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Ye, Gui; Jin, Zhigang; Xia, Bo; Skitmore, Martin

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2 **Causes of construction project rework in China¹**
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4 Gui YE¹, Zhigang JIN², Bo XIA³, Martin Skitmore⁴
5

6 ¹Associate Professor, School of Construction and Real Estate, Chongqing Univ. Chongqing
7 400045, China. Email: yegui760404@126.com Corresponding author
8

9 ²Associate professor, College of Architecture and Environment, Sichuan University, Chengdu
10 610065, China. Email: jzg83cn@hotmail.com.
11

12 ³Lecturer, School of Civil Engineering and Built Environment, Queensland University of
13 Technology, 2 George Street, Brisbane, QLD, Australia, 4001. Email: paul.xia@qut.edu.au
14

15 ⁴Professor, School of Civil Engineering and Built Environment, Queensland University of
16 Technology, 2 George Street, Brisbane, QLD, Australia, 4001. Email: rm.skitmore@qut.edu.au
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18

19 **Abstract:**
20

21 Although rework is a common phenomenon in the Chinese construction industry and significantly
22 affects project success, the reasons of rework remain largely unknown and most construction
23 companies are unable to manage the issue effectively. To investigate the causes of rework in
24 construction projects, a total of 39 causes were first identified through a comprehensive literature
25 review and semi-structured interviews with 13 experienced construction professionals in China. A
26 questionnaire survey was further conducted to prioritize these causes, in which *unclear project*
27 *process management*, *poor quality of construction technology* and *use of poor construction*
28 *materials* rank the highest. Finally, a factor analysis revealed 11 major underlying dimensions of
29 these causes, relating to design management, communication management, field management,
30 project scope management, project process management, active rework, project plan changes,
31 subcontractor management, contract management, owner capability and external environment.
32 The contribution of this work lies in its examination of the underlying causes of rework perceived
33 by construction professionals in the world's largest developing country characterized by its unique
34 economic and social systems. In particular, newly identified causes of contract management, active
35 rework and scope management help expand existing knowledge of the underlying causes of
36 rework for the global construction community.
37

1□

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38 **Key words:** rework, cause, construction projects, factor analysis, China

39

40

41 **Introduction**

42

43 Construction project rework means to redo the work that has already been done with the main
44 purpose of satisfying the functional demand of the project. It is a significant problem for
45 construction and engineering projects (Palaneeswaran et al., 2008; Hwang et al., 2009; Love et al.,
46 2010, 2011), and significantly affects project success as it causes problems such as cost overrun
47 and schedule delay. The cost of rework in major civil engineering projects ranges from 5 to 20%
48 of contract value (Burati 1992; Barber et al., 2000). It is therefore very important to effectively
49 reduce rework as much as possible.

50 The root causes of rework need to be identified before it can be effectively managed (Fayek
51 et al., 2003; Hwang et al., 2009), and a number of these have already been identified (e.g.
52 Josephson et al. 2002; Fayek et al. 2003; Palaneeswaran et al.2008; Hwang et al., 2009; Love et al.
53 2010; Mastenbroek, 2010) (see Table 6). These apply mainly in developed countries/regions
54 however and little is known of rework causes in developing countries. This includes China (Tan,
55 2007), a country with the largest construction industry in the world, with an added value of
56 3,899.5 billion yuan (1 yuan= 0.16 U.S. dollars in July 2014) (McGraw-Hill, 2011; National
57 Bureau of Statistics of China, 2014).

58 Therefore, this study aimed to understand the causes of rework in the Chinese construction
59 industry so that suitable measures for rework management can be devised. To achieve this,
60 semi-structured interviews and a broad questionnaire survey approach were adopted. Based on the
61 data collected from the questionnaire survey, a factor analysis is used to identify 11 underlying
62 dimensions involved, comprising design management, communication management, field
63 management, project scope management, project process management, active rework, project plan
64 changes, subcontractor management, contract management, owner capability and external
65 environment.

