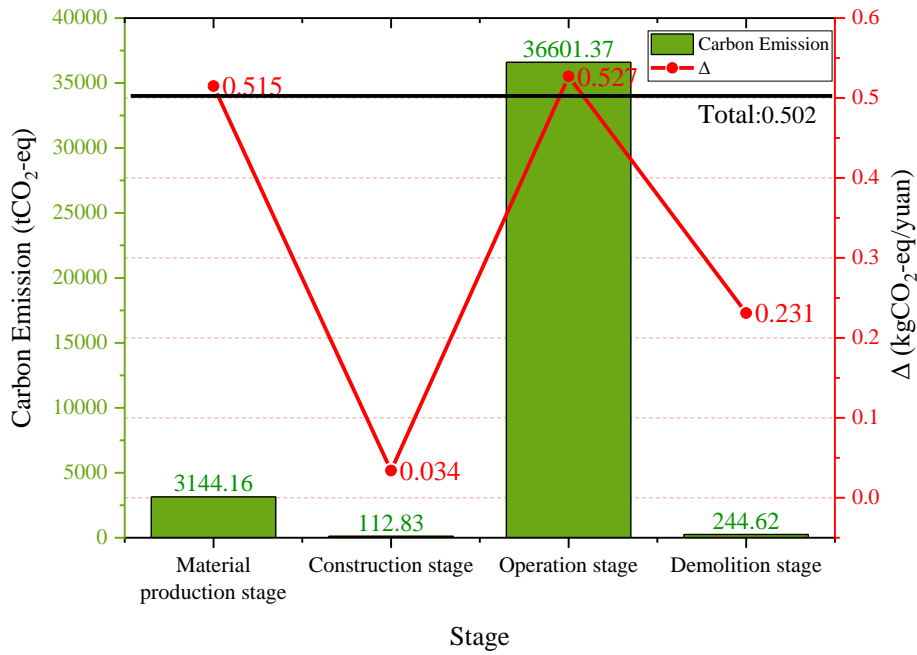
Stage	Cost (CNY)	Carbon emissions (tCO <sub>2</sub> -eq)	$\Delta$ (kgCO <sub>2</sub> -eq/CNY)
Material production	6,102,740.44	3,144.16	0.515
Construction	3,281,802.34	112.83	0.034
Operation	69,398,155.09	36,601.37	0.527
Demolition	1,059,874.23	244.62	0.231
<i>Total</i>	<i>79,842,572.10</i>	<i>40,102.98</i>	<i>0.502</i>

520

521 The CEI is computed at different stages (Eq. 19, Table 5, Fig. 7), which gives the  
522 amount of carbon emissions that the case building will generate per unit cost.

523





**Fig. 7.** CEI Analysis of the case building

524

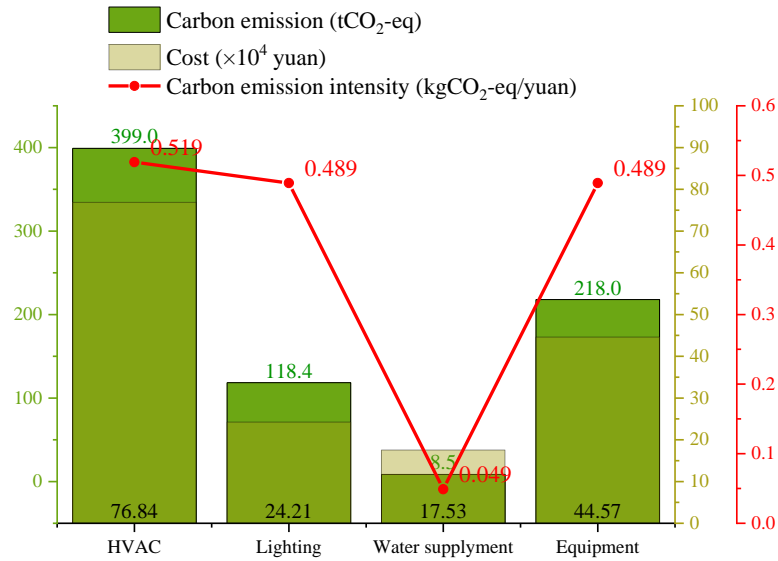
525 The life cycle CEI of the case building is 0.502 kgCO<sub>2</sub>-eq/CNY, and the CEI of the  
 526 material production, construction, operation, and demolition stages are 0.515 kgCO<sub>2</sub>-  
 527 eq/CNY, 0.034 kgCO<sub>2</sub>-eq/CNY, 0.527 kgCO<sub>2</sub>-eq/CNY, and 0.231 kgCO<sub>2</sub>-eq/CNY,  
 528 respectively. As it has the highest CEI, the operational stage is studied in depth in the  
 529 next section.

