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- **1 Protein-energy malnutrition in the rehabilitation setting: evidence to improve**
- 2 identification
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8 Abstract

Methods of identifying malnutrition in the rehabilitation setting require further examination 9 so that patient outcomes may be improved. The purpose of this narrative review was to: 1) 10 examine the defining characteristics of malnutrition, starvation, sarcopenia and cachexia; 2) 11 review the validity of nutrition screening tools and nutrition assessment tools in the 12 rehabilitation setting; and 3) determine the prevalence of malnutrition in the rehabilitation 13 setting by geographical region and method of diagnosis. A narrative review was conducted 14 drawing upon international literature. Starvation represents one form of malnutrition. 15 Inadequate energy and protein intake are the critical factor in the aetiology of malnutrition, 16 which is distinct from sarcopenia and cachexia. Eight nutrition screening tools and two 17 nutrition assessment tools have been evaluated for criterion validity in the rehabilitation 18 setting, and consideration must be given to the resources of the facility and the patient group 19 20 in order to select the appropriate tool. The prevalence of malnutrition in the rehabilitation setting ranges from 14-65% worldwide with the highest prevalence reported in rural, 21 22 European and Australian settings. Malnutrition is highly prevalent in the rehabilitation 23 setting, and consideration must be given to the patient group when determining the most appropriate method of identification so that resources may be used efficaciously and the 24 25 chance of misdiagnosis minimised.

Keywords: Malnutrition, Subacute Care, Rehabilitation, Nutrition Assessment, Aged / Aged
80 and over.

28 Abbreviations

- 29 AND, Academy of Nutrition and Dietetics
- 30 BMI, Body Mass Index
- 31 Kg, kilogram
- 32 m, meter
- 33 MNA, Mini Nutritional Assessment
- 34 MNA-SF, Mini Nutritional Assessment Short Form
- 35 MST, Malnutrition Screening Tool
- 36 PG-SGA, Patient-Generated Subjective Global Assessment
- 37 SGA, Subjective Global Assessment
- 38 UK, United Kingdom
- 39 USA, United States of America

40 **1. Introduction**

41

Hospital Closet", there has been a positive movement in clinical health care to address 42 "hospital malnutrition" [1]. However, in highly developed countries, such as Australia and 43 the UK, malnutrition remains widespread in older adults, where prevalence is the highest in 44 rehabilitation wards (30 - 50%) of inpatients) [2]. In addition, there has been confusion in the 45 literature and in clinical practice regarding malnutrition, starvation, sarcopenia and cachexia 46 in older adults, which are conditions characterised by involuntary loss of lean tissue [3]. 47 Nutrition screening and nutrition assessment are essential parts of the nutrition care process, 48 as accurate identification and diagnosis of malnutrition is required in order for patients to be 49 50 adequately treated, and for nutrition resources to be used efficaciously [4]. However, it is essential that the nutrition screening tools and nutrition assessment tools used to complete 51 these steps have undergone adequate evaluation for validity so that the most appropriate tool 52 53 can be selected for the patient group [2]. The prevalence of malnutrition in rehabilitation and the nutrition screening and assessment 54 tools appropriate for use in rehabilitation have not been reviewed since 2009 [2]. Examining 55 the validity of nutrition screening and assessment tools in rehabilitation will help practitioners 56 select the most appropriate tool for their facility. Additionally, understanding the limitations 57 of a particular tool in a particular setting is required so that appropriate steps can be taken to 58 minimise the risk of misdiagnosis. For this reason, the method of diagnosis should be 59 considered when reviewing the prevalence of malnutrition. The prevalence of malnutrition in 60 rehabilitation has not been evaluated with consideration given to the method of diagnosis, nor 61 62 the various settings in which it was measured, such as rural versus metropolitan prevalence or

Ever since Dr Charles Edwin Butterworth Jr's seminal 1974 article "The Skeleton in the

63 by country or region. Understanding the prevalence of malnutrition in these various settings

will help health care workers to understand the risk of malnutrition for particular patientgroups and assist in the allocation of nutrition resources.

Therefore, the purpose of this narrative review was to: 1) examine the defining characteristics
of malnutrition, starvation, sarcopenia and cachexia; 2) review the validity of nutrition
screening tools and nutrition assessment tools in the rehabilitation setting; and 3) determine
the prevalence of malnutrition in the rehabilitation setting by geographical region and method
of diagnosis.