Table 6 Rework factors in other countries/ regions

Rework Cause	China	Sweden	Canada	UK	Netherlands	Hong Kong	Australia
	Current study	Josephson et al. (1998, 2002)	Fayek et al. (2003)	Hwang et al.(2009)	Mastenbroek (2010)	Palaneeswaran et al. (2008)	Love et al. (2002)
F1	Field management	√	√		√	√	√
F2	External environment				√		
F3	Contract management						
F4	Subcontractor management					√	
F5	design management	√		√		√	√
F6	Project communication		√		√		
F7	Plan changes	√			√		
F8	Active rework						
F9	owner capability	√		√		√	√
F10	Scope management						
F11	Process management		√				

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68

69 **Literature review**

70

71 It is necessary to first clarify the definition of rework, as the way in which it is defined always
72 leads to a different research method, which in turn leads to different research results (Love et al.,
73 2010). Ashford (1992) defines rework as the redo and recover process of making the constructed
74 subject satisfy the original construction goal. The Construction Industry Development Agency
75 (1995), on the other hand, defines rework as the extra work caused by an inconsistency with the
76 original demand of the project. Love (2002) states that rework is the process or event caused by
77 deviations, faults, unqualified quality problems or quality accidents, while. Josephson et al. (2002)
78 define rework as unnecessary output caused by mistakes undertaken during the construction
79 process. According to Good Manufacture Practice (2010), rework refers to the production of a
80 product that has not satisfied the quality standards of the regular procedures. As the definitions
81 above demonstrate, rework is normally defined from a negative and passive perspective, although
82 it can also be an active process of redoing some parts of a project to improve its function or its
83 value despite already having satisfied the original expected function.

84 A variety of researchers and organizations worldwide has conducted research to identify the
85 causes of rework for different types of projects. Burati et al. (1992) investigate the influential
86 factors for nine industrial projects from the U.S. Construction Industry Institute (CII) database.
87 They point to five major reasons for rework being design, construction, fabrication, transportation
88 and operability. Using construction projects from the same CII database, Hwang et al. (2009)
89 investigate the causes of rework in 359 construction projects and identify the most significant of
90 these to be owner changes and design errors/omissions.

91 From 1999 to 2002 in Australia, Love et al. (1999), Love and Li (2000) and Love et al. (2002)
92 carried out a series of research projects to ascertain the causes of construction project rework.
93 These conclude that, for residential construction projects, rework is predominantly attributed to
94 design errors and design changes, while for industrial construction projects, rework is mainly
95 caused by construction changes and construction errors due to poor detailing and workmanship.
96 Similar studies were later conducted with civil engineering projects (e.g. Love et al. 2004; Love et
97 al., 2009; Love et al., 2010). For example, Love et al. (2010) use stepwise multiple regression
98 analysis to determine the major variables involved in 115 Australian civil engineering projects.
99 The results indicate the main rework causes to be the ineffective use of information technology,
100 excessive owner involvement in the project, lack of clearly defined working procedures, changes
101 made at the request of the owner and insufficient changes initiated by the contractor to improve
102 quality.

103 In an investigation of seven Swedish building projects, Josephson and Hammarlund (1999)
104 identify eight principal rework causes, including instability of the owner organization, owner
105 project control, user involvement, time pressures, composition of the project organization, cost
106 pressures, site organization support and motivation of people. Josephson et al. (2002) later carried
107 out another study of Swedish building projects, finding the most significant causes of rework to be
108 erroneous workmanship, unsuitable or faulty design, lack of coordination in design, late delivery
109 of materials, mistakes in planning and faulty manufacturing. A year later in Canada, the
110 Construction Owners Association of Alberta (COAA) (2003) used a Cause and Effect (CE)

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111 diagram (also known as a fishbone diagram) to explore all the potential or actual causes of rework,
112 finding the main reasons for rework to be *consistency not ensured before issued for construction,*
113 *lack of inspection* and *poor workmanship of prefabricated material.*

114 Previous research regarding rework in construction projects has mainly focused on major
115 developed countries and regions (e.g. America, Australia, Canada and Sweden) and very few
116 similar studies have been attempted in developing countries. Oyewobi et al. (2011) in Nigeria
117 evaluate rework cost on an elemental basis; however the underlying reasons for rework relating to
118 different elements of buildings need further investigation. Marujo (2009) adopt system dynamics
119 to evaluate the impact of rework but the research results need to be further refined and developed.
120 Yang and Zhou (2008) examine the rework problems of building projects in China through a
121 concurrent engineering approach; however the causes for the rework remain unknown. Given the
122 fast growth of the Chinese construction industry and its recurring rework problems, there is a need
123 to identify the underlying causes involved. This will enable solutions for effectively managing
124 reworks to be developed in the future.