530

### 531 4.2.3 Comparative analysis of CEI in the operation stage

532 The carbon emissions from the operational stage include those from (1) energy  
 533 consumption (fuel, electricity) during operation (36,600.00 tCO<sub>2</sub>-eq) and (2) the  
 534 maintenance of buildings (labor, machinery) during operation (1.37 tCO<sub>2</sub>-eq). As Fig.  
 535 8 shows, they are mainly from energy consumption in the four areas of HVAC, lighting,  
 536 water supplement, and equipment.

537



**Fig. 8.** Analysis of energy consumption CEI at the operation stage

538

539 HVAC contributes the most to the carbon emissions generated during this stage and  
 540 has the highest CEI. The categories of building services and HVAC systems make up  
 541 the major sources of energy use in buildings. The case building is located in Anhui  
 542 Province, China, and specific features are set from three categories: cooling, ventilation  
 543 and heating (see Table 6).

544

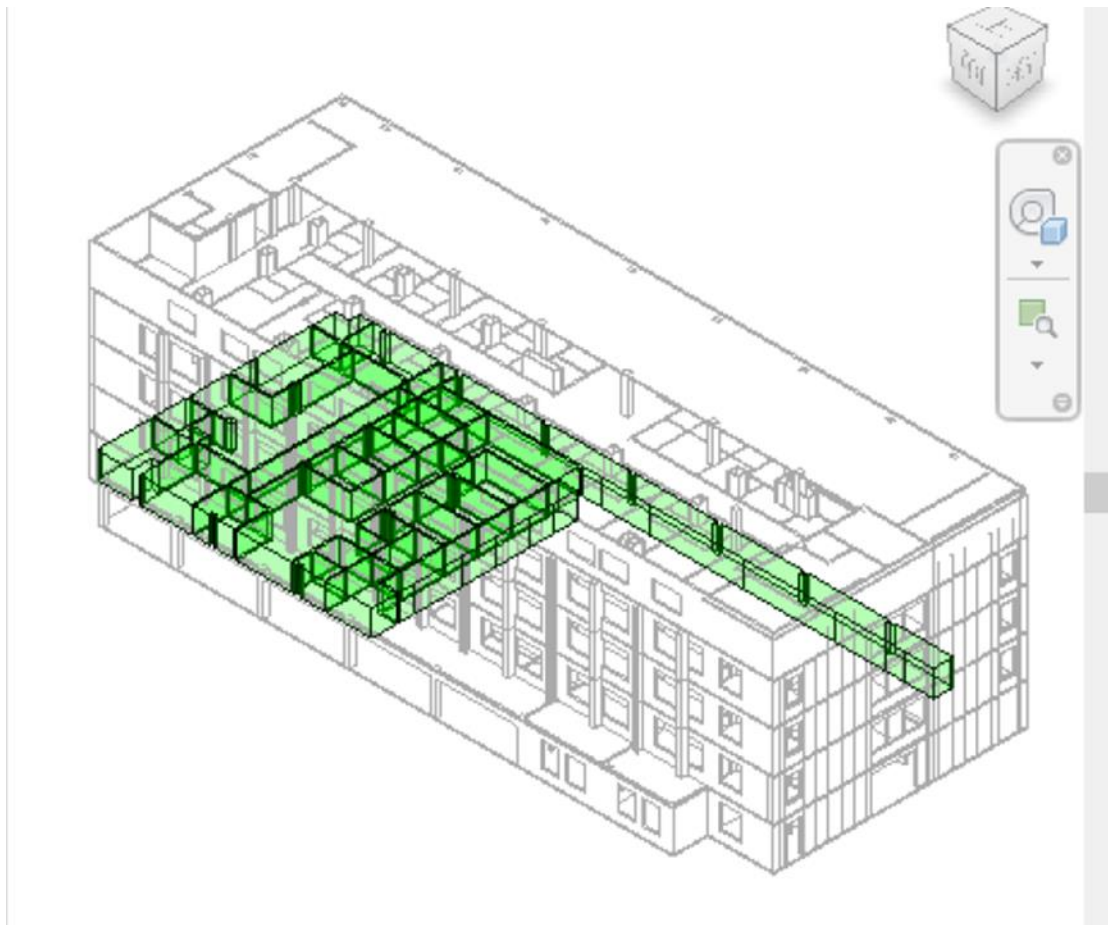
**Table 6**

545 HVAC system of the case building

Types	Equipment	Features
Cooling		Setpoint 26°C, cooling air temperature 14°C, dehumidification setpoint 60%
Ventilation	Central VAV, HW heating, chiller 5.96	Single fresh air volume 11.10L/s, 6 air changes per hour
Heating		Setpoint 26°C, heating temperature 32°C, dehumidification setpoint 60%

547 When structural and electromechanical BIM models of the building is constructed,  
 548 the BIM model was imported into Autodesk Green Building Studio to create spatial  
 549 volumes (see Fig. 9). Then, the energy consumption of the building's HVAC system  
 550 was acquired to calculate the corresponding carbon emissions (see Table 7).

551



552

553

**Fig. 9.** Heat and cold loads of the case building

554

555

**Table 7**

557 HVAC system energy consumption and carbon emissions of the case building

Types	Energy consumption	Carbon emissions (tCO <sub>2</sub> -eq)
Fuel	2,999,421MJ	149.5
Electricity	570,736kWh	249.5
<i>Total</i>	/	<i>399.0</i>

558

559 The analysis result of this case is consistent with the existing research, which also

560 points to the need to seek optimization of heating and air conditioning equipment (Guan

561 et al., 2013) and to adapt the building's electrical structure (Ramon and Allacker, 2021)

562 to reduce carbon emissions during the operational stage.

563 The average monthly CEI at the operational stage in winter (December, January,  
 564 February) and summer (June, July, August) is 0.545 kgCO<sub>2</sub>-eq/CNY and 0.546 kgCO<sub>2</sub>-  
 565 eq/CNY, which are significantly higher than the 0.528 kgCO<sub>2</sub>-eq/CNY and 0.526  
 566 kgCO<sub>2</sub>-eq/CNY in spring (March, April, May) and fall (September, October, November)  
 567 (see figure 10.).  
 568  
 569