71 **2. Methods**

A narrative review was conducted which drew upon international literature published up 72 until 15 August 2015. A review was conducted as part of the narrative review to identify the 73 nutrition screening and assessment tools evaluated for validity in the inpatient rehabilitation 74 facilities, as well as determine the prevalence of malnutrition. For this review, published 75 English-language literature was searched on Google Scholar from 1980 – 15 August 2015. 76 The search terms were ("MNA" OR "SGA" OR "PG-SGA" OR "ICD-10-AM" OR 77 "Malnutrition Universal Screening Tool" OR "SNAQ" OR "NRS-2002" OR "nutrition 78 screening tool") AND "Malnutrition" AND ("Rehabilitation" OR "Subacute"). The search 79 strategy was complemented by a snowball search of literature cited by identified papers. 80 Studies were included for the prevalence study only when malnutrition was diagnosed by a 81 validated method. 82

83 **3. Defining malnutrition**

Protein-energy undernutrition, also known as protein-energy malnutrition, and frequently
referred to simply as *malnutrition*, occurs when food and nutrient intake is unable to meet
protein, energy and nutrient requirements over time leading to a disruption of homeostasis in
lean tissues, body weight and physical function [5, 6]. Lean tissues include fat-free,

metabolically active tissues such as skeletal muscle, viscera, blood cells and the immune
system. Lean tissues are the largest body component, comprising 35 – 50% of the total body
weight of a healthy adult [6]. A decrease in lean tissue is the main cause of unintentional
weight loss in most cases of malnutrition, although loss of fat mass may also be a
contributing factor, and is caused by starvation or a combination of starvation and catabolic
stress [6].

94 <u>3.1 Malnutrition, starvation, sarcopenia or cachexia?</u>

It has been widely recognised that muscle mass frequently decreases with age. Malnutrition,
starvation, sarcopenia and cachexia are all conditions characterised by loss of lean tissue and
typically occur in older adults, leading to confusion in the literature and in clinical practice
[3].

Starvation is the loss of both fat-mass and fat-free mass as the result of a chronic inadequate 99 100 intake of protein and energy [3]. Therefore, starvation may be a cause of malnutrition, as reflected by the Academy of Nutrition and Dietetics (AND) standardised set of diagnostic 101 characteristics for malnutrition: a) starvation-related malnutrition, b) chronic-disease related 102 malnutrition and c) acute disease or injury-related malnutrition [7]. The AND have defined 103 starvation-related malnutrition as protein-energy malnutrition due to pure chronic starvation 104 105 or anorexia nervosa [7]. Overall, starvation may be an important component of malnutrition in some clinical situations, but should be used with caution when discussing malnutrition in 106 107 general.

Since being coined in 1989, the definition of "sarcopenia" has continued to evolve as the
condition is further explored [8]. However, in 2009 and 2010 three separate groups of experts
met to gain consensus for the definitions of sarcopenia. As each of these consensus
definitions were slightly different, no definition is yet universally accepted and there still

112 remains confusion and inconsistency in the literature when describing and diagnosing this "geriatric syndrome" [9]. However, all three definitions agree that sarcopenia is characterised 113 by the progressive age-related loss of lean muscle mass, muscle strength and physical 114 function, and is associated with poor health outcomes [10-12]. One important development in 115 the consensus of sarcopenia is the recognition that inadequate dietary intake and/or nutrient 116 malabsorption is a possible factor in the aetiology of the syndrome (known as nutrition-117 related sarcopenia) by the European Working Group on Sarcopenia [10]. However, both the 118 International Working Group on Sarcopenia and the Society for Sarcopenia, Cachexia and 119 120 Wasting Disorders have not recognised inadequate nutrition as a potential cause in the multifactorial aetiology of the syndrome; though they did recognise that it has a role in the 121 pathophysiology of sarcopenia [11, 12]. This may reflect the lack of strong research in 122 123 exploring the nutritional mechanisms in sarcopenia along with the fact that it may be uncommon to find an older adult with sarcopenia who meets estimated energy and protein 124 requirements [8]. However, there have not been enough well designed studies to conclude 125 whether the severity or progression of sarcopenia is affected by dietary intervention. In 126 addition, it may be possible for both malnutrition and sarcopenia to present as comorbidities, 127 known as the malnutrition-sarcopenia syndrome (MSS); though it must be acknowledged a 128 method of diagnosis for MSS has not yet been evaluated for validity or reliability [13]. 129