125

126

127 **Semi-structured interviews**

128

129 To collect data relating to the uses of rework of construction projects in China, a thorough
130 literature review was first carried out to identify a preliminary list of those involved. The studies
131 reviewed not only relate to rework identification and management in construction projects (e.g.
132 Love et al., 2004; Palaneeswaran et al. 2008; Hwang et al., 2009; Love et al., 2010), but also
133 encompass project quality management (e.g. Cheng 2004; Ren and Zhang 2005), quality
134 deviations (e.g. Burati et al., 1992; Hou et al., 2008), nonconformance (Abdul-Rahman 1995),
135 defects (e.g. Hammarlund and Josephson, 1991; Josephson and Hammarlund, 1999; Meng, 2005;),
136 quality failures (e.g. The Center For Housing Industrialization, 2007) and construction delays (e.g.
137 Aibinu and Odeyinka, 2006; Lo et al., 2006), all of which helped to develop an in-depth
138 understanding concerning (a) the likely causes of construction rework, and (b) how they influence
139 project performance. This resulted in the identification of an initial list of 47 rework causes.

140 Semi-structured interviews were then conducted with 13 experts, consisting of one academic,
141 two project owners, four on-site project managers, two quality supervisory engineers, one project
142 designer, two supervisors from government, two site foreman and two construction monitors. The
143 reason for the combination of experts from different backgrounds was to provide a balanced view
144 of the research topic. All these experts have sufficient working experience in the industry and
145 frequently deal with rework issues. They were requested to identify the rework causes according
146 to their own experience. Each interview lasted approximately 30 minutes. The rework causes
147 identified from the literature review were provided for their reference. In particular, they were
148 requested to take into consideration the unique characteristics of construction projects in China.
149 The number of interviews is regarded as appropriate, as data saturation was reached (no new
150 causes emerged in the final stage of the interviews). Content analysis was then employed to
151 identify the causes of rework from the interview transcripts. This is often used to determine the
152 major facets of a set of data, by simply counting the number of times an activity happens, or a
153 topic is depicted (Fellows and Liu, 2008). After consolidation, this resulted in the identification of

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154 39 rework causes as summarized in Table 1.

155

156

Table 1. Summary of rework causes

No.	Causes of rework in construction projects
1	Unclear and ambiguous project process management
2	Project scope change after work had been undertaken/completed
3	Revisions, modifications of project function initiated by the owner/ end user
4	Budget compression or increase
5	Schedule acceleration
6	Replacement of materials/equipment during construction
7	Inappropriate/ Contradictory project instructions initiated by managers
8	Poor communication path of project instructions
9	Poor communication/coordination between owner and end-users
10	Delay in providing the site conditions (such as water, electricity etc.) for the contractor
11	Payment of low contract fees or delay in paying contract fees
12	Ambiguous of items from the contract documentation
13	Lack of clear definition of contract documentation for working content
14	Poor contract execution
15	Active rework made by the contractors to improve quality
16	Active rework made by the designers to improve quality
17	Lack of constructability due to separation between design achievements and construction conditions
18	Poor coordination of design team members
19	Design error/omission due to design task too much, time boxing
20	Poor quality of construction technology
21	Use of poor construction materials
22	Un-use of advanced mechanical equipment
23	Poor quality of construction procedure
24	Ineffective use of construction management standard
25	Construction errors caused of incomplete understanding the intent of design
26	Poor communication of construction managers
27	Poor site conditions (such as, water, electricity, telephone, etc.)
28	Changes made by quite difficult construction methods
29	Poor coordination of subcontractor between upstream and downstream
30	Poor communication of construction team members
31	Failure to provide protection to the completed works
32	Poor supervision of admission materials/equipment
33	New request made by the end-users to improve standards during construction
34	New request made by the end-users during final inspection and certification stage

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35	Adverse natural conditions (such as hot weather, rain, cold, earthquakes, floods, etc.)
36	Changes in government regulations, laws and policy, etc.
37	Shortage of construction materials/equipment in market
38	Lack of strictly fulfilled for project process management
39	Effect of social and cultural factors

157
158
159
160

161 Questionnaire survey

162
163
164

163 *Questionnaire development*

165 A preliminary questionnaire was developed based on the 39 construction rework causes emerging
166 from the content analysis and drafted in simple and clear language to enhance the respondents'
167 ability to exercise sound judgment (Aibinu and Odeyinka, 2006). A pilot study was then conducted
168 involving nine semi-structured interviews to ensure that all the questions were sufficiently stated
169 and to ensure no misunderstandings would arise. The finalized questionnaire contains two parts:
170 the first part is designed to collect general information concerning the respondents, such as work
171 position, education background and working experience; the second part involves rating the 39
172 rework causes. A 5-item Likert scale was used to measure the importance of each cause.