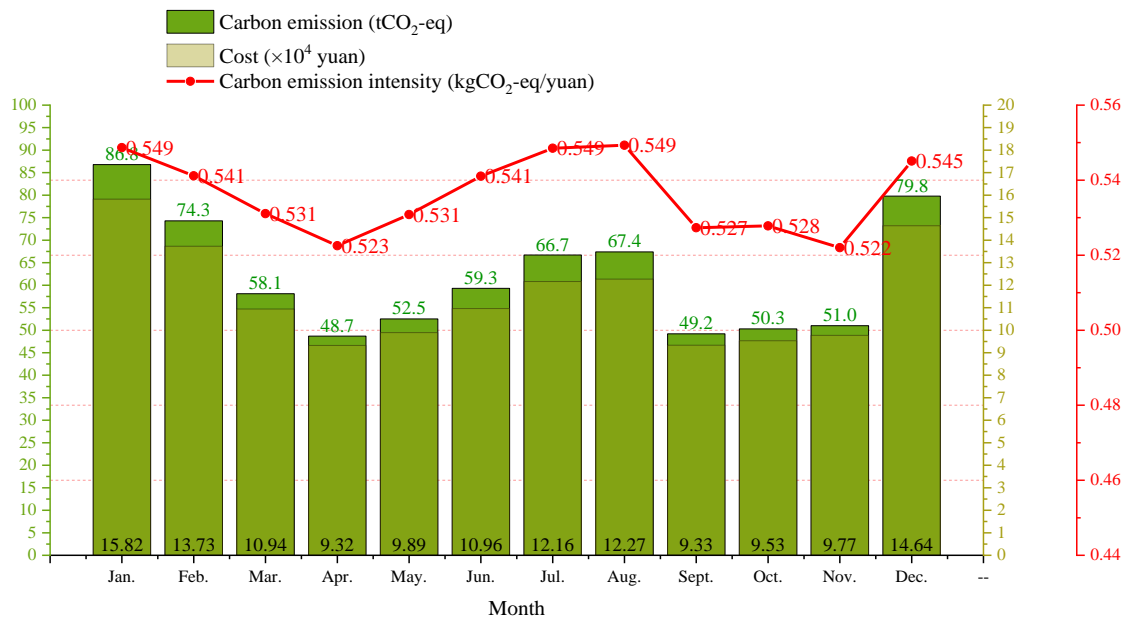


Fig. 10. Analysis of monthly CEI at operation stage

570

571 One possible reason for this phenomenon is the case in Anhui Province, China,  
 572 where winters are cold and summers are hot, leading to increased energy consumption  
 573 and higher carbon emissions compared to other regions. Another reason is that public  
 574 buildings require better ventilation, cooling, and heating conditions, resulting in higher  
 575 parameter settings for HVAC than residential building types.

576

#### 577 4.2.4 Optimization analysis of the lowest carbon emission under a limited cost

578 Due to tedious calculations, this study only focuses on the optimization analysis of  