Similar to disease-related malnutrition, cachexia is a complex syndrome associated with underlying illness, characterised by the loss of body weight, predominately skeletal muscle, which increases the risk of misdiagnosis [14]. Conditions which predispose to cachexia also increase the risk of malnutrition, including cancer, chronic infection, and chronic kidney disease [14]. However, unlike malnutrition, the loss of skeletal muscle in cachexia is a result of increased resting energy expenditure mediated by elevated levels of proinflammatory cytokines and a prolonged acute phase protein response [15]. Therefore, cachexia is

purported to not respond to dietary intervention, and states of malnutrition and sarcopenia
have been described as a "pre-cachectic state", where nutritional intervention may have the
most benefit [14]. However, emerging research has shown that nutrition intervention may
impact upon the pathogenesis of cachexia, although nutrition intervention alone is insufficient
to treat the condition [14, 16, 17].

142 Therefore, inadequate energy and protein intake leading to a loss of lean-tissues in older age

143 may play a role in the pathogenesis sarcopenia and cachexia, but is a critical factor in the

144 aetiology and prognosis of all forms of malnutrition, including starvation. The diagnostic

145 criteria of malnutrition, sarcopenia and cachexia help to highlight both the unique

146 characteristics and similarities of each condition, and are compared in table 1.

147 **4. Identifying and diagnosing malnutrition**

148 Due to the variable nature of the clinical presentation of malnutrition, there is no gold

standard for diagnosing the condition. However, in Australian health care facilities, the

150 International Statistical Classification of Diseases and Health Related Problems 10th

151 Revision Australian Modification (sixth edition, ICD-10-AM) criteria are used to identify and

152 code for malnutrition, and are therefore used to provide case-mix funding reimbursements

153 [18]. The ICD-10-AM classification of malnutrition incorporate multiple criteria, including

body mass index (BMI), weight loss, dietary intake and evidence of fat and/or muscle

155 wasting [18]. However, prior to coding for malnutrition, a patient undergoes nutrition

156 screening and nutrition assessment.

157 Nutrition screening acts as the trigger to engage a patient in the nutrition care process, which

begins with nutrition assessment. Nutrition screening and nutrition assessment are often

159 completed through the application of a nutrition screening tool and nutrition assessment tool

160 [4]. However, the nutrition screening and assessment tools chosen should be validated for the

161 population to which they are applied. As there is no gold standard for identifying or diagnosing malnutrition, the criterion validity (comprising concurrent and predictive) must be 162 established for nutrition screening and assessment tools [19]. Concurrent validity is 163 determined by comparing the results of a new tool to the results of a well-established 164 measurement for the same construct. When considering the concurrent validity of a nutrition 165 screening or assessment tool, it is important to consider the well-established measurement 166 used as a benchmark (or reference standard), and if this is a relevant benchmark for a 167 particular patient group and condition. Predictive validity is established when the score of a 168 169 particular measurement makes an accurate prediction about an important and related outcome. 170

171 <u>4.1 Malnutrition screening tools</u>

Nutrition screening tools should be quick and simple to implement and able to be used by any 172 173 trained person or the patient themselves. Nutrition screening tools determine risk of malnutrition but cannot make a diagnosis of malnutrition. In the rehabilitation setting, eight 174 175 nutrition screening tools have been evaluated for their criterion validity: the Mini Nutrition 176 Assessment-Short Form (MNA-SF) [20], Malnutrition Screening Tool (MST) [21, 22], Malnutrition Universal Assessment Tool (MUST), Nutritional Form for the Elderly (NUFFE) 177 [23], Rapid Screen [24], Short Nutritional Assessment Questionnaire (SNAQ) [25, 26], 178 SNAO Residential Care (SNAO^{RC}) [25, 27] and the SNAO for older adults (SNAO⁶⁵⁺) [25, 179 28]. A description of their domains and criteria are described by Skipper et al. [29]. When 180 evaluating the concurrent validity of a nutrition screening tool, sensitivity (those at risk of 181 malnutrition correctly identified as such) is considered of higher importance than specificity 182 (those not at risk of malnutrition correctly identified as such) and *a-priori* values of $\geq 80\%$ for 183 sensitivity and $\geq 60\%$ for specificity are considered to indicate a good nutrition screening tool 184 [22]. Table 2 compares the concurrent validity of nutrition screening tools in rehabilitation. 185

In the rehabilitation setting, only the MST, MUST, SNAQ and SNAQ⁶⁵⁺ met *a-priori* values
for sensitivity and specificity; however, of these, only the MST met *a-priori* values compared
to a suitable multidimensional benchmark for malnutrition. The NUFFE did not report
sensitivity, specificity nor a kappa statistic, and therefore no conclusions could be drawn
about its suitability for the rehabilitation setting.
The moderate agreement of the MNA-SF with the full Mini Nutritional Assessment (MNA),
reported by Kaiser et al. [30], is expected as the MNA-SF was designed using the six

193 questions from the full MNA which had the strongest correlations with the total MNA score.