173 A total of 425 questionnaires were distributed by email, postal letters and on-site distribution.
174 The regions involved cover various parts of China, including North (Beijing city), East (Shanghai
175 city), West (Chongqing city), and South (Shenzhen and Guangzhou city) China. Over a period of 2
176 months, 337 questionnaires were returned, comprising 125 emails, 102 by post and 110
177 questionnaires collected from construction sites. Of these, 60 were discarded due to incomplete or
178 invalid information provided by the respondents. The remaining 277 valid questionnaires are used
179 for analysis, representing a very good response rate of 65.18% (see Table 2 for details) – easily
180 enough for reliable analysis (Moser and Kalton, 1971; Aibinu and Odeyinka, 2006). It is noted that
181 the response rate from construction sites are much higher than the other two methods. This is
182 largely due to the survey assistants being able to explain the questions to the respondents on site
183 and collecting the data *in situ*. Additionally, the sample size is regarded as large enough for factor
184 analysis as the sample size to free parameter ratio is higher than five (Cheung et al., 2009).

185

186

Table 2. Summary of response rates

Survey methods	Distributed questionnaires	Returned questionnaires	Valid questionnaires	Response rate (%)
Email	160	125	92	57.5
Postal letter	155	102	79	51.0
On-site survey	110	110	106	96.4
overall	425	337	277	65.2

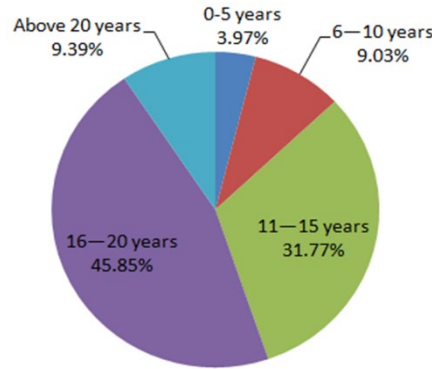
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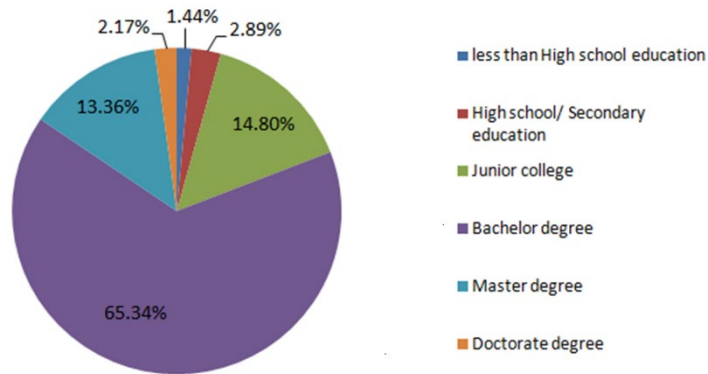
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188 Figs 1 and 2 provide detailed information concerning the respondents' background. All
 189 respondents are from big cities in China, with the majority (around 85%) having more than 10
 190 years' experience in the construction industry. Additionally, 81% hold bachelor or higher degrees.
 191 These are considered sufficient to obtain sound judgment from qualified respondents in a
 192 perception study of this nature.



193
 194 Figure 1. Working experience of respondents
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 196



197
 198 Figure 2. Academic degrees of respondents
 199
 200

201 *Analysis and ranking of rework causes*

202
 203 The Cronbach's coefficient alpha is 0.919 - higher than that 0.8 value needed for the scale
 204 reliability to be accepted (Chen, 2010). Table 3 shows the mean and standard deviation of the
 205 importance ratings for each cause. If two or more causes have the same mean value, the one with
 206 the lower standard deviation is considered to be the more important (Wang and Yuan, 2010).
 207