 579 the material production stage with the largest carbon emissions.

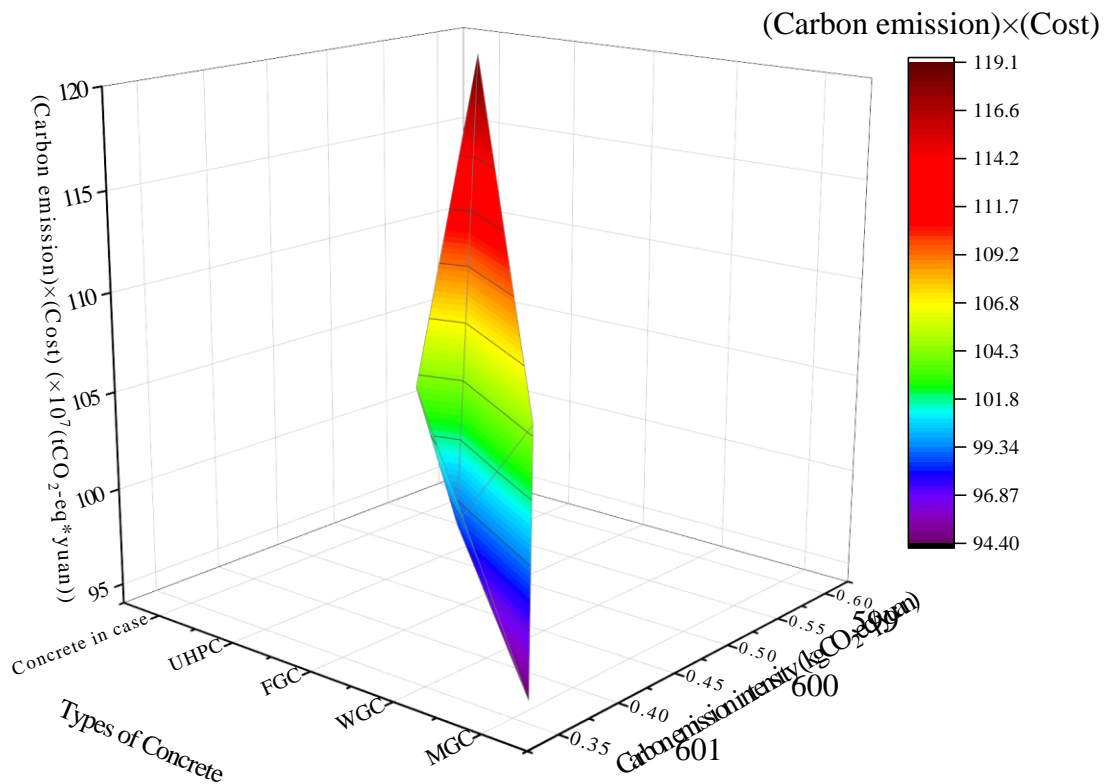
580 The case building's concrete are replaced with ultra-high-performance concrete  
 581 (UHPC) (Sheheryar et al., 2021), fly ash-based geopolymer concrete (FGC) (Zhang et  
 582 al., 2020), waste glass cullet concrete (WGC) (Xiao et al., 2020), and mixed material  
 583 geopolymer concrete (MGC) (Singh and Middendorf, 2020). Then, the carbon  
 584 emissions and cost of the concrete are calculated. The results of the carbon emission and  
 585 cost are listed in Table 8.