However, the two subsequent studies found the MNA-SF may not be appropriate for use in geriatric rehabilitation, as it was found to significantly overestimate the risk of malnutrition

196 when compared to a benchmark unrelated to the MNA [18, 21, 25]. The SNAQ^{RC} was also

197 found to overestimate the risk of malnutrition. Overestimating risk of malnutrition may lead

198 to increased burden on nutrition resources, as all patients identified as at risk of malnutrition

199 will be referred to the dietitian for a nutrition assessment. Therefore, the MNA-SF may be

appropriate for a well-resourced rehabilitation facility focussed on prevention [32-34]. The

201 MNA-SF has displayed predictive validity for risk of institutionalisation and decreased

202 physical function and quality of life in one study [35] and length of stay and poor

203 participation in rehabilitation activities in a second study [36]. However, a two further studies

found it was not able to predict length of stay, complications, physical function,

rehospitalisation, institutionalisation, discharge location or mortality [21]. Apart from the

206 MNA-SF, only the Rapid Screen displayed predictive validity, where it was able to predict

discharge location [24]. The MST did not display predictive validity, whereas the MUST,

208 NUFFE, SNAQ, SNAQ^{RC} and SNAQ⁶⁵⁺ were not evaluated for predictive validity. Overall,

although some nutrition screening tools are suitable for identifying risk of malnutrition, there

210 is insufficient evidence to determine if they are suitable predictors of patient outcomes in

rehabilitation, which highlights the importance of following nutrition screening with a fullnutrition assessment.

213 <u>4.2 Nutrition assessment tools</u>

The accuracy and reliability of global nutrition assessment tools in diagnosing malnutrition can be attributed to incorporating multiple criteria in their assessment, such as measures of anthropometry, medical status, physical function and dietary intake. The MNA and the Scored Patient-Generated Subjective Global Assessment (PG-SGA) have been evaluated for criterion validity in the rehabilitation setting [2]. Table 3 compares the concurrent validity of these nutrition assessment tools in rehabilitation facilities.

220 The two studies which evaluated the MNA as a continuous variable reported that it has good

discriminatory power [37, 38]; however, when using the recommended score of <17 to

identify malnutrition, the lower sensitivity indicates the MNA categories carry a risk of

labelling a patient "at risk of malnutrition" instead of "malnourished" in rehabilitation [38].

The two-tiered process employed by Visvanathan et al [24], described in table 3, has

improved the sensitivity of the MNA. This suggests that caution should be used when

employing the MNA in geriatric rehabilitation, and that patients found "at risk of

227 malnutrition" may require further evaluation. However, as the number of patients classified

as "at risk of malnutrition" by the MNA is usually high, this may have negative impacts on

nutrition resources [38]. These results suggest MNA may require further study to identify a

230 more appropriate cut-off value to diagnose malnutrition in geriatric rehabilitation.

231 One study reported that the Subjective Global Assessment (SGA) ratings of nutrition status

- were associated with anthropometric measures and grip strength, and had good
- reproducibility when used by medical officers in rehabilitation [40]. Although the criterion
- validity of the SGA has not been evaluated, the Scored PG-SGA ratings of nutrition status are

235 analogous to the SGA ratings, and were found to have excellent concurrent validity when compared to the ICD-10-AM classification of malnutrition [38]. The Scored PG-SGA 236 primarliy differs from the SGA by including a continuous numerical score for intervention 237 triage. This score was found to be an "excellent test" [39] and also displayed strong 238 concurrent validity when using a score of 7 or higher to indicate malnutrition in this geriatric 239 population as opposed to 9 or higher currently recommended on the tool for adult populations 240 [38]. Both the MNA and Scored PG-SGA have shown strong predictive validity when 241 compared with institutionalisation, discharge location and rehospitalisation [38]. In addition, 242 243 the MNA and Scored PG-SGA scores have been found to be sensitive to change in nutrition status during the course of rehabilitation admission [41, 42]. 244