208 **Table 3:** Mean score and ranking of causes of rework

Overall ranking	Causes	Mean	Standard deviation
1	Unclear and ambiguous project process management	3.834	1.275
2	Poor quality of construction technologies used	3.794	0.999
3	Use of poor construction materials	3.729	1.095
4	Active reworks made by the contractors to improve quality	3.69	1.092
5	Design error/omission due to design task too much, time boxing	3.643	1.003
6	Poor coordination of design team members	3.632	0.964
7	Change of project scope after work had been undertaken/completed	3.617	1.049
8	Lack of constructability of design solutions	3.57	1.08
9	Poor communication path of project instructions	3.567	1.139
10	Lack of supervision for admission of materials/equipment	3.495	1.079
11	Poor quality of construction procedure	3.495	1.147
12	Inappropriate/ Contradictory project instructions initiated by managers	3.484	1.115
13	Replacement of materials/equipment during construction	3.44	0.997
14	Acceleration or shortening of the schedule	3.44	1.087
15	Ineffective use of construction management standard	3.415	1.112
16	Revisions, modifications of the project function initiated by the owner/user	3.365	1.06
17	Construction errors caused by incomplete understanding of design intent	3.361	1.158
18	Poor coordination of subcontractor between upstream and downstream	3.357	0.996
19	Poor communication with construction managers	3.307	1.03
20	Obsolete mechanical equipment	3.307	1.092
21	Lack of clear definition of contract documentation for working content	3.249	1.173
22	Compression or increase of the budget	3.209	1.07
23	Failure to provide protection to the completed works	3.181	1.068
24	Poor contract execution	3.137	1.051
25	Lack of strictly fulfilled for project process management	3.13	1.122
26	Adverse natural conditions (e.g. hot weather, rain, cold, earthquakes, etc.)	3.123	1.222
27	Poor communication with construction team members	3.116	1.05
28	Initiative changes made by the designers to improve quality	3.112	1.138
29	Ambiguity of items in the contract documentation	3.076	1.188
30	Poor communication/coordination between owner and end-users	3.022	1.116
31	New request from end-users during final inspection and certification stage	3.011	1.105

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32	Delay in providing the site conditions (such as water, electricity etc.) for the contractor	3.004	1.124
33	New requests from end-users to improve standards during construction	2.993	1.06
34	Changes in government regulations , laws and policy, etc.	2.939	1.186
35	Poor site conditions (such as, water, electricity, telephone, etc.)	2.935	1.088
36	Shortage or high price floating of construction materials/equipment	2.928	1.094
37	Change of construction methods	2.91	1.134
38	Payment of low contract fees or delay in paying contract fees	2.892	1.187
39	Effect of social and cultural factors	2.57	1.26

209

210 The majority of the causes have mean scores higher than 3.0 (regarded as “important” in the
 211 rating scale). An unclear and ambiguous project management process, poor quality of construction
 212 technology and use of poor construction materials are the most important, each of which has a
 213 mean value over 3.70. An unclear and ambiguous project management process is the most
 214 important cause. Some projects rush to start construction with inadequate preparation for project
 215 management due to an urgent schedule or arbitrary decision-making involving owner vanity or
 216 image issues. This creates much unnecessary rework, which might be avoided by adopting more
 217 reasonable project management methods. The quality of construction technology has a vital
 218 influence on the quality of construction work. Since the construction industry still has the
 219 traditional labor-intensive character in China and makes little use of high technology, the quality
 220 of construction work is difficult to control, which often results in considerable rework. Improving
 221 construction technology may therefore greatly help in reducing rework. The poor quality of
 222 construction materials is also an important cause of rework. As actual cases exposed by the media
 223 demonstrate, many jerrybuilt projects in China use poor quality construction materials.

224

225

226 *Factor Analysis*

227

228 Factor analysis is a statistical method used to describe the variability among observed variables in
 229 terms of a potentially lower number of unobserved variables, or factors (Chen, 2010). In this paper,
 230 a factor analysis is performed to explore the underlying groupings of the 39 causes.

231 The Kaiser–Mayer–Olkin (KMO) for the 39 variables is 0.868, higher than the 0.5 value
 232 needed to be acceptable for factor analysis (He 1998; and Hao et al. (2002), while Bartlett’s test of
 233 sphericity is 4552.9 (p=0.000), indicates that the correlation matrix is not an identity matrix (He
 234 1998; Hao et al., 2002) and that the causes are sufficiently intercorrelated (Table 4).

235

236

Table 4: Results of the KMO and Bartlett’s tests

Kaiser-Meyer-Olkin measure of sampling adequacy.		0.868
Bartlett's test of sphericity	Approx. Chi-Square	4552.874

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	Df	741
	Sig.	.000

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The principal component analysis (PCA) generates 11 factors with eigenvalues greater than 1.0, the usual cut-off criterion for determining the number of factors. The 11 factors account for 65.95% of the total variance explained – more than the 60% needed for adequate construct validity (Malhotra 1996; Ghosh and Jintanapakanont, 2004) and the factor loadings for all 39 causes exceed the 0.50 also needed (Kerlinger, 1986). In order to provide a good explanation for each factor, factor rotation is needed (Hao et al., 2002). The final rotated component matrix is presented in Table 5. Each factor can be interpreted and named by combining the meanings of those variables with the highest cross-factor loadings.