586 The results show that, compared with traditional concrete, alternative concrete can  
 587 achieve significant energy saving and emission reduction in the case building. However,  
 588 the cost increases with the corresponding decrease in CEI. Decision-makers are stuck  
 589 in the dilemma of how to find a trade-off between carbon emission and cost. Finding a  
 590 solution with the lowest carbon emission at a certain cost is an urgent problem to be  
 591 solved. This study utilizes two-dimensional coordinates to draw the area between  
 592 carbon emissions and costs. Then the scheme with the smallest product area is  
 593 considered the best solution, achieving a balance between low cost and low carbon  
 594 emissions (see Fig.10).

595 **Table 8**

596 Comprehensive analysis of carbon emission and cost of different concrete

Types of concrete	Carbon emissions (tCO <sub>2</sub> -eq)	Cost (×10 <sup>4</sup> CNY)	$\Delta$ (kgCO <sub>2</sub> - eq/CNY)	(Carbon emission)× (Cost) (×10 <sup>7</sup> (tCO <sub>2</sub> -eq*CNY))
Case study concrete	848.1	140.35	0.604	119.03
UHPC	703.9	147.37	0.478	103.73
FGC	661.5	148.77	0.445	98.41
WGC	678.4	154.39	0.439	104.74
MGC	585.2	161.40	0.363	94.45



602

603 **Fig. 11.** Comprehensive analysis of carbon emission and cost of different concrete

## 604 5. Discussion

### 605 5.1 Further integration of BIM and advanced technologies

606 This study develops and demonstrates a comprehensive assessment and analysis  
 607 method for integrating building LCCE and LCC based on BIM as a way to quickly and  
 608 efficiently analyze the building life cycle CEI in the pre-design stage and to make a  
 609 preliminary determination of the most cost-effective designs within a low carbon  
 610 emission regime for the building life cycle. With the continued research and use of BIM  
 611 and LCCE and LCC, this framework has a broad scope in the future.

612 However, the accuracy of BIM-based estimation varies according to the BIM  
 613 model details. In setting up the LOD, which represents the level of detail and steps of  
 614 the BIM model, and conducting modeling during the construction project, creating a  
 615 model that contains only the necessary information is necessary. If the LOD is set too  
 616 high or too low, problems like overwork and rework and lack of information will arise.  
 617 The missing objects in the 3D model will cause problems in the accuracy and reliability

618 of BIM-based estimation. In addition, the BIM-based method is challenging to apply to  
619 preliminary estimation because the detailed BIM model is constructed at the detailed  
620 design stage. A combination of BIM and case-based reasoning method or machine  
621 learning approaches may be a way to address preliminary estimation at the initial phase  
622 of the project life cycle. Further integration of BIM and advanced technologies are the  
623 future trend.

## 624 **5.2 CEI and the area between carbon emissions and costs**

625 A life cycle assessment (LCA) is the assessment of the environmental impact of a  
626 given product over its life (ISO, 2006a; ISO, 2006b). For the LCA environmental  
627 impact indicators, some studies focus on a single environmental indicator, such as  
628 carbon emissions or energy consumption, while others cover multiple environmental  
629 impact indicators, such as acidification potential (AP), eutrophication potential (EP),  
630 the abiotic depletion potential of materials (ADPM), human health respiratory effects  
631 potential (HHREP). Of these environmental impact indicators, energy and greenhouse  
632 gases emissions are the most common indicators (Lu et al., 2021). Because greenhouse  
633 gas emissions (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, etc.) can be more readily quantified than other impacts,  
634 especially carbon emissions (also called carbon footprint) are the easiest to calculate.  
635 Hence, most research only focuses on carbon emissions. The aim of this study is to  
636 analyze the integrated assessment of LCC and LCCE. That is, this study only takes into  
637 account the effect of CO<sub>2</sub>.