245 <u>4.3 Body Mass Index</u>

The BMI was first described by Adolphe Quetelet, a Belgian astronomer, mathematician, 246 statistician and sociologist, between 1830 and 1850 [43]. The BMI, calculated by kg/m², has 247 been classified into widely accepted categories of adiposity, where a BMI of ≤ 18.5 kg/m² is 248 considered "underweight" and has been used to diagnose chronic malnutrition for individuals 249 [18]. However, there is strong emerging evidence to suggest that the BMI of ≤ 18.5 kg/m² to 250 indicate underweight is too low for older adults. In 2014, Winter et. al [44] published a meta-251 analysis which aimed to define BMI in community-dwelling older adults (≥65 years, 252 n=197,940 in total), and concluded that a BMI of <23kg/m² may be considered underweight 253 in community-dwelling older adults. However, it is important to acknowledge that 254 malnutrition can occur in healthy weight or overweight/obese individuals [45]. Therefore, 255 BMI may assist in the identification of chronic malnutrition in some patients, but should not 256 be used as a sole method of screening or diagnosis. 257

258 5. Malnutrition prevalence in older adults admitted to rehabilitation

As suggested in the revision of the concurrent validity of nutrition assessment tools, the 259 reporting of malnutrition prevalence can vary depending on the method used to diagnose the 260 261 condition. For example, is the nutrition assessment method known to under- or overestimate malnutrition? Furthermore, prevalence of malnutrition in rehabilitation is likely to differ by 262 geographical location, such as by rurality or country, reflecting the access to resources and 263 the population profile of the particular patient group. Therefore, due to the importance of the 264 diagnosis method and the participant characteristics, prevalence was only considered when 265 266 reported by the MNA (score of <17 to indicate malnutrition), the SGA and Scored PG-SGA (ratings B or C to indicate malnutrition) or the ICD-10-AM criteria (E43, E44.0 or E44.1 to 267 indicate malnutrition); and the patient group was described. 268

Seventeen studies were identified which reported the prevalence of malnutrition in the
rehabilitation setting; two of which were in stroke rehabilitation [46, 47], with the remaining
15 in general rehabilitation facilities (table 4).

All malnutrition prevalence studies undertaken in the rehabilitation setting have had an older

adult sample, however two studies did not describe the age of participants [55, 57]. No

studies were identified reporting the malnutrition prevalence in rehabilitation in South

America or Africa, and only one study reported the prevalence in North America [48]. Only

two studies, both Australian, reported the prevalence of malnutrition in a rural population,

277 where the prevalence was high but varied according to type of nutrition assessment

278 (SGA=65% in one sample; ICD-10-AM criteria=46%, Scored PG-SGA=53%, MNA=28% in

a second sample) [38, 56]. In two studies which also measured the prevalence of malnutrition

in other settings, rehabilitation consistently had the highest prevalence [49, 55]. The MNA

was the most popular choice internationally for the assessment of nutrition status (n=11 of 17

282 studies).

283 In metropolitan settings, the prevalence of malnutrition according to the MNA is inconsistent (0.06-68%), however when viewed by geographical location appears more consistent (33-284 53% in Europe and 14-24% in Asia and approximately 30% in Australia and North America). 285 286 However, two studies reported outliers, 0.06% in Australia [35] and 68% in Italy [50]. It is unclear if these outliers in reported prevalence of malnutrition by the MNA are due to a real 287 difference in the severity of malnutrition in each study or due to possible differences in how 288 the tool was implemented. When considering the low sensitivity of the MNA to identify 289 malnutrition in geriatric rehabilitation (table 3), the prevalence reported by the MNA may be 290 291 underestimated generally [38]. The metropolitan prevalence of malnutrition according to the SGA was generally consistent according to studies from Australia and Sweden (32 - 49%). 292 6. Conclusion 293 The pathogenesis of malnutrition, including starvation-related malnutrition, is distinct from 294 295 sarcopenia and cachexia; however, nutrition support may have a role in preventing or treating all conditions characterised by the loss of lean tissues. The MST has strong criterion validity; 296 and the MUST, SNAQ and the SNAQ⁶⁵⁺ may also be appropriate for use as nutrition 297 screening tools in rehabilitation. However, the MNA-SF and SNAQ^{RC} may only be 298 appropriate for well-resourced settings focussed on prevention. The Rapid Screen and 299 NUFFE require further evaluation of their validity before being recommended as a screening 300 tool in the rehabilitation setting. Overall, nutrition screening tools require further 301 investigation regarding their predictive validity, reliability and accuracy when used in 302 practice. The Scored PG-SGA is appropriate for use as a nutrition assessment tool in 303 rehabilitation; however, the MNA and BMI carry a risk that a malnourished patient may not 304 be identified and may therefore not be appropriate as sole methods of diagnosis. Further 305 306 research examining the MNA is needed in geriatric rehabilitation, including the evaluation of a new cut-off value for diagnosing malnutrition. Although the SGA can be considered 307

appropriate for use, further evidence is needed regarding its criterion validity. Malnutrition in
the rehabilitation setting is most prevalent in older adults, and ranges from <1 - 68%
worldwide and is influenced by method of diagnosis, country and rurality. The highest
prevalence of malnutrition has been reported in rural, European and Australian settings;
however, further studies investigating the prevalence of malnutrition in North and South
America and Africa, as well as studies reporting the prevalence in rural areas internationally,
is required.