Table 5. Factor profile

Details of the factors and causes of reworks	Factor loading	Variance explained
<i>Factor 1: Contractor field management</i>		10.8%
Poor quality of construction technology used	.813	
Un-use of advanced mechanical equipment	.806	
Poor quality of construction procedure	.759	
Ineffective use of construction management standard	.729	
Construction errors due to incomplete understanding intent design content	.588	
Use of poor construction materials	.513	
Poor supervision of admission materials/equipment	.563	
<i>Factor 2: External environment</i>		10.3%
Poor site conditions (such as, water, electricity, telephone, etc.)	.583	
Changes made by quite difficult construction methods	.579	
New request from end-users to improve standards during construction	.616	
New request from end-users during final inspection & certification stage	.583	
Adverse natural conditions (e.g. extreme weather, earthquakes, floods, etc.)	.708	
Changes in government regulations, laws and policy, etc.	.655	
Shortage of construction materials/equipment in market	.636	
Effect of social and cultural factors	.685	
<i>Factor 3: Contract management</i>		7.6%
Payment of low contract fees or delay in paying contract fees	.680	
Ambiguous of items from the contract documentation	.810	
Lack of clear definition of contract documentation for working content	.807	
Poor contract execution	.618	

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<i>Factor 4: subcontractor management</i>		6.8%
Poor communication of construction managers	.661	
Poor coordination of subcontractor between upstream and downstream	.629	
Poor communication of construction team members	.721	
Failure to provide protection to the completed works	.688	
<i>Factor 5: design management</i>		5.3%
Lack of constructability due to separation between design and construction conditions	.629	
Poor coordination of design team members	.747	
Design error/omission due to design task too much, time boxing	.784	
<i>Factor 6: project communication management</i>		4.9%
Inappropriate/ Contradictory project instructions initiated by managers	.680	
Poor communication path of project instructions	.660	
<i>Factor 7: project plan changes</i>		4.5%
Compression or increase the budget	.602	
Accelerate or shorten the schedule	.770	
Replacement of materials/equipment during construction	.560	
<i>Factor 8: Changes for quality improvement</i>		4.2%
Changes made by the designers to improve quality	.613	
Changes made by the contractors to improve quality	.723	
<i>Factor 9: client management</i>		3.9%
Poor communication/coordination between owner and end-users	.558	
Delay in providing the site conditions (such as water, electricity etc.) for the contractor	.763	
<i>Factor 10: project scope management</i>		3.8%
Project scope was changed after work had been undertaken/completed	.652	
Revisions, modifications of the project function initiated by the owner/ end user	.784	
<i>Factor 11: project process management</i>		3.7%
Unclear and ambiguous project process management	.673	
Lack of strictly fulfilled for project process management	.553	

248

249 **The factors**

250

251 *FACTOR 1: Contractor field management*

252

253 Factor 1 accounts for 10.83% of the total variance explained. Many studies (e.g. Love et al., 2004;

254 Palaneeswaran et al., 2008; Love et al., 2010) have found poor onsite management to be the main

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255 reason for construction rework. This is especially true in the Chinese construction industry, where
256 the majority of work on construction sites is undertaken by migrant farmers. Zhao et al. (2009)
257 show that 87% of migrant farmers have an education level of junior middle school or below, and
258 with little professional knowledge gained before joining construction organizations. This makes
259 field management very challenging for contractors and often results in construction rework. Poor
260 field management of materials and machinery is another major source of onsite rework. In China,
261 many onsite administrative staff responsible for material and equipment management do not
262 strictly control the quality of main construction materials or apply enforced field supervision and
263 inspection. This can result in unsuitable materials and equipment being used.

264 It would be advisable, therefore, for contractors to increase investment in training
265 construction workers and onsite managers. Training programs such as three-level training (i.e.
266 enterprise-level training, project department training and construction team training) can be used
267 to improve technical and management abilities for onsite operation (Josephson et al. 2002; Huang
268 Guoping 2005). Additionally, a four-level inspection system, such as proposed by Wang (2007)
269 could cover materials and machinery access inspection, safety and maintenance inspection,
270 ex-warehouse inspection and inspection prior to use.

271
272

273 *FACTOR 2: External environment*

274

275 The external environment mainly comprises natural conditions (e.g. extreme weather), end-user
276 requirements, and the social, cultural, legal and market environment. Although it is difficult to
277 control the external environment, its adverse impact on construction rework can still be reduced
278 through suitable measures. For example, rework caused by poor site conditions and change of
279 construction methods can be reduced by proper technical measures, enriched technical means,
280 using construction insurance as an economic measure and establishing an expert consulting system
281 to make full use of external expert knowledge; the impact of requirement changes from end-users
282 can be reduced through effective contract management; while for the social and legal environment,
283 although impossible to control, the impact of changes of policies, laws, regulations and standards
284 can be diminished through effective risk and contract management.