638 This study describes the development of an integrated method to assist decision-  
639 makers in optimizing the life cycle carbon emissions and cost of a building. It firstly  
640 makes a joint analysis of LCCE and LCC through the bridge of building CEI. The  
641 method departs from previous similar studies, where most building's LCCE and LCC  
642 have been analyzed independently (Leckner and Zmeureanu, 2011; Ristimäki et al.,  
643 2013; Schmidt et al., 2018) or partially integrated analysis of LCC and LCCE (Lu et al.,  
644 2020).

645 The concept of carbon intensity is commonly used in the macroeconomic analysis  
646 (Cheng and Yao, 2021; Yang et al.,2020), and this paper applies it to buildings for the  
647 first time. Building CEI can not only analyze the relationship between carbon emissions  
648 and construction costs but also the stages of high carbon emissions and materials with  
649 high carbon emissions to control the balance between construction costs and carbon  
650 emissions.

651 Most indicators for studying building carbon emissions focus on total carbon  
652 emissions rather than unit cost carbon emissions. When evaluating the low carbon  
653 reduction potential between different projects or materials, it is not scientific to directly  
654 compare total emissions. CEI, as an indicator of carbon emissions per unit cost, plays  
655 a critical role in this situation. It is a scientific and reasonable choice to use CEI to  
656 compare the carbon emissions of different materials, stages and projects.

657 At the same time, decision-makers hope to find a solution that optimizes both  
658 carbon emissions and costs. However, low carbon emissions and low costs conflict with  
659 each other, with the former low and the latter high. This study utilizes two-dimensional  
660 coordinates to draw the area between carbon emissions and costs. Then the scheme with  
661 the smallest product area is considered the best solution, achieving a balance between  
662 low cost and low carbon emissions.

663 CEI can also be used throughout the life cycle of prefabricated buildings to achieve  
664 carbon emission and cost optimization. Prefabricated components significantly reduce  
665 carbon emissions in the construction stage (Li et al., 2021),but increase the life cycle  
666 cost (Jang et al., 2022). Hence, it is valuable research direction that using the CEI  
667 method to analyze construction processes and materials with high carbon emissions,  
668 and to achieve material replacement without increasing costs.

669 This study provides a method for optimizing high carbon and high cost building  
670 materials or schemes, which can be used for material substitution or scheme substitution  
671 in the early design stage so as to obtain the most optimum dual optimization of carbon  
672 emissions and costs.



673 The work is limited in that the existing library of carbon emission factors that we  
674 have combined is currently incomplete and needs to be expanded with further  
675 accumulation. In addition, software development limitations have resulted in the study  
676 being based on only primary materials. Further research is required to develop  
677 procedures to analyze cost inventories and carbon emission inventories in one-to-one  
678 correspondence in order to better explore all stages more comprehensively.

## 679 **6. Conclusion**

680 In the past, assessments of life cycle carbon emissions (LCCE) and life cycle costs  
681 (LCC) based on building information modeling (BIM) have often been carried out  
682 independently, and most studies have not considered carbon emissions per unit of time.  
683 Nor have they analyzed the relationship between the two in an integrated manner,  
684 leading to biased optimization results.

685 This paper proposes a framework for analyzing the LCCE and LCC of buildings  
686 using BIM technology, providing a design stage where the LCCE and LCC of a building  
687 can be computed quickly and effectively, and the intensity of the building's carbon  
688 emissions can be analyzed.

689 The results of the case study show that the replacement of high carbon emitting  
690 materials such as concrete, steel, and cement, can be effective in reducing carbon  
691 emissions and costs in both the materials production stage and the operational stage,  
692 redirecting cost savings to the construction stage to increase the industrial production  
693 of buildings, and thereby reducing carbon emissions in both the construction and  
694 demolition stages. The research idea of optimizing the cost of buildings while achieving  
695 low carbon emissions is realized, allowing buildings to be quickly assessed for carbon  
696 emissions at the design stage, and thus contributing to balancing the environmental and  
697 economic impact of buildings and improving the sustainability of the construction  
698 industry.

699

700 **CRedit authorship contribution statement:**

701 Xiaoyan Jiang: Conceptualization, Methodology, Writing-Original draft preparation,  
702 Funding acquisition; Yubing Zhang: Data curation, Software, validation; Caiyun Cui:  
703 Supervision; Martin Skitmore: Writing- Reviewing and Editing,

704

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709

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711 manuscript. There is no conflict of interest.

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### **Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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