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Table 1: The diagnostic criteria of malnutrition,	sarcopenia and cachexia
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Malnutrition ^a	Sarcopenia ^e	Cachexia ^g
Diagnosis based upon criterion 1 or	Diagnosis based upon criterion 1 plus	Diagnosis based upon (criterion 1 or
(criterion 2 plus criterion 3 plus criterion	(criterion 2 or criterion 3)	criterion 2) and (criterion 3, criterion 4 or
4)		criterion 5)
Criterion 1: BMI ^b <18.5 kg ^c /m ^{d2}	Criterion 1: Poor physical functioning (gait	Criterion 1: Unintentional weight loss ($\geq 5\%$)
	speed $<1m \cdot s^{-1f}$)	in 12 months or less in presence of
		underlying illness
Criterion 2: Unintentional weight loss (≥5%)	Criterion 2: Whole body lean mass <20 th	Criterion 2: BMI <20kg/m ²
	norcontilo	
Criterion 3: Suboptimal intake	Criterion 3: Appendicular fat free mass	Criterion 3: Fatigue
	\leq 7.23kg/m ² (men) or \leq 5.67kg/m ² (women)	
Criterion 4: Loss of fat and/or muscle		Criterion 4: Low fat-free mass (MUAMC ^h
		<10 th percentile or appendicular skeletal
		muscle ≤ 7.25 kg/m ² (men) or ≤ 5.45 kg/m ²
		(women))
		Criterion 5: Abnormal biochemistry (albumin
		$<32g^{i}/L^{j}$ (3.2 g/dL ^k), CRP ^l >5.0mg ^m /L or IL-
		$6^{n} > 4.0 \text{pg}^{o}/\text{ml}^{p}$, or Hb ^q < $3.2 \text{g}/\text{dL}$)

^a Diagnosis of malnutrition according to the International Statistical Classification of Diseases and Health Related Problems 10th Revision Australian Modification (sixth edition, ICD-10-AM) [18].

^b BMI, body mass index

^c kg, kilogram

^d m, metre

^e Diagnosis of sarcopenia according to the International Working Group on Sarcopenia [11]

^f $m \cdot s^{-1}$, meter per second

^g Diagnosis of cachexia according to the Cachexia Consensus Working Group [14]

^h MUAMC, mid upper arm muscle circumference

ⁱ g, gram

^j L, litre
^k dL, decilitre
¹ CRP, C-reactive protein
^m mg, milligram
ⁿ IL-6, Interleukin-6
^o pg, pictogram
^p ml, millilitre
^q Hb, haemoglobin

Nutrition screening	Benchmark used	Population	Sensitivity	Specificity	Карра	Kappa statistic
tool					statistic	classification ^a
MNA-SF ^b						
- Kaiser et al. 2011	Full MNA ^c	n=99, μ74.9±6.2	Not reported	Not reported	0.626	Substantial
[30]		years				agreement
		Rome, Italy				
MNA-SF						
- Marshall et al.	ICD-10-AM ^d	n=57, µ79.1±7.3	100%	22.6%	0.210	Fair agreement
2015 [21]	classification	years				
		NSW ^e , Australia				
MNA-SF						
- Hertroijs et al.	Low BMI ^f or	n=366, µ55 years	92%	37%	Not reported	Not reported
2012 [25]	weight-loss	Netherlands				
MST ^g						
- Marshall et al.	ICD-10-AM	n=57, µ79.1±7.3	80.8%	67.7%	0.478	Moderate
2015 [21]		years				agreement
		NSW, Australia				
MUST ^h						
- Hertroijs et al.	Low BMI or	n=366, µ55 years	100%	97%	Not reported	Not reported
2012 [25]	weight-loss	Netherlands				
NUFFE ⁱ						
- Söderhamn &	BMI, MAC ^j , CC ^k	n=114, µ78.0±6.3	Not reported	Not reported	Not reported	Not reported
Söderhamn, 2002	and MNA	years				
[23]		Western Sweden				
Rapid Screen						
- Visvanathan et al.	Standardised	n=65, µ76.5-79.8	78.6%	97.3%	Not reported	Not reported
2004 [24]	nutrition assessment	years				
		SA ¹ , Australia				
SNAQ ^m						