285
286

287 *FACTOR 3: Contract management*

288

289 Wang (2007) considers the contract the basis for cooperation and the essence of construction
290 project management. Since China has long been governed by its planned economy, contract
291 management in construction projects is not taken seriously by many project participants, and many
292 construction organizations and owners even have no independent contract management
293 department or professional contract management staff. Poor contract management can not only
294 cause payment problems in contract execution but also rework due to the ambiguous scope in the
295 contract documentation. Therefore, owners are advised to carefully negotiate the terms and
296 conditions of contracts with contractors and monitor their performance in accordance with the
297 specification and terms of the contract, as well as agreeing and keeping records of any contract

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298 changes during the project execution process in order to avoid misunderstandings in the later
299 stages.

300

301

302 *FACTOR 4: Subcontractor management*

303

304 Subcontractor management affects rework as most subcontractors in China obtain contracts under
305 the name of large construction enterprises while not normally possessing the corresponding
306 qualification certificate required by the government (Yue and Jin, 2010). Furthermore, it is
307 difficult to have effective communication between different subcontractors due to the different
308 expertise and knowledge requirements involved. This suggests that the establishment of a
309 subcontractor integrity system, regular coordination meetings and onsite cross checking of
310 subcontractors would provide an effective means of decreasing rework.

311

312

313 *FACTOR 5: Design management*

314

315 Design management is an important factor influencing rework in other countries (e.g. Burati et al.,
316 1992; Love et al., 2002; Hwang et al., 2009). In the construction market of China, owners often
317 require design firms to submit design drawings as early as possible in order to deliver products to
318 market as soon as possible. To meet the submission deadline, many design companies sacrifice the
319 quality of design solutions. Additionally, as design tasks are undertaken by different groups of
320 design professionals, the lack of effective team communication causes design errors or omissions
321 and poor integration of design solutions. Furthermore, the traditional design-bid-build is the
322 dominant delivery system of construction projects in China and largely restricts communications
323 between design and construction parties, leading to a lack of constructability of design solutions.
324 These issues result in poor design quality and cause additional rework in the construction stage.
325 Therefore, establishing a design inspection and supervision system (Jiang 2007; Yan 2009),
326 carrying out constructability analyses of design solutions (Love et al., 1998; Love et al., 2004) and
327 using integrated procurement systems such as design-build and construction management (Xia et
328 al., 2009) is likely to improve design management and hence reduce rework.

329

330

331 *FACTOR 6: Project communication management*

332

333 Project communication management provides a significant means of exchanging information
334 between project managers and other stakeholders and it is especially important for the contractor
335 to effectively coordinate with the owner to avoid misunderstandings or conflicts occurring during
336 the process stage of a project. The organizational structures of the majority of Chinese
337 construction companies have a straight line or functional form, which is not effective for internal
338 and external communication. A matrix organizational structure would be more suitable. In addition,
339 although information communication technology (ICT) is widely adopted by major international
340 contractors to improve their project communication management capability, it is seldom used by

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341 Chinese contractors in their project management practice. ICT is therefore likely to enhance
342 project communication efficiency and reduce rework caused by communication mistakes.
343 Similarly, regularly meetings should be beneficial for information sharing and updating, to further
344 reduce the possibility of rework.

345

346

347 *FACTOR 7: Project plan changes*

348

349 In construction projects, schedule adjustment and investment changes often occur because of poor
350 owner process management. Although it is impossible to avoid plan changes completely,
351 establishing a “whole-process consultation mechanism” to combine economic and organizational
352 measures can reduce unnecessary changes, improve the efficiency of process management and
353 help in effective change management (Ren and Zhang 2005). Additionally, contractors could
354 provide professional suggestions to owners to mitigate the risk of adverse changes and adopt
355 strategic countermeasures to manage the changes that occur during the construction process.

356

357

358 *FACTOR 8: Active rework for quality improvement*

359

360 In contrast with previous studies, the findings from this study show that rework does not always
361 have a negative effect. Designers and contractors may rework actively for cost and time saving,
362 quality improvement, and meeting the project owner changes. Given that early rework lessens the
363 loss to the project, it follows that the decision to rework should be made as early as possible. Value
364 management tools and rework reward mechanisms can be applied to identify the appropriate
365 rework needed.