Table 2: Comparison of the concurrent validity of nutrition screening tools evaluated in the rehabilitation setting

- Hertroijs et al.	Low BMI or	n=366, µ55 years	96%	71%	Not reported	Not reported
2012 [25]	weight-loss	Netherlands				
SNAQ ^{RC,n}						
- Hertroijs et al.	Low BMI or	n=366, µ55 years	99%	48%	Not reported	Not reported
2012 [25]	weight-loss	Netherlands				
SNAQ ^{65+,0}						
- Hertroijs et al.	Low BMI or	n=366, µ55 years	96%	77%	Not reported	Not reported
2012 [25]	weight-loss	Netherlands				

a Landis and Koch kappa statistic classification [31]

b MNA-SF, Mini Nutritional Assessment - Short Form

c MNA, Mini Nutritional Assessment

d ICD-10-AM, International Statistical Classification of Diseases and Health Related Problems 10th Revision Australian Modification (sixth edition) classifications for protein-energy malnutrition in adults

e NSW, New South Wales

f BMI, body mass index

g MST, Malnutrition Screening Tool

h MUST, Malnutrition Universal Assessment Tool

i NUFFE, Nutritional Form for the Elderly; Spearman rank correlations used to determine concurrent validity with the BMI at admission (γ_s -

0.25, P=0.008), BMI at discharge (*r*_s -0.23, P=0.014), MAC (*r*_s -0.23, P=0.014), CC (*r*_s -0.25, P=0.008) and the MNA (*r*_s -0.74, P=0.000)

j MAC, mid arm circumference

k CC, calf circumference

1 SA, South Australia

m SNAQ, Short Nutritional Assessment Questionnaire

n SNAQ^{RC}, Short Nutritional Assessment Questionnaire Residential Care

o SNAQ⁶⁵⁺, Short Nutritional Assessment Questionnaire for older adults

Nutrition screening tool	Benchmark used	Population	ROC AUC ^a	ROC AUC classification ^b	Sensitivity	Specificity	Kappa statistic	Kappa statistic
DOIAd								classification
MNA ^u - Neumann et	Body fat	n=34 median 84	0.74	Good test	Not	Not	Not	Not reported
al. 2007 [37]	Douy Iu	(IQR ^e , 78-88)	0.71		reported	reported	reported	
		years SA ^f , Australia						
MNA								
- Marshall et	ICD-10-AM ^g	n=57, µ79.1±7.3	0.85	Very good test	57.7%	96.8%	0.562	Moderate
al. 2015 [38]		years NSW ^h , Australia						agreement
MNA ⁱ								
- Visvanathan et al. 2004 [24]	Standardised nutrition assessment	n=65, μ76.5-79.8 years SA, Australia	N/A ^{j,k}	N/A	89.5%	87.5%	Not reported	Not reported
Scored PG-SGA ¹								
ratings								
- Marshall, et al. 2015 [38]	ICD-10-AM	n=57, μ79.1±7.3 years NSW, Australia	N/A ^k	N/A	100%	87.1%	0.860	Almost perfect agreement
Scored PG-SGA								
score ^m								
- Marshall, et	ICD-10-AM	n=57, µ79.1±7.3	0.910	Excellent test	92.3%	83.9%	0.7555	Substantial
al. 2015 [38]		years						agreement
		NSW, Australia						

Table 3: Comparison of concurrent validity of nutrition assessment tools evaluated in the rehabilitation setting

a ROC AUC, Receiver Operating Characteristic Area Under the Curve

b ROC AUC classification for the discriminative power of a test [39]

c Landis and Koch kappa statistic classification [31]

d MNA, Mini Nutritional Assessment

e IQR, Interquartile range

f SA, South Australia

g ICD-10-AM, International Statistical Classification of Diseases and Health Related Problems 10th Revision Australian Modification (sixth edition) classifications for protein-energy malnutrition in adults

h NSW, New South Wales

i Non-standard calculation of the MNA. A two-step process was used, where participants which were identified as "at risk of malnutrition" (score 17 - 23.5) underwent further nutritional assessment to re-classify as "malnourished" or "well-nourished". Traditional scoring of the MNA considers a participant "malnourished" if they scored <17, and "well-nourished" if they scored 17 - 30, which includes participants "at risk of malnutrition".

j N/A, Not applicable

k ROC AUC applies to continuous variables only

l PG-SGA, Patient-Generated Subjective Global Assessment

m A score of 7 or more used to indicate "malnutrition" in geriatric rehabilitation [38]

Table 4: International prevalence of malnutrition in the rehabilitation setting according nutrition assessment tools validated for the rehabilitation setting.

Study	Setting	Diagnosis method	Prevalence				
MNA in North America							
Thomas et al.	s et al. • St Louis, USA MNA		29%				
2002 [48]	• n=104, µ75.8 years						
MNA in Europe							
Compan et al.	• Nîmes, France	MNA	33% ^a				
2000 [49]	• n=196, µ83.4±6.8 years						
Donini et al.	• Rome, Italy	MNA	68%				
2002 [50]	• n=167, μ79 – 83 years						
Kaiser et al.	• Rome, Italy	MNA	41%				
2011 [30]	• n=99, µ74.9±6.2 years						
MNA in Asia	MNA in Asia						
Shum et al.	 Regional Hong Kong 	Chinese MNA ^b	17%				
2005 [51]	• n=120, µ80.3±7.4 years						
Tsai et al. 2009	• Wen-Hua District, Taiwan ^c	MNA, MNA-TI	24% (MNA),				
[46]	• n=74, 82% were ≥60 years	(population specific) ^d	14% (MNA-TI)				
MNA in Australia							
Visvanathan et	Adelaide, SA	MNA	29%				
al. 2004 [24]	• n=65, µ76.5 – 79.8 years						
Neumann et al.	• 3 Hospitals across SA	MNA	0.06%				
2005 [35]	• n=167, µ81±6 years						
Charlton et al.	• Sydney, NSW	MNA	33%				
2010 [52]	• n=2076, µ80.6±27.7 years						
McDougall et	Melbourne, Victoria	MNA	32%				
al. 2015 [42]	• n=114, 83±7 years						

Marshall et al.	Rural NSW	MNA	28%		
2015 [38]	• n=57, µ79.1±7.3 years				
SGA in Europe					
Westergren et	• Metropolitan Sweden ^e	SGA ^f	32%		
al. 2001 [47]	• n=162, µ78.62 years				
Westergren et	Metropolitan Sweden	SGA ^f	46%		
al. 2002 [53]	• n=520, µ81.0 years				
Andersson et al.	• South Sweden	SGA ^f	34%		
2002 [54]	• n=237, µ78.5 – 78.6 years				
SGA in Australi	a				
Beck et al. 2001	• Wollongong, NSW	SGA	49% ^g		
[55]	• n=344, age not described				
Thomas, et al.	• Ballarat, Victoria	SGA	65%		
2014 [56]	• n=20, "geriatric", age not described				
Breik, et al.	Metropolitan Victoria	SGA	49%		
2015 [57]	• n=69, age not described				
Scored PG-SGA in Australia					
Marshall et al.	Rural NSW	Scored PG-SGA	53%		
2015 [38]	• n=57, µ79.1±7.3 years				
ICD-10-AM classification of protein-energy malnutrition in Australia					
Marshall et al.	• Rural NSW	ICD-10-AM	46%		
2015 [38]	• $n=57$, $\mu79.1\pm7.3$ years				

CI, Confidence interval; ICD-10-AM, International Statistical Classification of Diseases and Health Related Problems, 10th Revision, Australian Modification; MNA, Mini Nutritional Assessment; SA, South Australia; PG-SGA, Patient-Generated Subjective Global Assessment; SGA,

Subjective Global Assessment.

a Compan et al. [49] found that rehabilitation had a higher prevalence than acute care than those in acute care (24.5%) or long-term residential care (24.7%).

b Malnutrition is considered at an MNA score of <18.5 as opposed to the usual <17 in the modified Chinese MNA.

c Result reported from a combined community and inpatient stroke rehabilitation study sample as opposed to a general rehabilitation inpatient sample

d Cut-points for the modified MNA-TI not described by the authors.

e Results reported from a stroke rehabilitation study sample as opposed to a general rehabilitation inpatient sample

f The SGA used in Sweden has four ratings of nutrition status, A, B, C and D instead of the usual A, B or C. The authors report malnutrition prevalence comprising ratings B, C and D.

g Beck et al. [55] found that rehabilitation had the highest prevalence of malnutrition compared to other inpatient medical wards