366

367

368 *FACTOR 9: Owner capability*

369

370 Project success generally depends on owner capability (Kerzner 1995; Xia and Chan 2010); owner
371 effectiveness in defining and formulating the project (Cleland, 1994); and owner financial status,
372 characteristics, management competency and construction experience (Lim and Ling (2002)).
373 Chinese project owner management capabilities vary greatly across different types of projects and
374 organization structures, etc. For example, as most of civil projects in China are initiated by the
375 government and owner responsibilities are undertaken by a temporary organization established by
376 the government, the management capabilities of project owners in this type of temporary
377 organization tends to be quite low. Therefore, it is helpful to transfer owner experience and
378 knowledge obtained from one project to another efficiently in order to avoid the repetition of
379 mistakes causing rework.

380

381

382 *FACTOR 10: Project scope management*

383

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384 Project scope is the significant guarantee for achieving project objectives (Ren and Zhang, 2005).
385 However, although the Chinese construction industry has experienced a rapid growth over the past
386 30 years, it is still at an immature stage and the importance of scope and strategic management in
387 the early project stages has not been fully recognized, with many project owners overly focusing
388 on the construction stages and early completion of the project. A lack of clear project scope in the
389 early stages can result in frequent revisions and modifications of project functions during the
390 design and construction stages. Enhancing owner scope management capacity and establishing an
391 “early-stage consultation mechanism” (Zhang, 2004) and “early-stage participation mechanism”
392 (Zhu, 2008) should assist owners in clearly specifying project objectives and performance
393 specifications and reducing changes in project scope so as to decrease rework effectively.

394

395

396 *FACTOR 11: Project process management*

397

398 The rapid growth Chinese construction industry has also caused a shortage of qualified project
399 managers in recent years (National Bureau of Statistics of China, 2012). As a result, some project
400 managers are either unfamiliar with, or unwilling to follow, good project management practice -
401 such as violating the basic program of engineering construction and inappropriately shortening the
402 construction period, making “three-way projects” (projects are surveyed, designed and constructed
403 at the same time) a common phenomenon. These “three-way projects” frequently lead to
404 continuous changes in the construction phase, causing additional rework. In many cases, the
405 “three-way projects” are due to regulation violations from owners and lack of supervision from
406 administrative departments and suitable “three way measures” (contractor process management
407 system, owner reporting system, government notice and three-way-projects shut-down system) are
408 needed to overcome these problems.

409

410

411 **Conclusions**

412

413 Construction project rework is a major problem in construction and engineering projects
414 worldwide and, with the direct rework cost alone ranging from 5 to 20% of contract value, has
415 significant implications on project success. Despite a number of studies, little is known of the
416 causes of rework in developing countries such as China, a country with the largest construction
417 industry in the world and where most construction companies are have serious rework problems.
418 This paper rectifies the situation with a survey of 277 Chinese construction industry practitioners
419 and factor analysis to identify 11 main groups of rework factors comprising design management,
420 communication management, field management, project scope management, project process
421 management, active reworks, project plan changes, subcontractor management, contract
422 management, owner capability and external environment.

423 As the majority of rework causes identified in this study confirm those found in previous
424 work, the findings from this study consolidate the existing knowledge with new evidence from
425 China. New causes, such contract management, active reworks and scope management are also
426 identified, which helps expand existing knowledge for the global construction community.

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427 Specific attention should also be paid to the *active rework*, which implies that reworks can also
428 bring positive effects to construction projects.

429 The research findings provide some practical implications. Effective rework management
430 requires the close collaboration of all stakeholders involved in different project stages (e.g. owner,
431 designer, subcontractor, supervisor, administrative department) and the adoption of both
432 management and technical measures. For example, owners should provide clear performance
433 specifications at the request for proposals in order to reduce changes in project scope that lead to
434 rework in the design and construction stages. If owners are unable to define project scope with
435 their own resources, the aid of external consultants may be required. Design consultants should
436 improve the constructability of design work through effective communication both within
437 different groups of design professionals and between owners and contractors. Value engineering
438 could be also adopted to enhance quality of design. In terms of contractors, in addition to field
439 management and project process management, improved project communications and
440 subcontractor management should also help to reduce rework.

441 Finally, further research is needed to establish a comprehensive rework management system
442 that involves different stakeholders as well as different organizational and technological measures
443 in the different project stages. This should be of great help in reducing and preventing rework and
444 improve the productivity of the construction industry in China and beyond.

445

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